

Diploma Thesis

# Hydrate failure in ITZ governs elastic limits of concrete subjected to multiaxial compressive loading

submitted in satisfaction of the requirements for the degree of  
Diplom-Ingenieur  
of the TU Wien, Department of Civil Engineering

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Diplomarbeit

## Hydratversagen in der ITZ führt zu Elastizitätsgrenzen von Beton unter mehraxialer Druckbelastung

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von

**Michael Schwaighofer, BSc**

Matr.Nr.: 01225505

unter der Anleitung von

Univ.-Prof. Dipl.-Ing. Dr.techn. **Bernhard Pichler**

Dipl.-Ing. Dr.techn. **Markus Königsberger, BSc**

Institut für Mechanik der Werkstoffe und Strukturen  
Technische Universität Wien  
Karlsplatz 13/202, 1040 Wien, Österreich

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# Abstract

Many concrete structures such as slabs, plates, shells, and arch dams are subjected to multiaxial loading. Of particular interest for engineers is the serviceability limit state (SLS) of these structures: the stress experienced by concrete must remain, under characteristic design loads, below the elastic limit of the material.

Herein, the elastic limits of concrete under multiaxial compression are investigated by means of a micromechanics multiscale model. Concrete is represented by three representative volume elements (RVEs), resolving the microstructural features of the material at three scales of observation. At the micrometer-scale, isotropically oriented, needle-shaped hydration products and capillary pores are considered to be in mutual interaction to form the RVE of “hydrate foam”. At the millimeter-scale, this hydrate foam is considered as a matrix phase hosting unreacted clinker grains to form the RVE of “cement paste”. Finally, the RVE of “concrete” at the centimeter-scale, consists of aggregates embedded in a cement paste matrix. Interfacial transition zones (ITZs), i.e. cement paste regions adjacent to the aggregate surfaces are most susceptible to microcracking. Hence, the elastic limits of multiaxially compressed concrete is considered to be reached when the most heavily loaded hydrate needle within the most unfavorable loaded ITZ fails according to a Drucker-Prager failure criterion. Composition and maturity of the concretes is considered by means of volume fractions of the constituents, computed according to Powers’ hydration model.

Model-predicted elastic limits are compared to stress-strain diagrams from triaxial compression tests with axial symmetry or proportionally loaded multiaxial compression tests, as collected from six test campaigns reported in the literature on mature concretes with different compositions. The good agreement of model-predicted elastic limits with the initiation of major pre-peak nonlinearities in the experimentally determined stress-strain diagrams corroborates the importance of stress concentrations into ITZs and motivates the analysis of elastic limit surfaces in principal stress space, including sensitivity analysis. This reveals that (i) the aggregate-to-cement ratio has little influence on the elastic limit, (ii) the elastic limit decreases significantly with increasing water-to-cement ratio ( $w/c$ ), at least in the regime  $w/c > 0.42$ , and (iii) the elastic limit increases with increasing hydration degree. Only for mature concretes and/or concretes with small  $w/c$  ratio, an increase of lateral confinement pressure increases the elastic limit significantly, whereas for young concretes and/or concretes with high  $w/c$  ratio, benefits due to confinement are rather limited. Finally, comparing the model-predicted elastic limit with the strength values from triaxial compression tests indicates (i) that the strength increases much more significantly with increasing confinement pressure than the elastic limit does, and (ii) that inelastic reserves

increase with increasing  $w/c$  ratios, as a consequence of the enhanced ability of inelastic material compaction resulting from the increased porosity.

# Kurzfassung

Viele Betonbauwerke, wie etwa Scheiben, Platten, Schalen und Talsperren sind mehraxial beansprucht. Der Grenzzustand der Gebrauchstauglichkeit (SLS) ist für die Bemessung relevant: Die Spannungen von Beton müssen unter charakteristischen Bemessungslasten unterhalb der Elastizitätsgrenze des Materials bleiben.

In dieser Arbeit werden die Elastizitätsgrenzen für Beton unter mehraxialer Druckbeanspruchung mit einem Mehrskalen-Mikromechanik-Modell untersucht. Es basiert auf drei repräsentativen Volumenelementen (RVEs), die die Betonmikrostruktur auf drei Beobachtungsskalen darstellen. Auf der Mikrometerskala werden isotrop orientierte, nadelförmige Hydratationsprodukte und Kapillarporen in direkter gegenseitiger Interaktion eingeführt, um das RVE des „Hydratschaums“ zu definieren. Auf der Millimeterskala wird dieser Hydratschaum als Matrixphase berücksichtigt, die unhydrierte Klinkerkörner enthält, um das RVE des „Zementsteins“ zu bilden. Das RVE von „Beton“ auf der Zentimeterskala besteht aus Zuschlägen, die in einer Zementsteinmatrix eingebettet sind. Die „Interfacial Transition Zones“ (ITZs), also jene Zonen des Zementsteins, die direkt an die Zuschlagsoberflächen angrenzen, sind der Ausgangspunkt materieller Nichtlinearitäten, denn erste Mikrorissen treten genau dort auf. Daher wird die Elastizitätsgrenze von mehraxial druckbeanspruchtem Beton als erreicht angesehen, wenn die am stärksten belastete Hydratnadel innerhalb der am ungünstigsten belasteten ITZ gemäß des Drucker-Prager-Versagenskriteriums versagt. Die Zusammensetzungen und Hydratationsgrade der Betone werden mit Hilfe von Volumenanteilen der Materialbestandteile berücksichtigt, die mit dem Hydratationsmodell nach Powers berechnet werden.

Die vom Modell vorhergesagten Elastizitätsgrenzen werden mit Spannungs-Dehnungs-Diagrammen aus dreiaxialen Druckversuchen mit axialer Symmetrie oder proportional belasteten mehraxialen Druckversuchen verglichen, welche von sechs in der Literatur beschriebenen Versuchskampagnen für ausgehärtete Betone mit verschiedenen Zusammensetzungen stammen. Die gute Übereinstimmung der prognostizierten Elastizitätsgrenzen mit dem Beginn von wesentlichen Nichtlinearitäten in den experimentell ermittelten Spannungs-Dehnungs-Diagrammen untermauert die Bedeutung von Spannungskonzentrationen in ITZs und regt zu Analysen der elastischen Grenzflächen im Hauptspannungsraum inklusive Sensitivitätsanalysen an. Dabei zeigt sich, dass (i) das Zuschlag-Zement-Verhältnis wenig Einfluss auf die Elastizitätsgrenze hat, (ii) die Elastizitätsgrenze mit zunehmendem Wasser-Zement-Wert ( $w/z$ ) für  $w/z > 0,42$  signifikant abnimmt und (iii) die Elastizitätsgrenze mit zunehmendem Hydratationsgrad ansteigt. Nur für ausgehärtete Betone bzw. Betone mit kleinem  $w/z$ -Verhältnis erhöht ein Anstieg des Seitendrucks die Elastizitätsgrenzen signifikant, während für junge Betone bzw. Betone mit hohem  $w/z$ -Wert

der günstige Effekt des Seitendrucks nur gering ist. Der Vergleich der vom Modell vorhergesagten Elastizitätsgrenze mit den Werten der Druckfestigkeit aus dreiaxialen Druckversuchen zeigt, dass (i) die Bruchfestigkeit mit zunehmendem Seitendruck viel stärker ansteigt als die Elastizitätsgrenze und (ii) dass die unelastischen Reserven mit zunehmenden  $w/z$ -Wert ansteigen, als Konsequenz der gesteigerten Fähigkeit zur unelastischen Materialverdichtung infolge der erhöhten Porosität.



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Publication outlook: At the time of finalizing this thesis, it is planned to submit this quite mature paper draft —after incorporation of further improvements— for publication to “Construction and Building Materials”.



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# Chapter 1

## Introduction

In many civil engineering structures, such as slabs, plates, shells, and arch dams, concrete is subjected to biaxial or triaxial compressive loading. In contrast to uniaxial compression, the material behavior under triaxial compression is rather ductile. This goes along with large strains before the material fails [49]. The elastic limit is of particular interest for practical engineers, i.e. the stress up to which the material behaves in a linear elastic fashion. Increasing the load beyond the elastic limit leads to nonlinearities in the stress-strain diagram, resulting from irreversible compaction of the microstructure and related cracking [17, 41]. The elastic limit stress is of significant importance for engineers, as it quantifies the threshold for serviceability limit states (SLS) [14, 15, 25–27], which must not be surpassed under characteristic design loads. Experimental determination of multiaxial elastic limits of concrete, see e.g. [2, 9, 10, 17, 18, 22, 24, 41, 45, 47, 48], is limited, because very large loads are required and corresponding experimental devices are rare. Moreover, cementitious materials (pastes, mortars, and concretes) come in a variety of compositions and their elastic limits increase with the materials' maturity. Conducting multiaxial compression experiments for a large variety of concretes at many different material ages, is desirable but out of reach in the context of this work.

Herein, we compute micromechanics-based predictions of elastic limits of concrete. A validated continuum micromechanics multiscale model is used as the vehicle for research in the present work. This model was originally developed for cement paste [38] and then extended to ordinary concrete [31] and recycled concrete [32] by considering failure in interfacial transition zones (ITZs). It relies on elasto-brittle failure upscaling, i.e. failure of the most unfavorably loaded microscopic hydration products located in the most unfavorable ITZ domain is considered to lead to macroscopic material failure. The resulting predictions regarding uniaxial compressive strength values agree with independent experimental results for various compositions and various ages.

In the present master thesis, the model is evaluated for biaxial and triaxial stress states. Given the rather ductile material behavior described above, it is expected that the model delivers elastic limits rather than strength values. In order to provide insight into this topic, the remainder of the master theses is structured as follows. In Section 2, the micromechanics model (Section 2.1) and the triaxial compression test (Section 2.2) are described as necessary prerequisites for the present study. Section 3 is devoted to predicting the elastic limit with the micromechanics model (Section 3.1) and comparing it with the triaxial compression tests (Section 3.2), followed by the illustration

of the elastic limit surface for a benchmark concrete in principal stress space (Section 3.3), as well as presenting sensitivity analysis w.r.t. composition and maturity (Section 3.4) and comparing model-predicted elastic limits to experimental strength values (Section 3.5). Section 4 contains the conclusions drawn from the presented study.

# Chapter 2

## Fundamentals

### 2.1 Micromechanics of ITZ failure in concrete

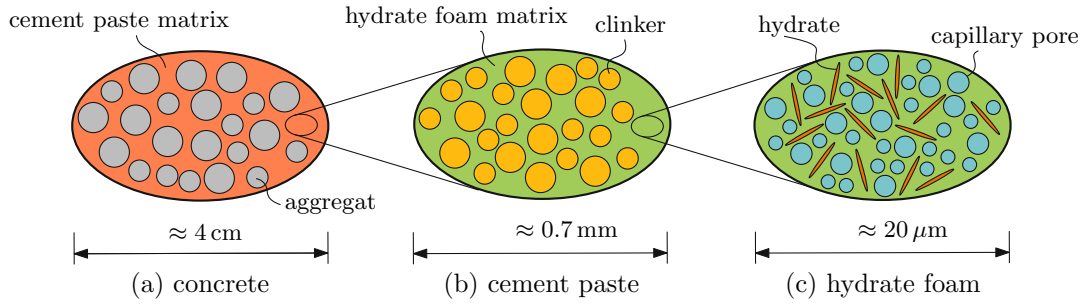
The investigation of elastic limits of concrete subjected to multiaxial compressive loading is based on a recently developed continuum micromechanics model of concrete [31]. The material is considered as a macro-homogeneous but micro-heterogeneous body occupying a representative volume element (RVE) with characteristic length  $\ell$  following the scale separation rule [50] reading as

$$d \ll \ell \ll D, \quad (2.1)$$

where  $d$  stands for the characteristic size of the inhomogeneities in the RVE, and  $D$  represents the characteristic size of either the structure consisting of the RVE or the wavelength of the loading. The required ratios between  $\ell$  and  $d$  as well as  $D$  and  $\ell$  range from 2 to 3 [13] and from 5 to 50 [28], respectively. Generally, the microstructures of cementitious materials cannot be described in full detail. Therefore, quasi-homogeneous material subdomains are identified (further referred to as “material phases”) at different observation scales leading to a hierarchically organized multiscale representation [31, 38, 40]. Each RVE has to satisfy the scale separation rule (2.1) whereby the structural size  $D$ , for RVEs resolving inhomogeneities from a larger scale, is equal to the size of these inhomogeneities [19]. “Homogenized” mechanical properties of concrete can be estimated from stepwise “upscaling” accounting for characteristic ellipsoidal phase shapes, (mutual) phase interaction, phase volume fractions, and mechanical phase constants.

