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# The number of equationally additive clones on finite sets

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(joint work with Erhard Aichinger & Bernardo Rossi, JKU Linz)  
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# Basic concepts

Algebraic sets over clone  $F \leq \mathcal{O}_A$

(= solution sets of systems of equations over  $F$ )

$\varrho \subseteq A^n$  **algebraic**  $\iff \varrho = \{x \in A^n \mid \forall i \in I: f_i(x) = g_i(x)\}$

for some  $f_i, g_i \in F^{(n)}$  ( $i \in I$ ,  $I$  any set).

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$\varrho_{12} = \{(x_1, x_2, x_3, x_4) \in A^4 \mid x_1 = x_2\}$

algebraic over any clone;      solution set of 1 equation:

$$e_1^{(4)}(x_1, x_2, x_3, x_4) = e_2^{(4)}(x_1, x_2, x_3, x_4).$$

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# Equationally additive clones

$$\text{Alg}^{(n)}F := \{\varrho \subseteq A^n \mid \varrho \text{ algebraic over } F\} \quad \text{Alg } F := \bigcup_{n \in \mathbb{N}_+} \text{Alg}^{(n)}F$$

Algebraic equivalence of clones  $F, G \leq \mathcal{O}_A$

$$F \equiv_{\text{alg}} G \text{ algebraically equivalent} \iff \text{Alg } F = \text{Alg } G$$

(same algebraic geometry)

Theorem: for finite  $A$ :

Pinus, 2016

$$|\{F \leq \mathcal{O}_A \mid F \text{ 'equationally additive'}\} / \equiv_{\text{alg}}| < \aleph_0.$$

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Clone  $F \leq \mathcal{O}_A$  equationally additive

$$\iff \forall n \in \mathbb{N}_+ \forall \varrho, \sigma \in \text{Alg}^{(n)}F: \quad \varrho \cup \sigma \in \text{Alg}^{(n)}F$$

(algebraic sets closed under finite unions)

# Easy consequence

For a clone  $F \leq \mathcal{O}_A$

$F$  equationally additive

$$\implies \Delta_A^{(4)} = \{(x_1, x_2, x_3, x_4) \in A^4 \mid x_1 = x_2 \text{ or } x_3 = x_4\} \in \text{Alg}^{(4)}F$$

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- $\implies \Delta_A^{(4)} = \varrho_{12} \cup \varrho_{34} \in \text{Alg}^{(4)}F$

since  $F$  is equationally additive

# A characterisation of equational additivity

Theorem

Danijarova, Mjasnikov, Remeslennikov, 2010

A clone  $F \leq \mathcal{O}_A$  is **equationally additive**  $\iff \Delta_A^{(4)} \in \text{Alg } F$

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In a field

- $\varrho = \{a \in A^n \mid \forall i \in I: f_i(a) = 0\} \in \text{Alg } F$
- $\sigma = \{a \in A^n \mid \forall j \in J: g_j(a) = 0\} \in \text{Alg } F$
- $\implies \varrho \cup \sigma = \{a \in A^n \mid \forall i \in I \forall j \in J: f_i(a) \cdot g_j(a) = 0\}$