Hierarchical organization, phase shape, and interaction are discussed first. To represent ordinary Portland cement-based concrete, we use a hierarchical scheme consisting of three RVEs [38, 40]: concrete, cement paste, and hydrate foam, see Fig. 2.1. The centimeter-sized RVE of concrete [Fig. 2.1(a)] consists of spherical aggregate inclusions (sand grains and gravel, index = *agg*) embedded inside a cement paste matrix. Cement paste is resolved at the next smaller RVE with a dimension smaller than one millimeter, see Fig. 2.1(b). It contains spherical clinker grains (index = *clin*) embedded in a hydrate foam matrix. At the smallest scale, the 20 micrometer-large hydrate foam [Fig. 2.1(c)] consists of spherical capillary pores (index = *pore*) and needle-shaped hydrates (index = *hyd*), uniformly orientated in all space directions. As regards the phase interactions, we use the Mori-Tanaka scheme [5, 36] for both matrix-inclusion-type composites

(concrete and cement paste) and the self-consistent scheme [20, 21, 33] for the polycrystalline hydrate foam.



**Fig. 2.1:** Multiscale micromechanics representation of concrete (material organogram) with its three RVEs and their phases according to Pichler et al. [38, 40]; the 2D sketches show qualitative properties of 3D representative volume elements

Phase volume fractions at the concrete scale [see Fig. 2.1(a)], of aggregates  $f_{agg}^{con}$  and of the cement paste  $f_{cp}^{con}$ , are determined from the mix-dependent initial aggregate-to-cement mass ratio ( $a/c$ ), the initial water-to-cement mass ratio ( $w/c$ ), and the mass densities  $\rho_i$  listed in Table 2.1, according to [6]

$$f_{agg}^{con} = \frac{\frac{a/c}{\rho_{agg}}}{\frac{1}{\rho_{clin}} + \frac{w/c}{\rho_{H_2O}} + \frac{a/c}{\rho_{agg}}}, \quad f_{cp}^{con} = 1 - f_{agg}^{con}. \quad (2.2)$$

At the scale of cement paste [see Fig. 2.1(b)], the Powers hydration model [38, 42] provides mix-dependent and maturity-dependent phase volume fractions of unreacted clinker,  $f_{clin}^{cp}$ , and of the hydrate foam matrix,  $f_{hf}^{cp}$ , reading as

$$f_{clin}^{cp} = \frac{20(1-\alpha)}{20+63w/c} \geq 0, \quad f_{hf}^{cp} = 1 - f_{clin}^{cp}, \quad (2.3)$$

with the hydration degree  $\alpha$  quantifying the material's maturity. It is defined as the ratio of the volume (or mass) of already hydrated clinker, over the initial clinker volume (or mass). At the scale of the hydrate foam [see Fig. 2.1(c)], the Powers hydration model provides the volume fractions of capillary pores,  $f_{pore}^{hf}$ , and of hydrates,  $f_{hyd}^{hf}$ , as [38]

$$f_{pore}^{hf} = \frac{63(w/c - 0.367\alpha)}{20\alpha + 63w/c} \geq 0, \quad f_{hyd}^{hf} = 1 - f_{pore}^{hf}. \quad (2.4)$$

Notably, hydration is considered to stop once the hydration degree reaches the maximum value attainable [38]

$$\alpha_{max} = \min \left\{ \frac{w/c}{0.42}; 1 \right\}. \quad (2.5)$$

The elastic phase constants in terms of bulk moduli  $k_i$  and shear moduli  $\mu_i$  of the phases hydrates ( $i=hyd$ ), pores ( $i=pore$ ), clinker ( $i=clin$ ), and aggregates ( $i=agg$ ), are taken from the literature, see Table 2.1. Corresponding phase elasticity tensors  $\mathbb{C}_i$  read as

$$\mathbb{C}_i = 3k_i \mathbb{I}^{vol} + 2\mu_i \mathbb{I}^{dev}, \quad i = \{hyd, pore, clin, agg\}, \quad (2.6)$$

where  $\mathbb{I}^{vol}$  is the volumetric part of the symmetric fourth-order identity tensor  $\mathbb{I}$ , and  $\mathbb{I}^{dev}$  the deviatoric part.  $\mathbb{I}$  is defined as  $I_{ijkl} = 1/2(\delta_{ik}\delta_{jl} + \delta_{il}\delta_{jk})$ ,  $\mathbb{I}^{vol}$  as  $\mathbb{I}^{vol} = 1/3(\mathbf{1} \otimes \mathbf{1})$ , and  $\mathbb{I}^{dev}$  as  $\mathbb{I}^{dev} = \mathbb{I} - \mathbb{I}^{vol}$ , where  $\mathbf{1}$  denotes the second-order identity tensor with components equal to the Kronecker delta  $\delta_{ij}$ , namely  $\delta_{ij} = 1$  for  $i=j$ , and 0 otherwise.

**Tab. 2.1:** Phase densities and elastic phase constants

Phase	Mass density [kg/m <sup>3</sup> ]	Source	Bulk modulus [GPa]	Shear modulus [GPa]	Source
Hydrate	$\rho_{hyd} = 2073$	[11]	$k_{hyd} = 18.70$	$\mu_{hyd} = 11.80$	[7]
Pore	$\rho_{H_2O} = 1000^*$		$k_{pore} = 0.00$	$\mu_{pore} = 0.00$	[7]
Clinker	$\rho_{clin} = 3150$	[38]	$k_{clin} = 116.60$	$\mu_{clin} = 53.80$	[1]
Aggregate	$\rho_{agg} = 2650$	[31]	$k_{agg} = 35.35$	$\mu_{agg} = 29.91$	[46]

\* Eq. (2.2) is calculated with the mass density of the water in the pores.

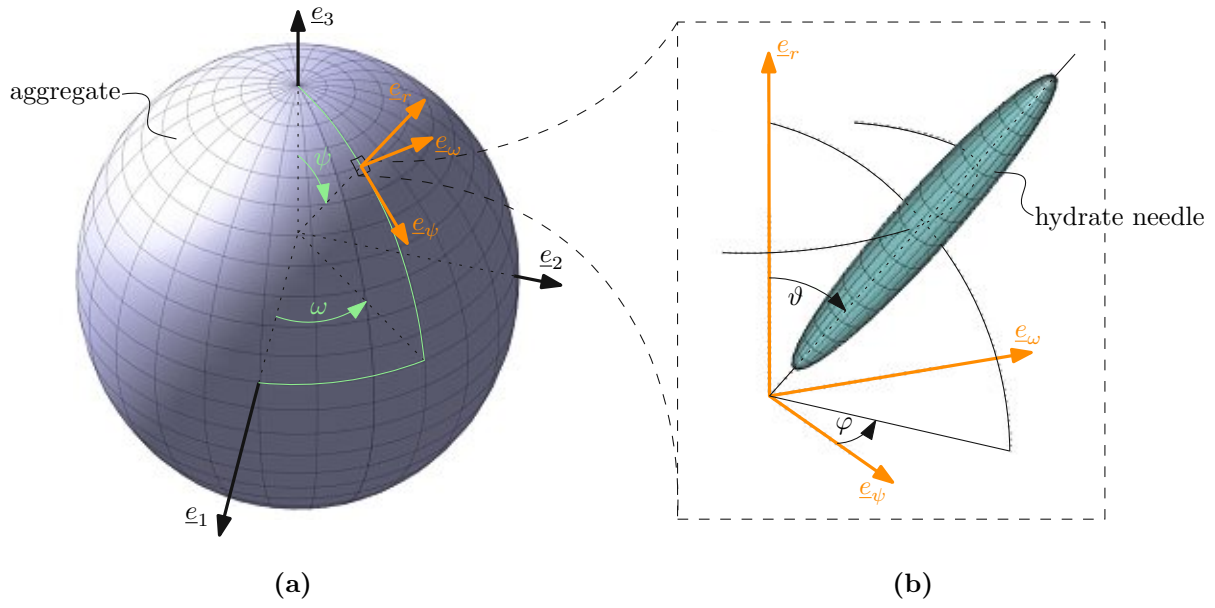
As for micromechanics-based predictions of hydrate failure in concrete RVEs, macroscopic stresses  $\Sigma$  are concentrated (“downscaled”) into individual hydrates based on the micromechanics representation (hierarchical organization, phase shapes and phase interaction), the phase volume fractions, as well as the elastic phase constants. Therefore, homogeneous macrostresses are first downscaled to spatial averages of cement paste stresses  $\sigma_{cp}$  in interfacial transition zones (ITZs) located inside the cement paste matrix in the immediate vicinity of an aggregate, as [31]

$$\sigma_{cp}(\psi, \omega; \Sigma) = \mathbb{B}_{cp}^{agg}(\psi, \omega) : \mathbb{B}_{agg}^{con} : \Sigma, \quad (2.7)$$

where  $\mathbb{B}_{agg}^{con}$  denotes the concrete-to-aggregate stress concentration tensors,  $\mathbb{B}_{cp}^{agg}$  denotes the aggregate-to-cement stress concentration tensor accounting for perfect bond between aggregates and cement paste, and  $(\psi, \omega)$  denote the position angles (zenith and azimuth) on the aggregate-to-cement paste interface  $\mathcal{I}_{agg}^{cp}$ , see Fig. 2.2(a). Analytical expressions for both stress concentration tensors are given in Appendix A. Position-dependent cement paste stresses  $\sigma_{cp}$ , are further downscaled to second-order moments of volumetric and deviatoric hydrate stresses as [12, 31]

$$\overline{\sigma}_{hyd;\vartheta,\varphi}^{vol}(\psi, \omega; \vartheta, \varphi; \Sigma) = \sqrt{\lim_{J_{hyd;\vartheta,\varphi}^{cp} \rightarrow 0} \left( \frac{3k_{hyd}^2}{J_{hyd;\vartheta,\varphi}^{cp}} \sigma_{cp}(\psi, \omega; \Sigma) : \mathbb{C}_{cp}^{-1} : \frac{\partial \mathbb{C}_{cp}}{\partial k_{hyd;\vartheta,\varphi}} : \mathbb{C}_{cp}^{-1} : \sigma_{cp}(\psi, \omega; \Sigma) \right)}, \quad (2.8)$$

$$\overline{\sigma}_{hyd;\vartheta,\varphi}^{dev}(\psi, \omega; \vartheta, \varphi; \Sigma) = \sqrt{\lim_{J_{hyd;\vartheta,\varphi}^{cp} \rightarrow 0} \left( \frac{2\mu_{hyd}^2}{J_{hyd;\vartheta,\varphi}^{cp}} \sigma_{cp}(\psi, \omega; \Sigma) : \mathbb{C}_{cp}^{-1} : \frac{\partial \mathbb{C}_{cp}}{\partial \mu_{hyd;\vartheta,\varphi}} : \mathbb{C}_{cp}^{-1} : \sigma_{cp}(\psi, \omega; \Sigma) \right)}, \quad (2.9)$$



**Fig. 2.2:** (a) Zenith angles  $\psi$  and azimuth angle  $\omega$  defining the position of the cement paste RVE along the aggregate surface with global Cartesian base  $\underline{e}_1$ ,  $\underline{e}_2$ ,  $\underline{e}_3$ , and local spherical base  $\underline{e}_r$ ,  $\underline{e}_\omega$ ,  $\underline{e}_\psi$ ; (b) Zenith angle  $\varphi$  and azimuth angle  $\vartheta$  defining the orientation of the hydrate needle with respect to the spherical base

where  $(\vartheta, \varphi)$  denote the hydrate orientation [zenith and azimuth angle, see Fig 2.2(b)],  $\mathbb{C}_{cp}$  stands for the homogenized elasticity tensor of cement paste (see Appendix A for analytical formulas regarding stiffness homogenization), and  $f_{hyd;\vartheta,\varphi}^{cp} = V_{hyd;\vartheta,\varphi}/V_{cp}$  is the cement paste-related volume fraction of  $(\vartheta, \varphi)$ -oriented hydrates. Hydrate failure is quantified according to a Drucker-Prager failure criterion based on second-order moments of stresses (2.8) and (2.9) reading as [31]

$$f_{DP}(\overline{\overline{\sigma}}_{hyd}) = \frac{\overline{\overline{\sigma}}_{hyd}^{dev}}{\sqrt{2}} - k_{hyd}^{DP} + \alpha_{hyd}^{DP} \frac{\overline{\overline{\sigma}}_{hyd}^{vol}}{\sqrt{3}} \leq 0. \quad (2.10)$$

The Drucker-Prager constants for the hydrate needle phase,  $k_{hyd}^{DP}$  and  $\alpha_{hyd}^{DP}$ , are quantified based on the friction angle  $\phi = 12^\circ$  and the cohesion  $c = 50$  MPa of the Mohr-Coulomb failure criterion, determined from limit state analyzes of nanoindentation tests [11, 44], resulting in [31]

$$k_{hyd}^{DP} = 60.68 \text{ MPa} \quad \text{and} \quad \alpha_{hyd}^{DP} = 0.2580. \quad (2.11)$$

To quantify the relevant position  $(\psi_{crit}, \omega_{crit})$  of cement paste RVEs along the aggregate-cement paste interface and relevant hydrate orientation  $(\vartheta_{crit}, \varphi_{crit})$ , the maximum of the failure function is searched for,  $f_{DP} \rightarrow \max$ . The macroscopic stress state  $\Sigma^{lim}$ , for which the most heavily loaded hydrates fail,  $f_{DP}(\psi_{crit}, \omega_{crit}; \vartheta_{crit}, \varphi_{crit}, \Sigma^{lim}) = 0$ , is considered as the elastic limit stress of concrete. The model-predicted limit stress for uniaxial compressive loading has been shown to agree very well with experimentally determined uniaxial compressive strength values of cement pastes, mortars, and concretes at various material maturities, see [31].



## 2.2 Triaxial compression testing of concrete

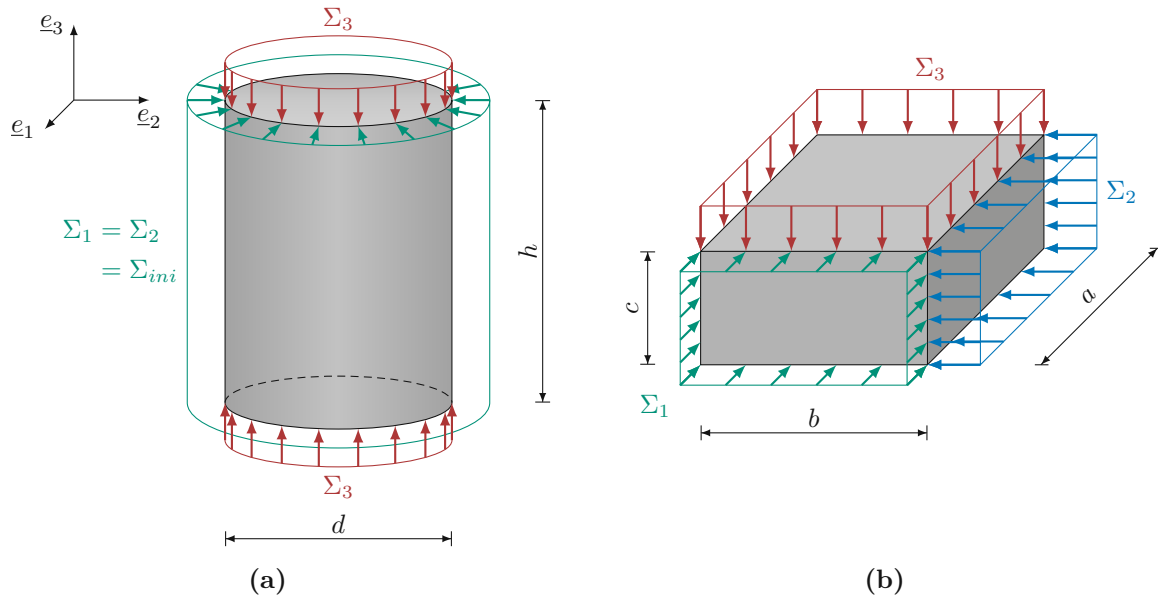
Results from several triaxial compression testing campaigns on concretes, taken from the literature, are described. Six triaxial compression test campaigns involving eight concrete compositions with water-to-cement ratios  $w/c$  ranging from 0.23 to 0.75 and aggregate-to-cement ratios  $a/c$  ranging from 3.18 to 7.62 are described in [17, 18, 22, 24, 41, 45], see Table 2.2. All concretes were tested at ages of two months or more, except for Hampel et al. [18] who performed the tests between 28 and 35 days after production. Uniaxial compressive strength values  $f_c^{uni}$  were determined for most compositions and ranged from 28.6 to 73.35 MPa. Triaxial compression tests were either performed in a triaxial compression cell on cylindrical specimens with diameters  $d$  ranging from 54 to 150 mm and heights  $h$  ranging from 115 to 300 mm [24, 41, 45], see Fig. 2.3(a), or on cuboidal specimens with side lengths  $a, b, c$  ranging from 40 to 150 mm [17, 18, 22], see Fig. 2.3(b). In order to reduce the friction between the loading apparatus and the specimens, Sfer et al. [45] and Geel [17] used Teflon sheets, while Hussein and Marzouk [22] as well as Hampel et al. [18] used brush-type loading plates. Imran and Pantazopoulou [24] as well as Poinard et al. [41] do not report on measures for friction reduction. In order to quantify the displacements, linear variable differential transformers (LVDTs) [17, 18, 22, 24, 41, 45], extensometers [22] and strain gauges [22, 24, 45] were used.

**Tab. 2.2:** Properties of the concretes tested in the experiments

Source	Mix	$w/c$ [-]	$a/c$ [-]	Geometry [mm]	Age [months]	$f_c^{uni}$ [MPa]	$\alpha$ [-]	$\Sigma_{ini}$ [MPa]	$\beta_1$ [-]	$\beta_2$ [-]
[45]	Sf 1	0.57	5.30	cylinder: $d = 150$ $h = 300$	3.5 to 3.8	38.80	0.79	1.5 to 30.0	0.00	0.00
	Im 1	0.40	3.68	cylinder:		73.35	0.78	38.4	0.00	0.00
[24]	Im 2	0.55	5.38	$d = 54$	3.5	47.40	0.85	8.6	0.00	0.00
	Im 3	0.75	7.62	$h = 115$		28.62	0.90	21.0	0.00	0.00
[17]	Ge 1	0.50	4.82	cube: $a =$ $b = c = 100$	2 to 2.5	44.00	0.73	3.0 to 50.0	0.00	0.00
[22, 23]	Hu 1	0.47	5.00	cuboid: $a \times b \times c$ $40 \times 150 \times 150$	$\geq 2$	44.30	0.68	0.0	0.00	0.20 to 1.00
[18]	Ha 1	0.40	4.60	cube: $a =$ $b = c = 100$	0.9 to 1.2	57.70	0.66	0.0	0.15	0.20
[41]	Po 1	0.64	7.02	cylinder: $d = 70$ $h = 140$	3	–	1.00	0.0	1.00	1.00

The specimen were subjected to triaxial compressive stress states  $\Sigma$ , defined as:

$$\Sigma = -\Sigma_1 \underline{e}_1 \otimes \underline{e}_1 - \Sigma_2 \underline{e}_2 \otimes \underline{e}_2 - \Sigma_3 \underline{e}_3 \otimes \underline{e}_3, \quad (2.12)$$



**Fig. 2.3:** Specimen geometry used for triaxial compression tests: (a) cylinders, (b) cuboids

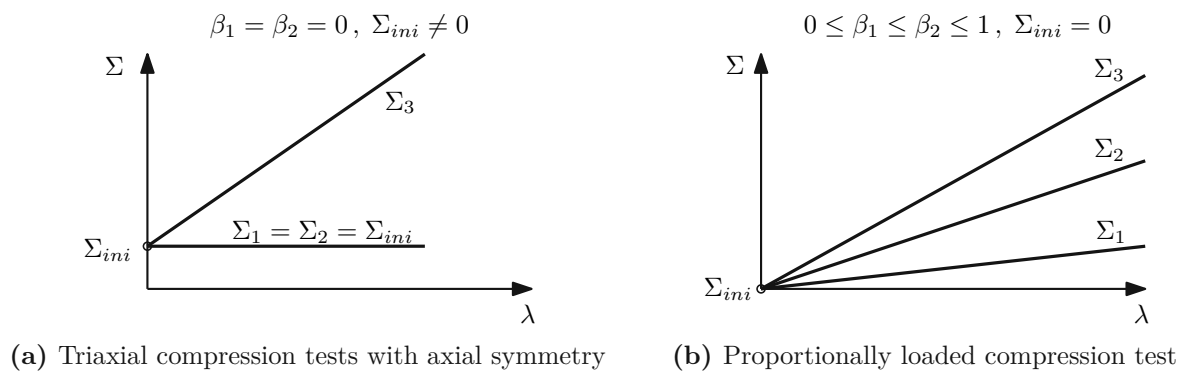
where  $0 \leq \{\Sigma_1, \Sigma_2\} \leq \Sigma_3$  are the principal stresses in the principal stress directions  $\underline{e}_1$ ,  $\underline{e}_2$ , and  $\underline{e}_3$ , respectively. The principal stresses were either proportionally increased, or the specimens were first subjected to a hydrostatic stress state  $\Sigma_1 = \Sigma_2 = \Sigma_3 = \Sigma_{ini}$ , followed by an increase of the stress component  $\Sigma_3$  while maintaining  $\Sigma_1 = \Sigma_2 = \Sigma_{ini}$ . The latter type of test is referred to as triaxial test with axial symmetry. Mathematically, the described test protocols can be expressed as

$$\begin{aligned}\Sigma_1(\lambda) &= \Sigma_{ini} + \lambda \beta_1 \cdot 1 \text{ MPa}, \\ \Sigma_2(\lambda) &= \Sigma_{ini} + \lambda \beta_2 \cdot 1 \text{ MPa}, \\ \Sigma_3(\lambda) &= \Sigma_{ini} + \lambda \cdot 1 \text{ MPa},\end{aligned}\tag{2.13}$$

where  $\lambda \geq 0$  stands for a dimensionless load parameter.  $\beta_1$  and  $\beta_2$  are dimensionless stress ratios. For  $\Sigma_{ini} = 0$ , they read as  $\beta_1 = \Sigma_1/\Sigma_3$  and  $\beta_2 = \Sigma_2/\Sigma_3$ , whereby  $0 \leq \beta_1 \leq 1$  and  $0 \leq \beta_2 \leq 1$ . Triaxial compression tests with axial symmetry, as reported in [17, 24, 45], can be expressed by specifying Eq. (2.13) for  $\Sigma_{ini} > 0$  and  $\beta_1 = \beta_2 = 0$ , see Table 2.2 for details and Fig. 2.4(a) for a graphical representation. Proportionally loaded biaxial and triaxial compression tests, as reported in [18, 22], can be expressed by specifying Eq. (2.13) for  $\Sigma_{ini} = 0$ ,  $\beta_1 = 0$  and  $\beta_2 > 0$  and  $\beta_1 = 0.15$  and  $\beta_2 = 0.20$ , respectively. Hydrostatic compression tests, as reported in [41], can be expressed by specifying Eq. (2.13) for  $\Sigma_{ini} = 0$ ,  $\beta_1 = \beta_2 = 1$ , see Fig. 2.4(b).