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In general

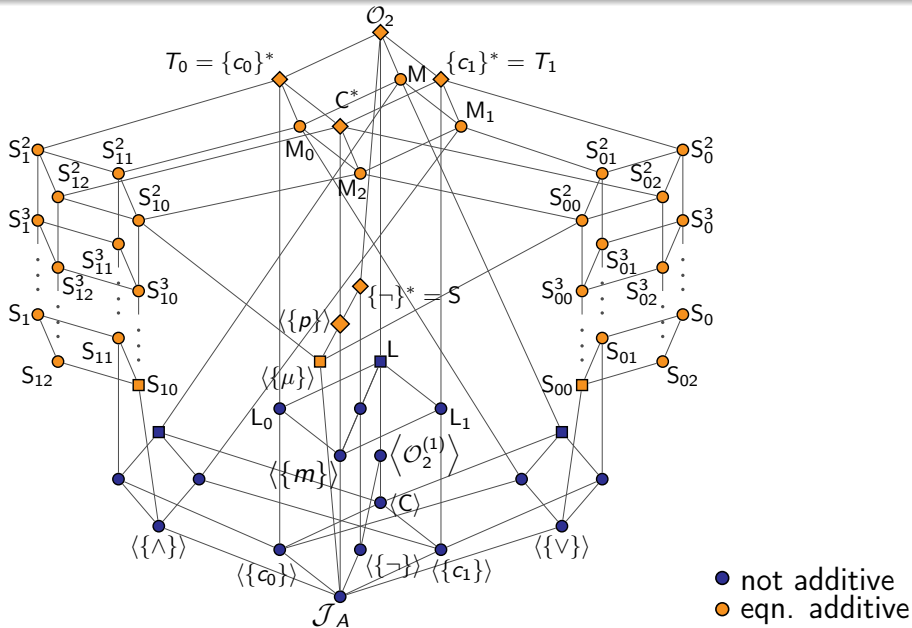
- $\varrho = \{a \in A^n \mid \forall i \in I: f_i(a) = f'_i(a)\} \in \text{Alg } F$
- $\sigma = \{a \in A^n \mid \forall j \in J: g_j(a) = g'_j(a)\} \in \text{Alg } F$
- $\Delta_A^{(4)} = \{a \in A^4 \mid \forall k \in K: h_k(a) = h'_k(a)\} \in \text{Alg } F$
- $\implies \varrho \cup \sigma = \{a \in A^n \mid \forall k \in K \forall i \in I \forall j \in J: h_k(f_i(a), f'_i(a), g_j(a), g'_j(a)) = h'_k(f_i(a), f'_i(a), g_j(a), g'_j(a))\}$

# Goal

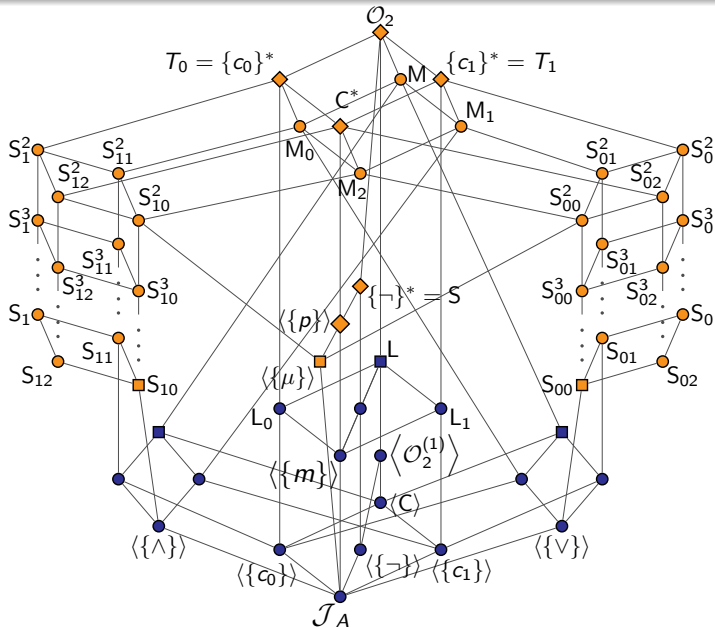
How many equationally additive clones are there on a finite set?



# Boolean equationally additive clones (AAA 102)



# Boolean equationally additive clones (AAA 102)



number eqn.  
add. clones  
=  $\aleph_0$

● not additive  
● eqn. additive

# Characterisation for Boolean clones

## Theorem

Aichinger, Rossi, MB

For all clones  $F \leq \mathcal{O}_2$ :

$$\begin{aligned} F \text{ eqn. additive} &\iff S_{00} \subseteq F \text{ or } S_{10} \subseteq F \text{ or } \langle \{\mu\} \rangle_{\mathcal{O}_2} \subseteq F \\ &\iff ((x, y, z) \mapsto x \vee (y \wedge z)) \in F \text{ or} \\ &\quad ((x, y, z) \mapsto x \wedge (y \vee z)) \in F \text{ or} \\ &\quad \text{majority } \mu \in F \\ &\iff \exists f \in F^{(3)}: f(x, x, y) \approx x \approx f(x, y, x) \wedge \\ &\quad f(y, x, x) \approx f(x, y, f(y, x, x)) \\ &\iff F \not\subseteq \langle \{\wedge, c_0, c_1\} \rangle_{\mathcal{O}_2} \text{ and} \\ &\quad F \not\subseteq \langle \{\vee, c_0, c_1\} \rangle_{\mathcal{O}_2} \text{ and} \\ &\quad F \not\subseteq L \end{aligned}$$