Material behavior of concrete observed in the six test campaigns is discussed based on stress-strain diagrams provided by the experimenters, see Figs. 2.5 and 2.6. All stress-strain curves start with a virtually linear branch. With increasing compressive loading, concrete softens, leading to progressively increasing non-linearities.

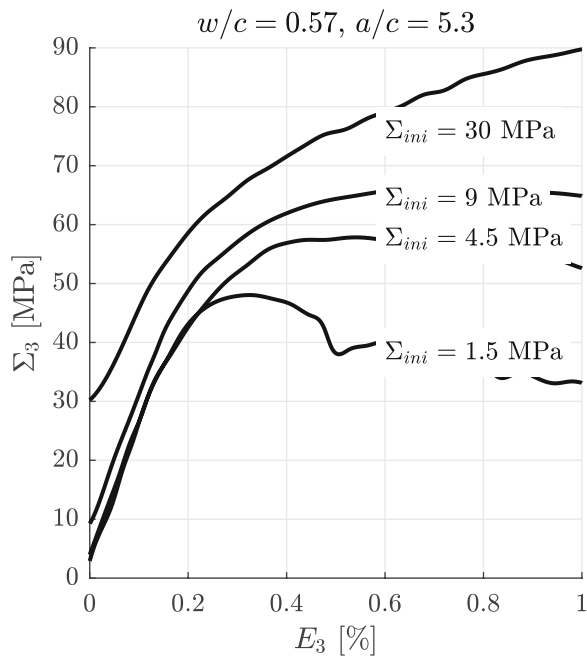
- Regarding triaxial compression tests with axial symmetry [17, 24, 45], the strength of concrete ( $\max \Sigma_3$ ) increases significantly with increasing lateral pressure  $\Sigma_1 = \Sigma_2$ , see



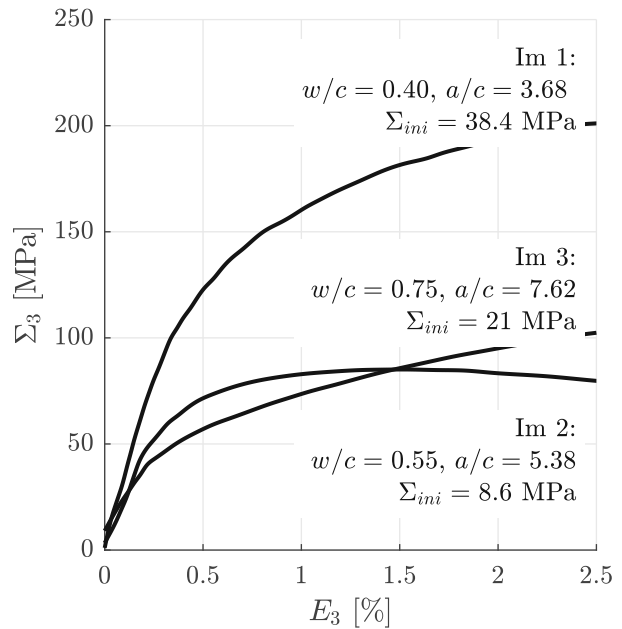
**Fig. 2.4:** Load histories applied in the analyzed experiments

Fig. 2.5. As for small lateral pressure,  $\Sigma_1 = \Sigma_2 < 20$  MPa, a stress peak is clearly identifiable, followed by a rather ductile failure associated with slowly decreasing axial stresses while axial strains  $E_3$  are further increasing. As for larger lateral pressure  $\Sigma_1 = \Sigma_2 > 20$  MPa, axial stresses continuously increase with increasing strain. The stress peak is not well defined and the strength degradation afterwards is rather small and in some cases almost not observed [24, 45].

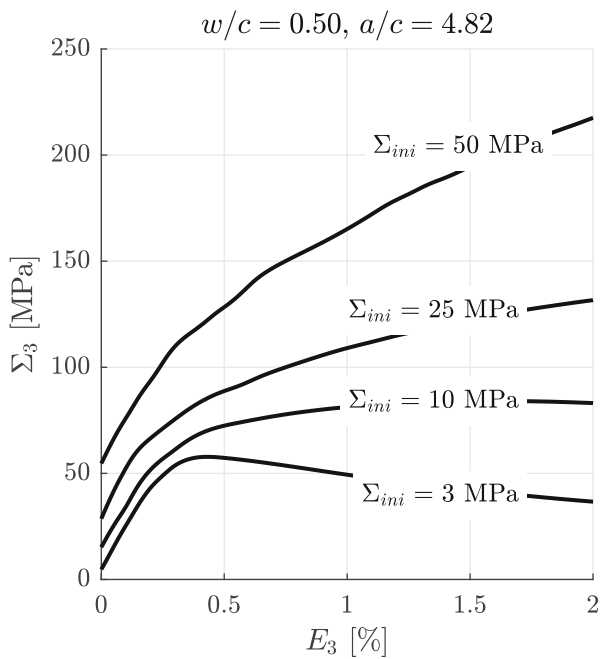
- Regarding compression test with proportional loading, the stress strain curves are qualitatively similar to each other, see Fig. 2.6. The strength  $\Sigma_3$  obtained from biaxial compression tests is larger for  $\beta_2 = 0.5$ , compared to  $\beta_2 \in \{0.2, 1\}$ , see Fig. 2.6(a). Poinard et al. [41] is the only one who used cycles of loading and unloading, see Fig. 2.6(c).



(a) Experimental data from Sfer et al. [45]

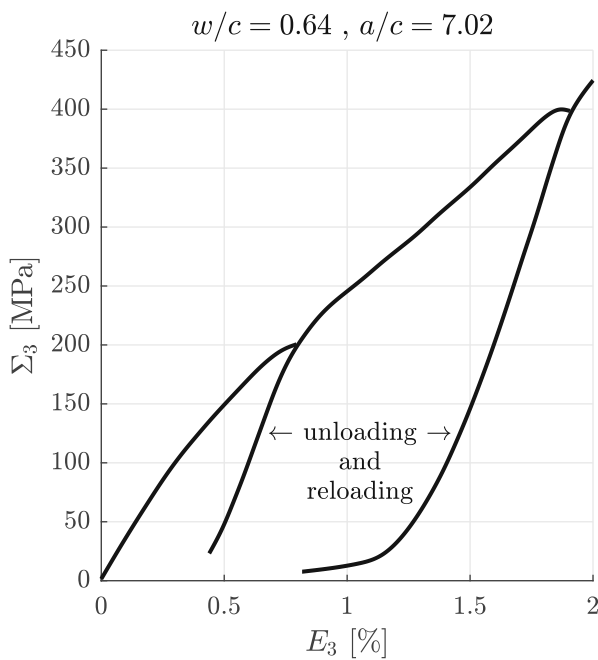
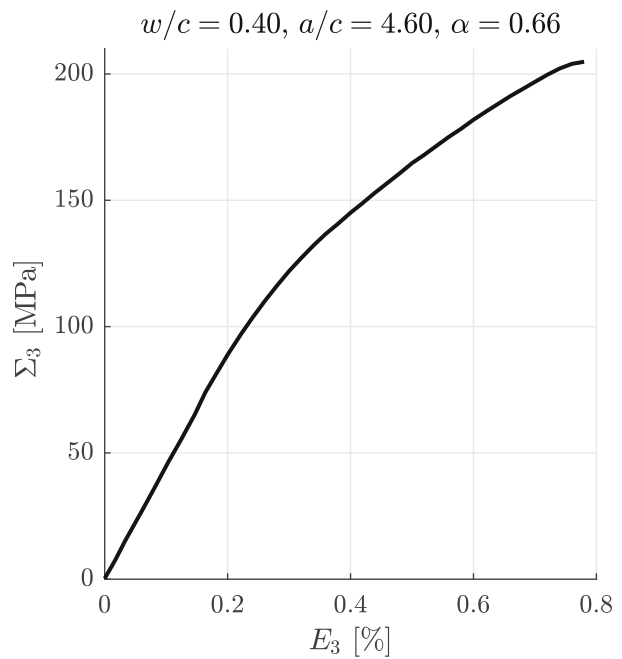
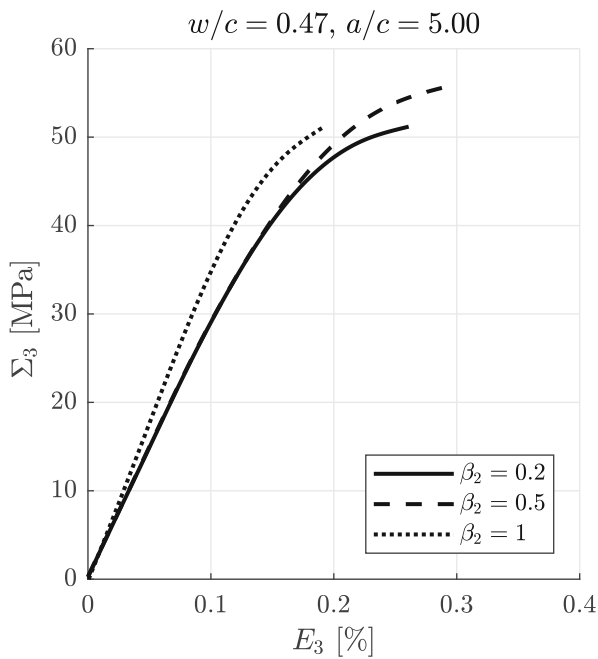


(b) Experimental data from Imran and Pantazopoulou [24]



(c) Experimental data from Geel [17]

**Fig. 2.5:** Stress-strain curves determined in triaxial compression tests with axial symmetry; the load paths are characterized by  $\Sigma_{ini} > 0$  and  $\beta_1 = \beta_2 = 0$  in Eq. (2.13)



**Fig. 2.6:** Stress-strain curves determined in proportionally loaded compression tests; the load paths in (a) are characterized by specifying Eq. (2.13) for  $\Sigma_{ini} = 0$ ,  $\beta_1 = 0$ , and  $\beta_2 > 0$  (biaxial compression), in (b) for  $\Sigma_{ini} = 0$ ,  $\beta_1 = 0.15$ , and  $\beta_2 = 0.2$  (triaxial compression), and in (c) for  $\Sigma_{ini} = 0$ ,  $\beta_1 = \beta_2 = 1$  (hydrostatic compression)



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## Chapter 3

# Multiscale analysis of hydrate failure in ITZs in multiaxial compression tests on concrete

### 3.1 Model evaluation and algorithmic treatment of triaxial compression tests

To evaluate the elastic limits for the six tested concretes [17, 18, 22, 24, 41, 45] described in Section 2.2 and summarized in Table 2.2, the test-specific concrete composition ( $w/c$  and  $a/c$ ) is inserted into the Powers' expressions of the phase volume fractions Eq. (2.2) to (2.4). Test-specific hydration degrees  $\alpha$  are not reported. As a remedy, they are back-identified from the measured *uniaxial* compressive strength values, given in Table 2.2. To this end, the micromechanics model described in Section 2.1, is evaluated for varying hydration degrees  $\alpha$  until the difference between model-predicted and experimentally determined *uniaxial* compressive strength values vanishes, see Table 2.2 for the resulting hydration degrees. Hydration degrees are generally close to one, given the mature age of the tested concretes. Notably, as Poinard et al. [41] did not measure the uniaxial compressive strength,  $\alpha = 1$  is assumed for this composition.

Elastic limits for concretes subjected to multiaxial macrostresses are obtained from Drucker-Prager failure of the most unfavorably loaded hydrate-gel needle phase situated inside the most unfavorably loaded ITZ domain. The algorithmic treatment to identify the critical hydrate and the critical ITZ domain depends on the loading path, as described next:

- As for triaxial compression tests with axial symmetry performed by Imran and Pantazopoulou [24], Sfer et al. [45], and Geel [17], we check that the initial macrostress state  $\Sigma(\Sigma_{ini}, \beta_1 = \beta_2 = 0, \lambda = 0)$  —according to macrostress definition Eq. (2.13) and test-specific loading variable  $\Sigma_{ini}$  from Table 2.2— does not already lead to failure. Therefore, macrostresses are downscaled to second-order moments of hydrate stresses according to Eqs. (2.8) and (2.9), resulting in position-dependent [angles  $\psi$  and  $\omega$  according to Fig. 2.2(a)] and orientation-dependent [angles  $\vartheta$  and  $\varphi$  according to Fig. 2.2(b)] hydrate stresses. The Drucker-Prager failure criterion Eq. (2.10) is evaluated for all positions along the aggregate surface and all hydrate orientations  $f_{DP}[\psi, \omega; \vartheta, \varphi, \Sigma(\Sigma_{ini}, \lambda = 0)]$ . Only if all hydrates are still intact ( $f_{DP} < 0$ ), the macrostress is increased by increasing the load factor  $\lambda$ . This new stress state  $\Sigma(\Sigma_{ini}, \lambda > 0)$  is again downscaled, and hydrate failure is again assessed by

means of the Drucker-Prager failure criterion Eq. (2.10). Only if all hydrates are intact, the load is further increased. This procedure is repeated until any subdomain of the hydrates fail, indicated by  $f_{DP}[\psi_{crit}, \omega_{crit}; \vartheta_{crit}, \varphi_{crit}, \Sigma^{lim}(\Sigma_{ini}, \beta_1 = \beta_2 = 0, \lambda_{crit})] = 0$ , where  $\Sigma^{lim}$  is the elastic limit,  $\lambda_{crit}$  is the corresponding load factor, angles  $\psi_{crit}, \omega_{crit}$  indicate the relevant location at the aggregate surface and  $\vartheta_{crit}, \varphi_{crit}$  indicate the relevant hydrate orientation. The relevant position ( $\psi_{crit}$  and  $\omega_{crit}$ ) and the relevant orientation angles ( $\vartheta_{crit}$  and  $\varphi_{crit}$ ) indicating the most unfavorably loaded ITZ domain and hydrate, respectively, may change with increasing load factor  $\lambda$ .

- As for *proportionally* loaded compression tests performed by Hussein and Marzouk [22], Poinard et al. [41], and Hampel et al. [18], the position and the orientation of the most unfavorably loaded ITZ domain and hydrate do not change with respect to the load factor  $\lambda$ . This way, the evaluation of the elastic limit is simplified and stress downscaling can be limited to only one value of the load factor  $\lambda$ . Macro stresses  $\Sigma(\Sigma_{ini} = 0, \beta_1, \beta_2, \lambda)$  are again downscaled to hydrate stresses. Based on the Drucker-Prager failure criterion, the most unfavorably loaded ITZ domain and hydrate need to be searched for:  $f_{DP} \rightarrow \max$ , yielding the relevant angles  $\Rightarrow \psi_{crit}, \omega_{crit}; \vartheta_{crit}, \varphi_{crit}$ . The relevant load factor  $\lambda_{crit}$  is then obtained from solving  $f_{DP}[\psi_{crit}, \omega_{crit}; \vartheta_{crit}, \varphi_{crit}, \Sigma^{lim}(\Sigma_{ini} = 0, \beta_1, \beta_2, \lambda_{crit})] = 0$ , and the corresponding macro stress state  $\Sigma^{lim} = \Sigma(\Sigma_{ini} = 0, \beta_1, \beta_2, \lambda_{crit})$  is the sought elastic limit  $\Sigma^{lim}$ .

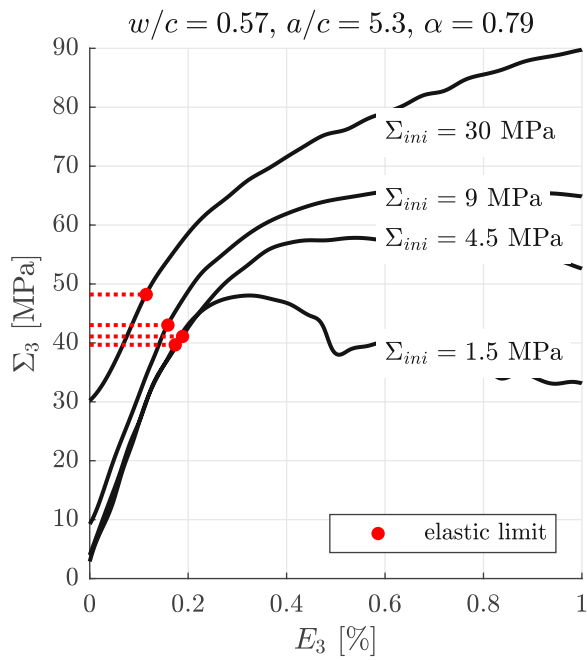
### 3.2 Comparison of model-predicted elastic limits to experimental stress-strain curves

Model-predicted elastic limits agree, for all ten studied concrete compositions, well with the initiation of major nonlinearities in the experimentally determined stress-strain curves, see Fig. 3.1 and 3.2. This underlines that onset of ITZ-driven failure corresponds to the end of the virtually elastic region, and that the model is also relevant for *multiaxially* compressed concretes. In this context, we note that upscaling of microscopic ITZ failure for uniaxially compressed concretes yields very good predictions for the macroscopic strength values [31]. As for multiaxially compressed concretes, in turn, upscaling of ITZ failure yields macroscopic elastic limits which are lower than the strength values. In contrast to uniaxial compression tests, loading above the limit stresses  $\Sigma^{lim}$  is possible, but leads to inelastic volume compaction accompanied by large strains [17].

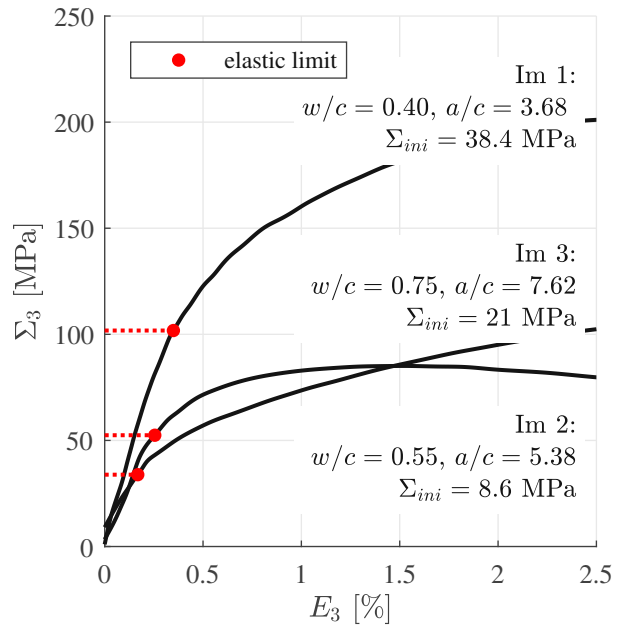
The elastic limits of concrete increase with increasing lateral pressure, see the stress strain curves of Sfer et al. [45] and Geel [17] in Fig. 3.1(a, c), but the difference between the largest lateral pressure and the smallest lateral pressure is rather small. This experimental observation is very well captured by the model. Elastic limits for the test of Sfer et al. [45] with  $\Sigma_{ini} = 30$  MPa amount to  $\Sigma_3^{lim} = 48.2$  MPa, while for the tests with  $\Sigma_{ini} = 1.5$  MPa, elastic limits amount to  $\Sigma_3^{lim} = 39.7$  MPa, and are thus only by 17.6% smaller. By analogy, the elastic limit for the



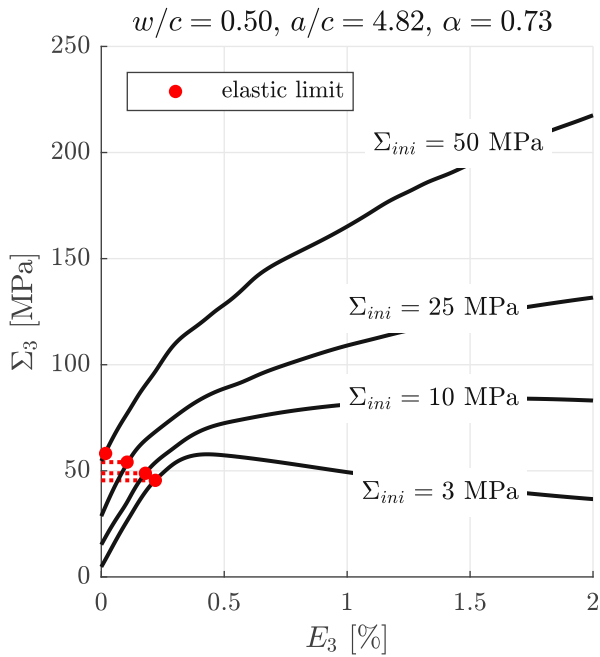
test of Geel [17] with the smallest lateral pressure  $\Sigma_{ini} = 3 \text{ MPa}$  ( $\Sigma_3^{lim} = 45.5 \text{ MPa}$ ) is only by 21.8 % smaller than the elastic limit for the tests with the largest lateral pressure  $\Sigma_{ini} = 50 \text{ MPa}$  ( $\Sigma_3^{lim} = 58.2 \text{ MPa}$ ). The corresponding strength values, i.e. the experimentally measured peak stresses (if observable), significantly increase with increasing lateral pressure. The discussion on the difference between strength values and elastic limits is continued in Section 3.5.