# Demonstrating equational additivity explicitly

$$S_{00} = \langle \{f\} \rangle_{\mathcal{O}_2}, \quad f(x, y, z) = x \vee (y \wedge z)$$

$$\Delta_2^{(4)} = \left\{ (x_1, x_2, x_3, x_4) \in \{0, 1\}^4 \mid \begin{array}{l} f(x_3, x_4, x_1) = f(x_3, x_4, x_2) \\ f(x_4, x_3, x_1) = f(x_4, x_3, x_2) \end{array} \right\}$$

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$$\langle \{\mu\} \rangle_{\mathcal{O}_2}, \quad \mu \text{ Boolean majority}$$

$$\Delta_2^{(4)} = \left\{ (x_1, x_2, x_3, x_4) \in \{0, 1\}^4 \mid \mu(x_3, x_4, x_1) = \mu(x_3, x_4, x_2) \right\}$$

# The number of equationally additive clones on finite sets

$ A $	$ \mathcal{L}_A $	$ \uparrow_{\mathcal{L}_A}\{C\} $	eqn. additive	eqn. additive $\supseteq C$
2	$\aleph_0$	7	$\aleph_0$	2

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2015 (classification very similar to  $\{0, 1\}$ )

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# A new construction of $2^{\aleph_0}$ clones on $\{0, 1, 2\}$

Relational approach:  $F = \text{Pol}_3 Q$ , where  $Q \subseteq \{\varrho_3, \varrho_4, \varrho_5, \dots\}$

## Wish list

- $C \subseteq F \implies$  all relations  $\varrho_k$  reflexive
- $F$  eqn. add.  $\implies$  need  $G \subseteq F$  defining  $\Delta_3^{(4)}$ ,  $\implies Q \subseteq \text{Inv}_3 G$ 
  - $G = \{f\}$ ,  $f \in \mathcal{O}_3^{(3)}$
  - use equations from the Boolean case:

$$f(x_1, x_2, x_3) = f(x_1, x_2, x_4)$$

$$f(x_2, x_1, x_3) = f(x_2, x_1, x_4)$$

$$f(x_3, x_4, x_1) = f(x_3, x_4, x_2)$$

$$f(x_4, x_3, x_1) = f(x_4, x_3, x_2)$$

- $\implies f \in \text{Pol}_3^{(3)}\{\varrho_k\}$
- $|\{\text{Pol}_3 Q \mid Q \subseteq \{\varrho_3, \varrho_4, \dots\}\}| = 2^{\aleph_0} \iff Q \mapsto \text{Pol}_3 Q \text{ inj.}$

# Step 1: ensuring equational additivity

$$f: \{0, 1, 2\}^3 \rightarrow \{0, 1, 2\}$$

$$f(0, 2, 0) := 2$$

$$f(0, 1, 1) := 2$$

$$f(1, 2, 2) := 2$$

$$f(x, y, z) := x \text{ else}$$

$$\implies \Delta_3^{(4)} = \left\{ (x_1, x_2, x_3, x_4) \in 3^4 \left| \begin{array}{l} f(x_1, x_2, x_3) = f(x_1, x_2, x_4) \\ f(x_2, x_1, x_3) = f(x_2, x_1, x_4) \\ f(x_3, x_4, x_1) = f(x_3, x_4, x_2) \\ f(x_4, x_3, x_1) = f(x_4, x_3, x_2) \end{array} \right. \right\}$$

$$f \in F \implies F \text{ equationally additive}$$

# The relations $\varrho_k$ for $k \geq 2$

## Forbidden set

$$B_k := \left\{ r \in \{0, 1\}^k \mid 3 \leq w(r) \leq k - 1 \right\}$$

0-1-tuples of **Hamming weight  $\geq 3$** , but **not  $\underline{1} = (1, \dots, 1)$**

## $k$ -ary relation $\varrho_k$

$$\varrho_k := \{0, 1, 2\}^k \setminus (B_k \cup \{e_1^k\})$$

only proper **0-1-tuples** are **removed!**

every **tuple with a 2** is in  $\varrho_k$

$$e_i^k := \begin{pmatrix} 0 \\ \vdots \\ 1 \\ \vdots \\ 0 \end{pmatrix} \leftarrow i$$



## Step 2: $k \geq 2$    $C \cup \{f\} \subseteq \text{Pol}_3\{\varrho_k\}$

- $\varrho_k$  is reflexive (only proper 0-1-tuples get removed)

- $\implies C \subseteq \text{Pol}_3\{\varrho_k\}$

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- Recall  $f(0, 2, 0) := 2$

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$\vdots$

$$u_k := f(x_k, y_k, z_k)$$

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  - $\implies f \in \text{Pol}_3\{\varrho_k\} \implies \forall Q \subseteq \{\varrho_2, \varrho_3, \dots\}: \text{Pol}_3 Q$  eqn. add.