(a) Experimental data from Sfer et al. [45]

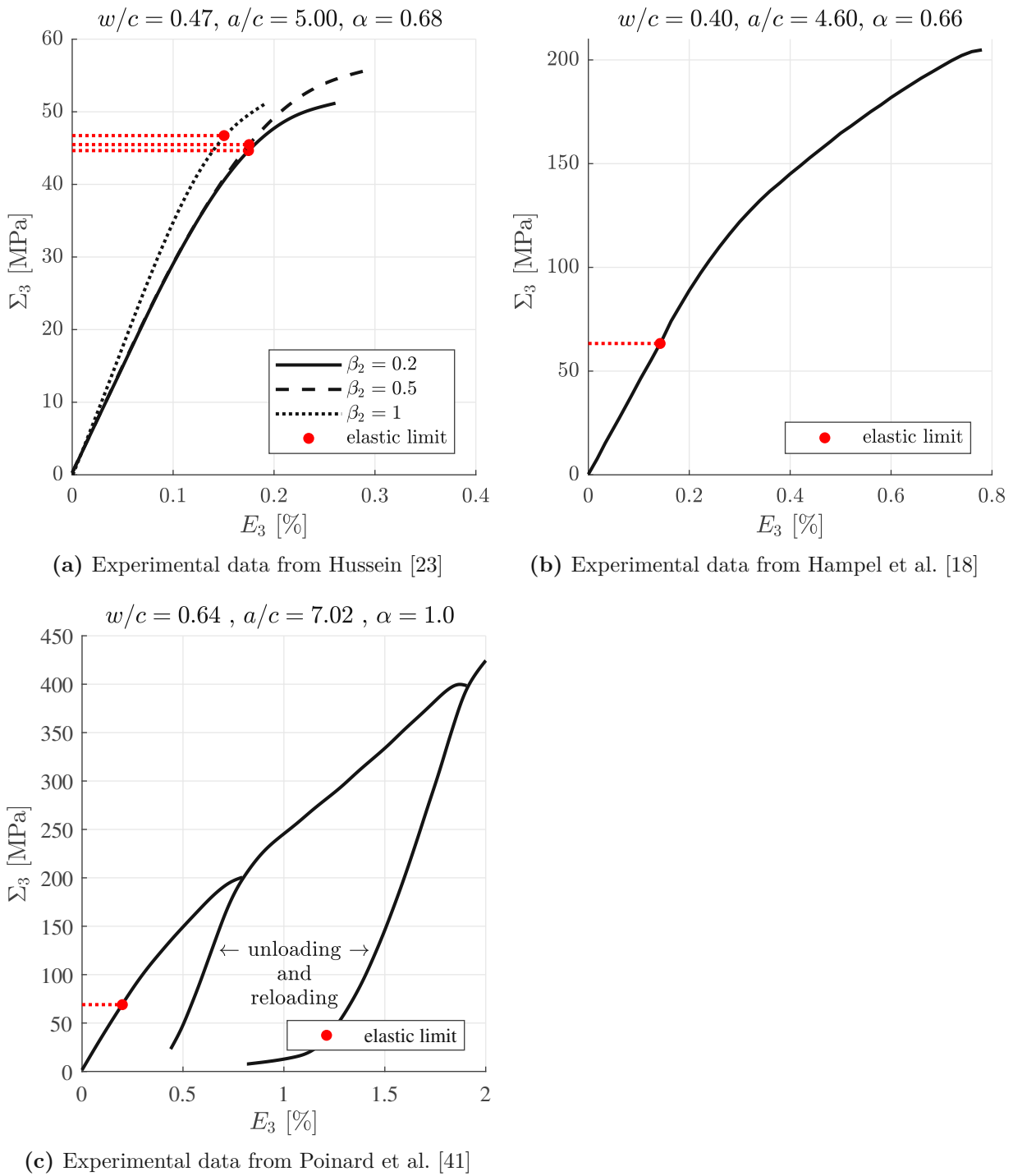


(b) Experimental data from Imran and Pantazopoulou [24]



(c) Experimental data from Geel [17]

**Fig. 3.1:** Model validation: Comparison of predicted elastic limits with experimental stress-strain curves from Fig. 2.5 for triaxial compression tests with axial symmetry

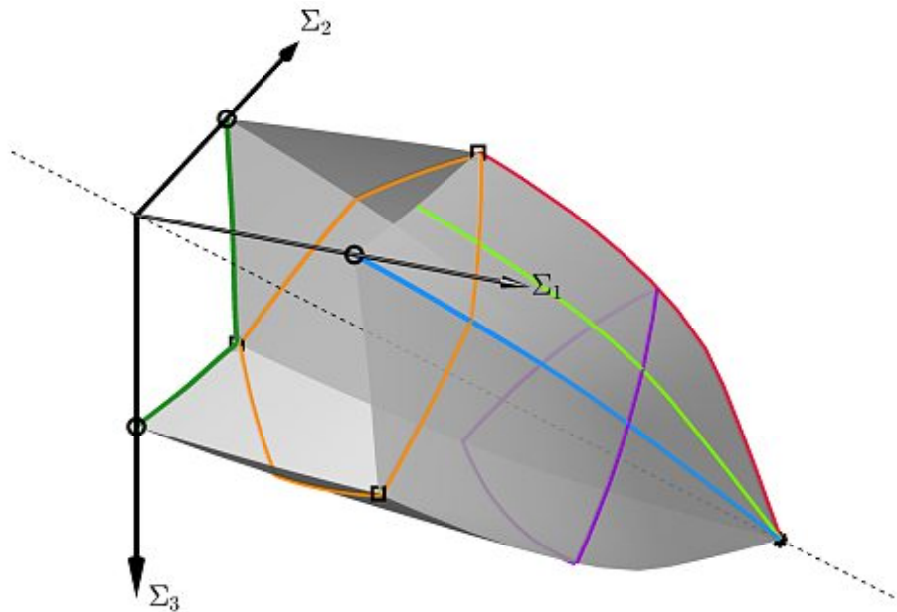


**Fig. 3.2:** Model validation: Comparison of predicted elastic limits with experimental stress-strain curves from Fig. 2.6 for (a) biaxial, (b) triaxial, and (c) hydrostatic proportional compression tests

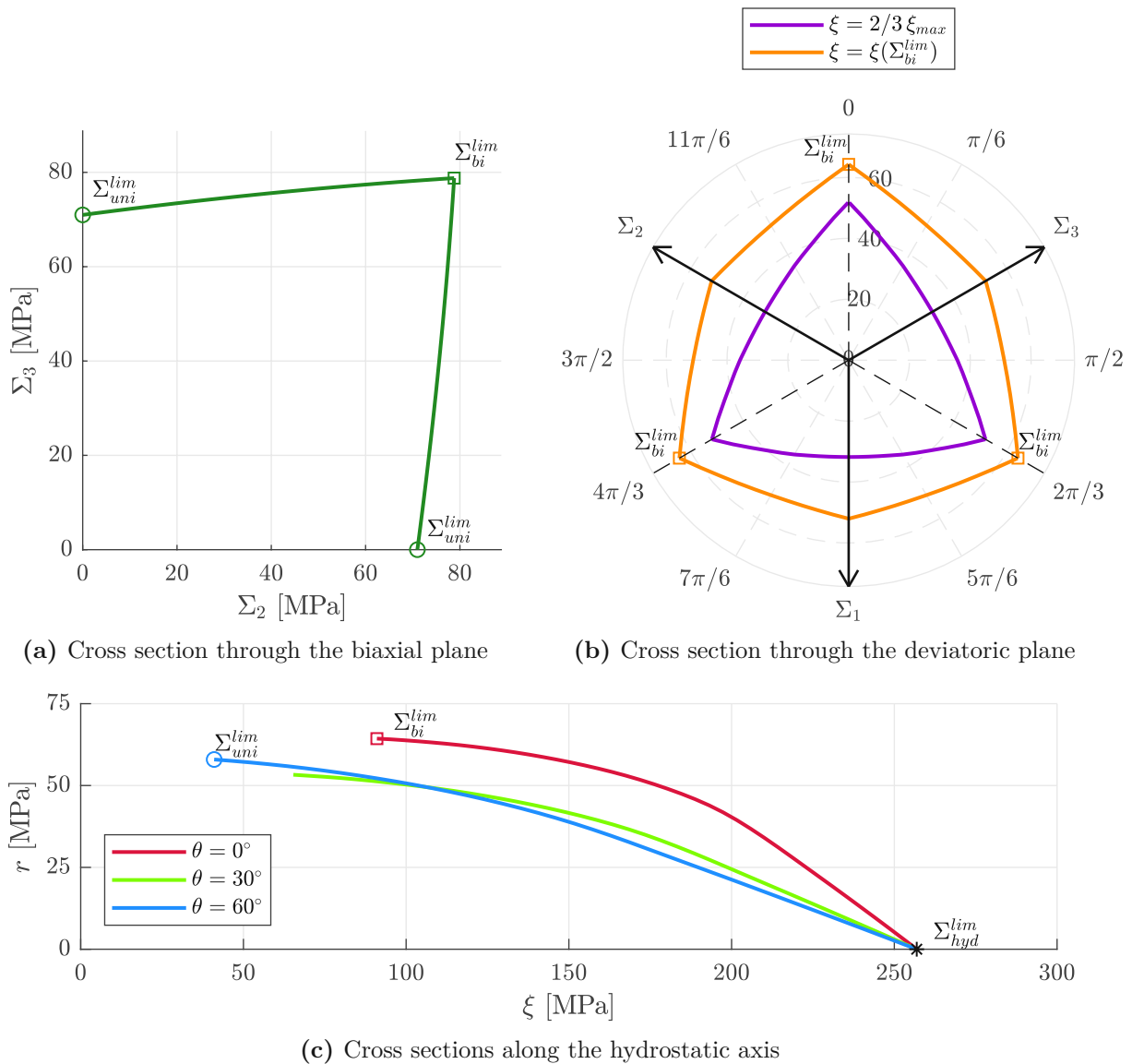
### 3.3 Model-predicted elastic limit surfaces in principal stress space

The promising model performance regarding elastic limits under multiaxial compression motivates us to study model-predictions for general compressive loading scenarios. Therefore, we focus on a fully hydrated and proportionally loaded benchmark concrete (hydration degree  $\alpha = 1$  and  $\Sigma_{ini} = 0$ ) with  $w/c$  ratio amounting to 0.5 and  $a/c$  ratio amounting to 5.0. The predicted elastic limits under uniaxial, symmetric biaxial, and hydrostatic compression,  $\Sigma_{uni}^{lim}$ ,  $\Sigma_{bi}^{lim}$ , and  $\Sigma_{hyd}^{lim}$ , amount to 71.0, 78.8, and 148.3 MPa, respectively. Evaluating the elastic limit for all possible combinations of  $\Sigma_1$ ,  $\Sigma_2$ , and  $\Sigma_3$  yields an elastic limit surface in principal stress space (see Fig. 3.3). Its tip is located at the hydrostatic axis defined as  $\Sigma_1 = \Sigma_2 = \Sigma_3$ . Stress states inside the convex elastic limit surface refer to intact ITZs and thus elastic material behavior. Stress states at the surface refer to elastic limits.

We discuss three types of plane sections through the elastic limit surface in the principal stress space, resulting in three elastic limit envelopes. In this context Haigh-Westergaard coordinates are introduced: the hydrostatic coordinate  $\xi$ , the deviatoric coordinate  $r$ , and the Lode angle  $\theta$ , see Appendix B. Biaxial elastic limit envelopes are obtained by cutting the elastic limit surface with the  $\Sigma_2$ ,  $\Sigma_3$ -plane, see Fig. 3.4(a). The elastic limit  $\Sigma_3^{lim}$  increases monotonously with increasing stress component  $\Sigma_2$ . Cutting the elastic limit surface normal to the hydrostatic axis yields elastic limit envelopes in the deviatoric plane. Two such envelopes are illustrated in Fig. 3.4(b): one contains the hydrostatic symmetric biaxial compression  $\xi = \xi(\Sigma_{bi}^{lim})$ , the other is located at  $\xi = 2/3 \xi_{max}$ , with  $\xi_{max} = \Sigma_{hyd}^{lim}/\sqrt{3}$  as the hydrostatic coordinate at the tip of the limit surface. The former deviatoric elastic limit envelope exhibits a hexagonal shape resembling a Mohr-Coulomb limit surface, the latter a triangle-shaped. The maximum sustainable deviatoric stress (the maximum of the deviatoric coordinate  $r$ ) coincides with the symmetric biaxial compression stress state ( $\Sigma_2 = \Sigma_3 = \Sigma_{bi}^{lim}$ ,  $\Sigma_1 = 0$ ). This is also observed in the envelopes resulting from plane sections through the elastic limit surface, with cutting planes containing the hydrostatic axis, see Fig. 3.4(c) for envelopes with Lode angles  $\theta \in \{0^\circ, 30^\circ, 60^\circ\}$ . The uniaxial compression stress  $\Sigma_{uni}^{lim}$  refers to Lode angle  $\theta = 60^\circ$ , symmetric biaxial compression to Lode angle  $\theta = 0^\circ$ .



**Fig. 3.3:** 3D view of the elastic limit surface in principal stress space of a fully hydrated benchmark concrete ( $w/c = 0.5$ ,  $a/c = 5$ , and  $\alpha = 1$ ); the colored lines refer to the elastic limit envelopes in Fig. 3.4, the dashed line is the hydrostatic axis and the circle, square, and star points refer to the uniaxial, symmetric biaxial, and the hydrostatic elastic limit, respectively



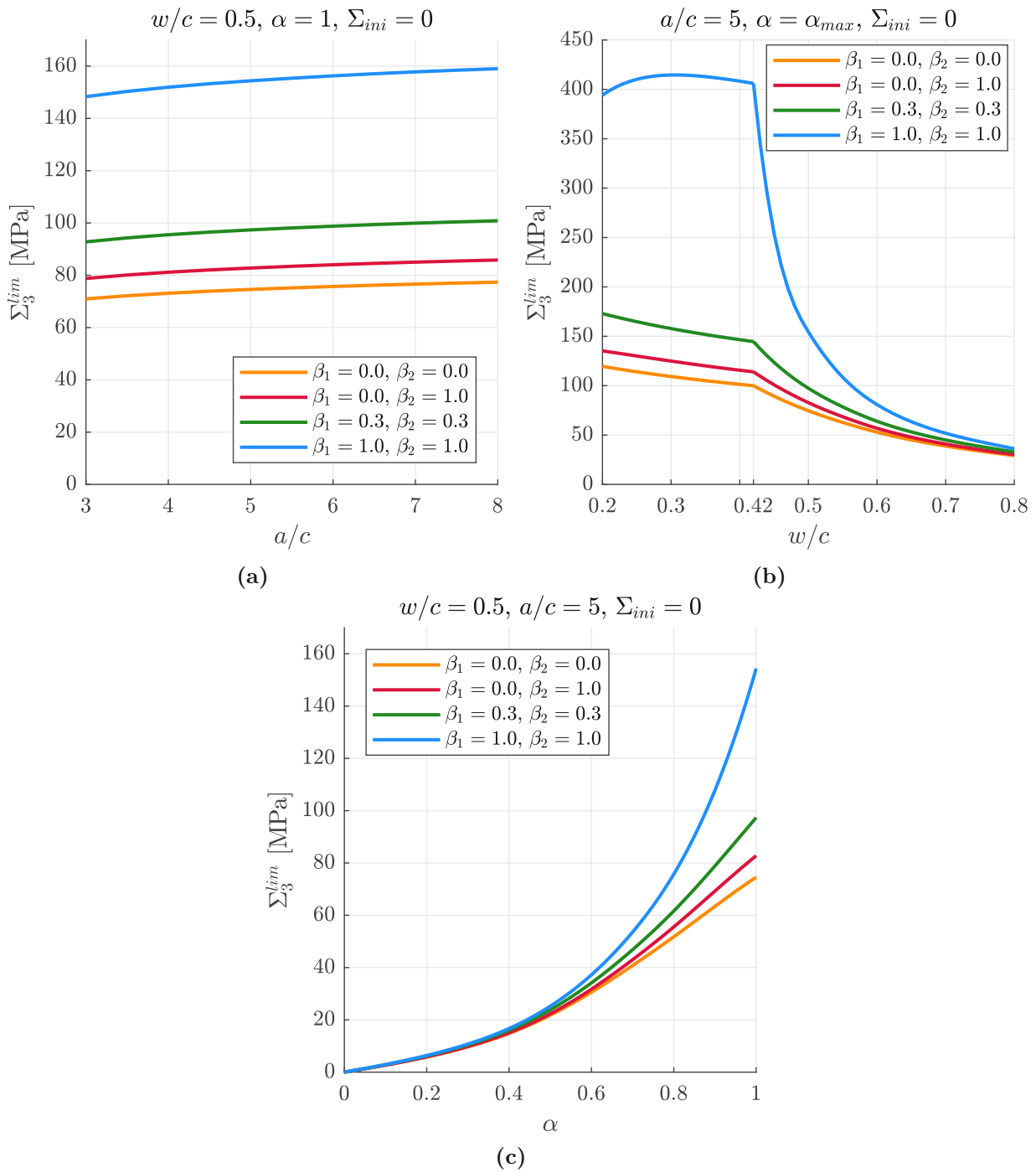
**Fig. 3.4:** Elastic limit envelopes of a fully hydrated benchmark concrete ( $w/c = 0.5$ ,  $a/c = 5$  and  $\alpha = 1$ ) in three different sections through the elastic limit surface; note that positive values are compression; the individual elastic limit envelopes can be retrieved in the 3D elastic limit surface Fig. 3.3

### 3.4 Sensitivity analysis w.r.t. composition and maturity

The promising model performance also provides the motivation for sensitivity analysis, i.e. the model is evaluated for different concretes and different maturities. The influences of the aggregate-to-cement ratio  $a/c \in [3, 8]$ , the water-to-cement ratio  $w/c \in [0.2, 0.8]$ , and the hydration degree  $\alpha \in [0, \alpha_{max}]$ , with  $\alpha_{max}$  according to Eq. (2.5), on the predicted elastic limits of the benchmark concrete ( $w/c = 0.5$ ,  $a/c = 5$ ,  $\alpha = \alpha_{max}$ ) is investigated. Therefore, we evaluate the model for four loading scenarios: uniaxial loading with  $\beta_1 = 0.0$  and  $\beta_2 = 0.0$ , symmetric biaxial loading with  $\beta_1 = 0.0$  and  $\beta_2 = 1.0$ , a characteristic triaxial loading with  $\beta_1 = 0.3$  and  $\beta_2 = 0.3$ , and

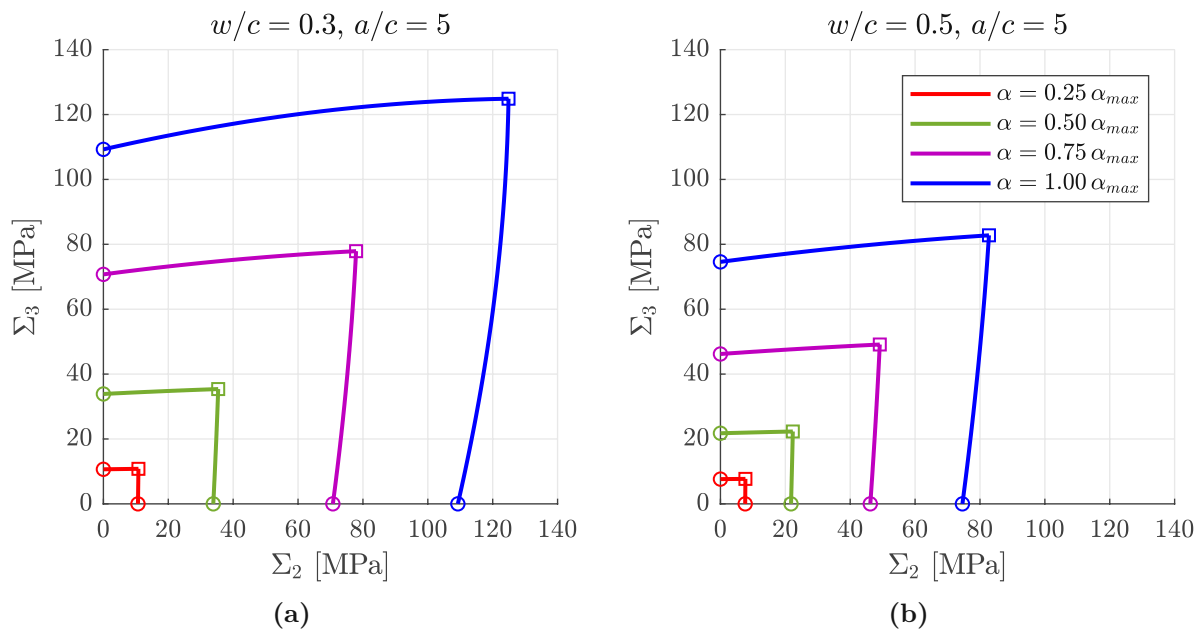
hydrostatic loading with  $\beta_1 = 1.0$  and  $\beta_2 = 1.0$ ; all of which refer to proportional loading with  $\Sigma_{ini} = 0$ . Model-predicted elastic limits increase (i) moderately with increasing  $a/c$  ratio, (ii) significantly with increasing hydration degree  $\alpha$ , and (iii) significantly with decreasing  $w/c$  ratio, at least in the regime  $w/c > 0.42$ , see Fig. 3.5. The kink in Fig. 3.5(b) at  $w/c = 0.42$  results from the effect that there is not enough water for complete hydration ( $\alpha_{max} < 1$ ) in concretes with  $w/c < 0.42$ , but full hydration of the cement is possible for concretes with  $w/c \geq 0.42$ , see Eq. (2.5). As for uniaxial, symmetric biaxial, and characteristic triaxial loading, the decrease of the elastic limit with increasing  $w/c$ -ratio is small up to  $w/c < 0.42$ , but significant thereafter. As for hydrostatic compression, the elastic limit is virtually constant at  $\Sigma_3^{lim} \approx 400$  MPa for  $w/c \in [0.2, 0.42]$ , which is roughly three times larger than the elastic limit related to the other three loading scenarios, and the elastic limit decreases sharply with increasing  $w/c$  ratios for  $w/c > 0.42$ , see the blue graph in Fig. 3.5(b). At  $w/c = 0.8$ , it amounts to  $\Sigma_3^{lim} \approx 35$  MPa, and is very close to the elastic limits related to the other three loading scenarios. This shows that the beneficial confinement pressure effect on the elastic limit is particularly large for concretes with small  $w/c$  ratios.

Given the moderate influence of the aggregate-to-cement ratio  $a/c$  on the elastic limit of concrete, we study the sensitivity of the water-to-cement ratio  $w/c$  and hydration degree  $\alpha$ , but keep the aggregate-to-cement ratio constant at  $a/c = 5$ . In more detail, we consider two water-to-cement ratios  $w/c = \{0.3, 0.5\}$ , and four different hydration degrees  $\alpha = \{0.25, 0.5, 0.75, 1.0\} \times \alpha_{max}$  with  $\alpha_{max}$  amounting to 0.71 and 1, respectively, see also Eq. (2.5). For these  $2 \times 4 = 8$  concretes, the elastic limit envelopes introduced in Section 3.3 are analyzed. Again, the elastic limit increases with increasing hydration degree and decreasing water-to-cement ratio, see Fig. 3.6, Fig. 3.7, and Fig. 3.8. As for reference,  $\Sigma_{uni}^{lim}$ ,  $\Sigma_{bi}^{lim}$  and  $\Sigma_{hyd}^{lim}$  are marked with circles, squares, and stars, respectively. The symmetric biaxial elastic limit is always slightly larger than the respective uniaxial elastic limit, see Fig. 3.6, indicating a small benefit due to the additional lateral pressure in  $e_2$  direction. The symmetric biaxial elastic limit  $\Sigma_{bi}^{lim}$  increases, by roughly 150% for all hydration degrees  $\alpha = \{0.25, 0.5, 0.75, 1.0\} \times \alpha_{max}$  when the  $w/c$ -ratio decreases from 0.5 to 0.3. Comparing the symmetric biaxial elastic limit at hydration degree  $\alpha = 0.5 \alpha_{max}$  to  $\alpha = 1.0 \alpha_{max}$ , the increase amounts to 353% and 371% for  $w/c = 0.3$  and  $w/c = 0.5$ , respectively. The deviatoric elastic limit envelope changes its shape: it is close to an equilateral triangle for young concretes, but resembles a hexagonal polygon, similar to the Mohr-Coulomb limit surface, for mature concretes, see Fig. 3.7. The cross sections along the hydrostatic axis reveal a steady grow of the elastic limit surface with increasing hydration degree, see Fig. 3.8. The deviatoric coordinate  $r$  is monotonously decreasing with increasing hydrostatic stress  $\xi$  for most concretes this decrease is particularly sharp for young ones. Only for fully hydrated concrete with  $w/c = 0.3$ , a slight increase for increasing  $\xi$  is obtained. This goes along with an elastic limit envelope which extends long along the hydrostatic axis shape for fully hydrated concretes with low  $w/c$ -ratio.