# Step 3

$\mathcal{L}_f := \{F \leq \mathcal{O}_3 \mid f \in F \supseteq C\}$  (for ternary  $f$  guaranteeing eqn. additivity)

Want: Injectivity of

$$\begin{array}{ccc} \Phi: \mathfrak{P}(\mathbb{N}_{\geq 3}) & \longrightarrow & \mathcal{L}_f \\ I & \longmapsto & \text{Pol}_3\{\varrho_i \mid i \in I\} \end{array}$$

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Will show: dual order embedding

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trivial

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- Assume  $\Phi(I) \supseteq \Phi(J)$  and  $\exists i \in I: i \notin J, \quad \Rightarrow J \subseteq \mathbb{N}_{\geq 3} \setminus \{i\}$

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- Assume  $\Phi(I) \supseteq \Phi(J)$  and  $\exists i \in I: i \notin J, \quad \Rightarrow J \subseteq \mathbb{N}_{\geq 3} \setminus \{i\}$
- Then

$$\Phi(\{i\}) \supseteq \Phi(I) \supseteq \Phi(J) \supseteq \Phi(\mathbb{N}_{\geq 3} \setminus \{i\})$$

# Step 3

$\mathcal{L}_f := \{F \leq \mathcal{O}_3 \mid f \in F \supseteq C\}$  (for ternary  $f$  guaranteeing eqn. additivity)

Will show: dual order embedding

$$\begin{array}{ccc} \Phi: \mathfrak{P}(\mathbb{N}_{\geq 3}) & \longrightarrow & \mathcal{L}_f \\ I & \longmapsto & \text{Pol}_3\{\varrho_i \mid i \in I\} \end{array}$$

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$$\Phi(\{i\}) \supseteq \Phi(I) \supseteq \Phi(J) \supseteq \Phi(\mathbb{N}_{\geq 3} \setminus \{i\}) \stackrel{\forall}{\ni} g_{i-1}$$

- But we will construct

$$g_{i-1} \in \text{Pol}_3\{\varrho_k \mid k \neq i\} \setminus \text{Pol}_3\{\varrho_i\}$$

# The functions $g_n$ for $n \geq 2$

$$g_n: \{0, 1, 2\}^n \rightarrow \{0, 1, 2\}$$

$$g_n(1, 1, \dots, 1) := 1$$

$$g_n(1, 0, \dots, 0) := 0$$

$$g_n(0, 1, \dots, 0) := 0$$

$$\vdots \quad \quad \quad \vdots$$

$$g_n(0, \dots, 0, 1) := 0$$

$$g_n(x_1, \dots, x_n) := 2 \text{ else}$$

- 1 on  $(1, \dots, 1)$
- 0 on unit tuples  $e_i^n$
- 2 else

# Step 4: $g_n$ does not preserve $\varrho_{n+1}$

$\forall n \geq 2: g_n \notin \text{Pol}_3\{\varrho_{n+1}\}$

$$\begin{array}{cccccc}
 g_n( & 1 & 1 & \cdots & 1 & ) = 1 \\
 g_n( & 1 & 0 & \cdots & 0 & ) = 0 \\
 g_n( & 0 & 1 & \cdots & 0 & ) = 0 \\
 & \vdots & \vdots & \ddots & \vdots & \\
 g_n( & 0 & 0 & \cdots & 1 & ) = 0 \\
 & \cap & \cap & \cdots & \cap & \cancel{\cap} \\
 & \varrho_{n+1} & \varrho_{n+1} & \cdots & \varrho_{n+1} & \varrho_{n+1}
 \end{array}$$

Definition of  $g_n$ :

- $g_n(\underline{1}) = 1$
- $g_n(e_i^n) = 0$
- $g_n(x) = 2$  else

Forbidden in  $\varrho_{n+1} \subseteq 3^{n+1}$ :

- $e_1^{n+1}$
- 0-1-tuples  $\neq \underline{1}$   
with  $\geq 3$  entries 1

## Step 5: $g_n$ preserves everything else

$\forall n \geq 2 \forall k \in \mathbb{N}_+ \setminus \{n+1\}: g_n \in \text{Pol}_3 \varrho_k$

We show:  $\forall n \geq 2 \forall k \neq n+1 \forall r_1, \dots, r_n \in \{0, 1, 2\}^k$ :

$$g_n \circ (r_1, \dots, r_n) \notin \varrho_k \implies \exists 1 \leq i \leq n: r_i \notin \varrho_k$$

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i.e.,

$$g_n \circ (r_1, \dots, r_n) \in B_k \cup \{e_1^k\} \implies \exists 1 \leq i \leq n: r_i \in B_k \cup \{e_1^k\}$$

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### Strategy

- Fix  $n \geq 2$  and  $g_n$ .
- Consider all  $\varrho_k$  where
  - $1 \leq k \leq n$  Show:  $g_n$  preserves  $\varrho_k$
  - $k \geq n+2$  Show:  $g_n$  preserves  $\varrho_k$

# Step 5.1: $n \geq 2, 1 \leq k \leq n: g_n \in \text{Pol}_3\{\varrho_k\}$

$$5.1.1: g_n \circ (r_1, \dots, r_n) = e_1^k \notin \varrho_k$$

$$g_n(\underline{\quad}) = 1$$

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$\vdots$

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 $\implies \exists 1 \leq j \leq n: w(r_j) \geq 3; \quad \text{if } r_j \neq \underline{1}, \text{ then } r_j \in B_k, r_j \notin \varrho_k$

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- $g_n(x) = 2$  else

Forbidden in  $\varrho_k \subseteq 3^k$ :

- $e_1^k$
- 0-1-tuples  $\neq \underline{1}$   
with  $\geq 3$  entries 1

# Step 5.2: $n \geq 2, k \geq n + 2: g_n \in \text{Pol}_3\{\varrho_k\}$

5.2.1:  $g_n \circ (r_1, \dots, r_n) = e_1^k \notin \varrho_k$

$$\left. \begin{array}{l} g_n(\underline{1}) = 1 \\ g_n(e_{i_1}^n) = 0 \\ \vdots \\ g_n(e_{i_{k-1}}^n) = 0 \end{array} \right\} k - 1 \geq n + 1 > n$$

$i_1, \dots, i_{k-1} \in \{1, \dots, n\}$  cannot all be distinct;  $\exists \nu < \mu: j := i_\nu = i_\mu$   
 $\implies \exists 1 \leq j \leq n: w(r_j) \geq 3; \quad \text{if } r_j \neq \underline{1}, \text{ then } r_j \in B_k, r_j \notin \varrho_k$   
 if  $r_j = \underline{1}$ , then  $i_1 = \dots = i_{k-1} = j, \xrightarrow{n \geq 2} \exists l \neq j: r_l = e_1^k \notin \varrho_k$

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$n \geq 2 \implies \exists i \in \{1, \dots, n\} \setminus \{i_1\}: 3 \leq w \leq w(r_i) < k$

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$$n \geq 2 \implies \exists i \in \{1, \dots, n\} \setminus \{i_1\}: 3 \leq w \leq w(r_i) < k$$

$$\implies r_i \in B_k \implies r_i \notin \varrho_k$$

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We have shown:

- $\forall n \geq 2 \forall k \forall r_1, \dots, r_n \in \{0, 1, 2\}^k :$

$$\frac{g_n \circ (r_1, \dots, r_n) = e_1^k}{g_n \circ (r_1, \dots, r_n) \in B_k} \quad \left| \quad \begin{array}{l} k \leq n \quad k \geq n+2 \\ \exists 1 \leq i \leq n : \\ r_i \notin \varrho_k \end{array} \right.$$

- $\forall n \geq 2 \forall k \neq n+1 \forall r_1, \dots, r_n \in \varrho_k : g_n \circ (r_1, \dots, r_n) \in \varrho_k$
- $\forall n \geq 2 \forall k \neq n+1 : g_n \in \text{Pol}_3\{\varrho_k\}$
- $\forall n \geq 2 : g_n \in \text{Pol}_3\{\varrho_k \mid k \neq n+1\}$
- $\forall n \geq 2 : g_n \notin \text{Pol}_3\{\varrho_{n+1}\}$
- $\{\varrho_3, \varrho_4, \varrho_5, \dots\} \supseteq Q \mapsto \text{Pol}_3 Q \ni f$  is injective

# The end

Thank you...

...very uncountably much for listening

Questions, comments and remarks...

...are most welcome