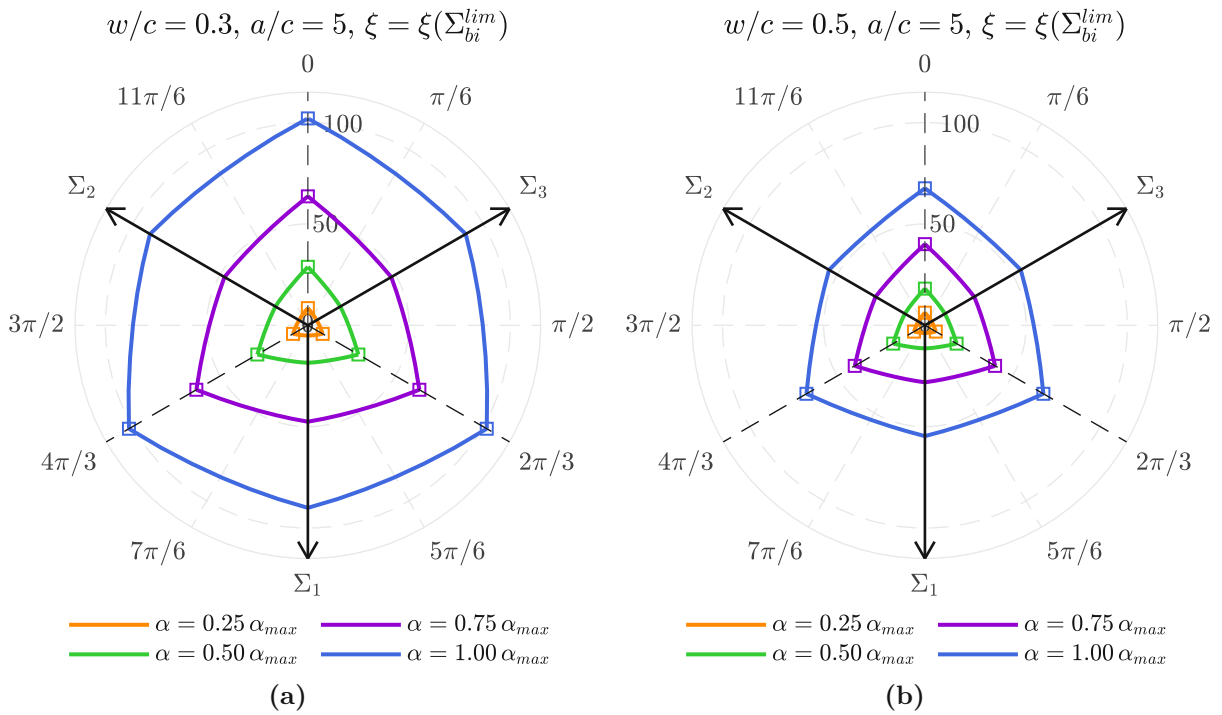


**Fig. 3.5:** Influence of (a) the aggregate-to-cement ratio  $a/c$ , (b) the water-to-cement ratio  $w/c$ , and (c) the hydration degree  $\alpha$  on the elastic limit stress  $\Sigma_3^{lim}$  of the benchmark concrete for four different loads

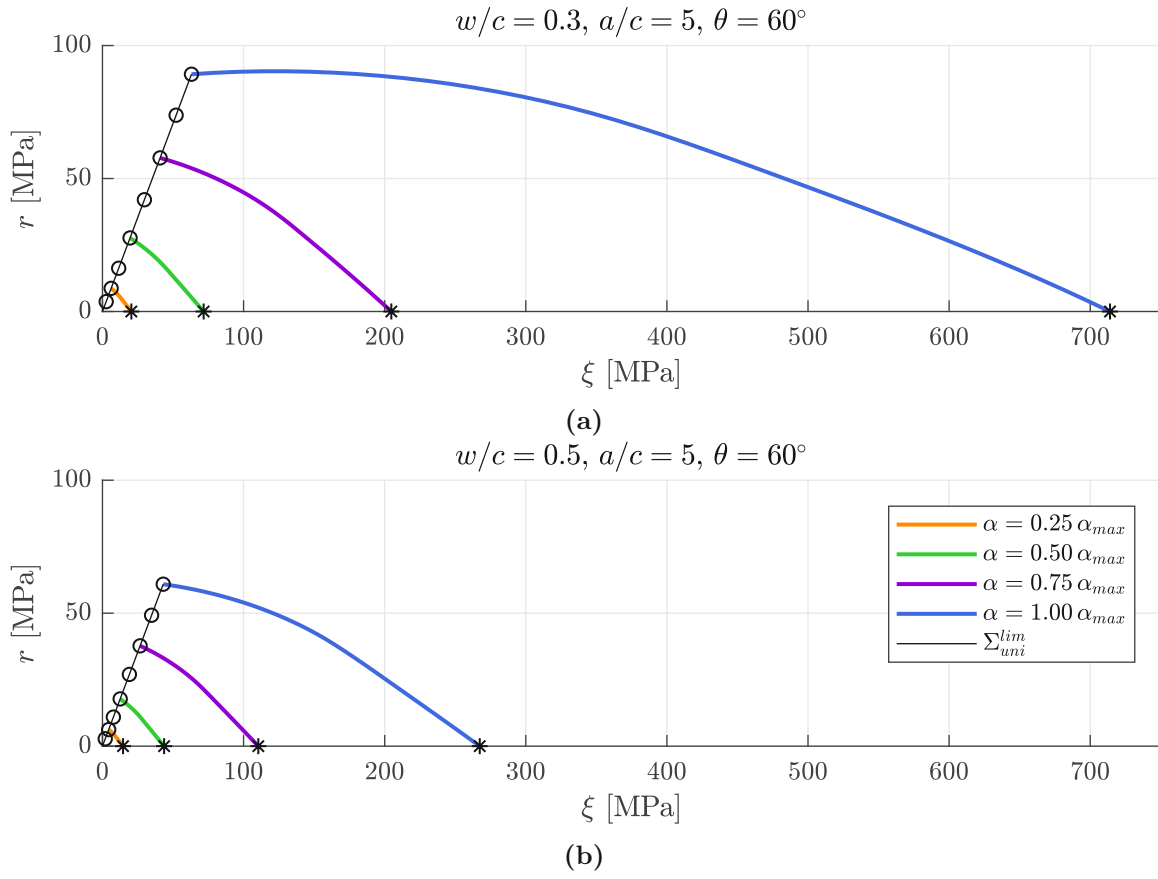




**Fig. 3.6:** Sensitivity analysis of the elastic limit envelope in the biaxial cross section through the principal stress space for (a)  $w/c = 0.3, \alpha_{max} = 0.71$  and (b)  $w/c = 0.5, \alpha_{max} = 1.00$ , whereby the four envelopes correspond to four hydration degrees  $\alpha \in \{0.25, 0.5, 0.75, 1\} \alpha_{max}$ ; square points refer to hydration degree-specific symmetric biaxial elastic limit, circle points to corresponding uniaxial elastic limit



**Fig. 3.7:** Sensitivity analysis of the elastic limit envelope in the deviatoric cross section through the principal stress space at  $\xi = \xi(\Sigma_{bi}^{lim})$  for (a)  $w/c = 0.3$ ,  $\alpha_{max} = 0.71$  and (b)  $w/c = 0.5$ ,  $\alpha_{max} = 1.00$ , whereby the four envelopes correspond to four hydration degrees  $\alpha \in \{0.25, 0.50, 0.75, 1.00\} \alpha_{max}$ ; square points refer to hydration degree-specific symmetric biaxial elastic limits



**Fig. 3.8:** Sensitivity analysis of the elastic limit envelope in the cross section along the hydrostatic axis at Lode angle  $\theta = 60^\circ$  for (a)  $w/c = 0.3$ ,  $\alpha_{max} = 0.71$  and (b)  $w/c = 0.5$ ,  $\alpha_{max} = 1.00$ , whereby the four envelopes correspond to four hydration degrees  $\alpha \in \{0.25, 0.5, 0.75, 1\} \alpha_{max}$ ; the eight circle points refer to uniaxial elastic limits for hydration degrees  $\alpha \in \{0.125, 0.25, \dots, 1.00\} \alpha_{max}$  and fall on the uniaxial stress path (black solid line), and the 4 stars refer to the hydrostatic elastic limits

### 3.5 Comparison of model-predicted elastic limits to experimental strength values

Herein, we discuss the difference between the model-predicted elastic limits and experimentally determined strength values. Therefore, we introduce the ratio  $\kappa$  as the ratio between the (experimentally measured) strength  $\Sigma_3^{ult}$  and the corresponding (model-predicted) elastic limit  $\Sigma_3^{lim}$ , i.e.

$$\kappa = \frac{\Sigma_3^{ult}}{\Sigma_3^{lim}}. \quad (3.1)$$

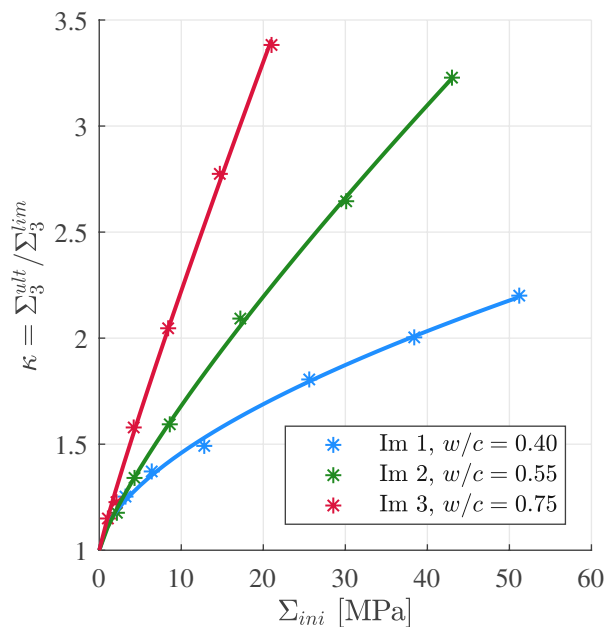
The stress ratio  $\kappa$  quantifies the inelasticity tolerated by the material:  $\kappa$  close to one indicates only minor inelastic reserves, while  $\kappa \gg 1$  indicates a large potential for inelastic compaction and thus a pronounced regime of pre-peak nonlinearities.

The strength values are taken from the triaxial compression tests by Imran and Pantazopoulou [24], see Table 2.2. The obtained  $\kappa$  values depend on the confinement, see Fig. 3.9. The strength values  $\Sigma_3^{ult}$  significantly increase with increasing lateral stress [24], while elastic limits  $\Sigma_3^{lim}$  increase only moderately, see Section 3.2. At an initial stress  $\Sigma_{ini} = 20$  MPa,  $\kappa$  increases from 1.7 for Im 1 with  $w/c = 0.4$  to  $\kappa = 2.2$  for Im 2 with  $w/c = 0.55$ , and even to  $\kappa = 3.3$  for Im 3 with  $w/c = 0.75$ . The individual  $\kappa$  values are fitted by a Power-law of the form

$$\kappa = 1 + a \left( \frac{\Sigma_{ini}}{1 \text{ MPa}} \right)^b, \quad (3.2)$$

with composition-dependent Power-law coefficients  $a$  and  $b$  listed in Table 3.1. The resulting Power-law functions agree very well with the individual points, see Fig. 3.9, indicated by coefficients of determination  $R^2 > 99\%$ .

Comparing the three Power-law functions shows that  $\kappa$  increases with increasing  $w/c$ -ratio. This highlights that inelastic reserves are much larger for concretes with high water-to-cement ratios, driven by the ability for inelastic compaction resulting from the relatively porous microstructure. Concretes with small  $w/c$ -ratios, in turn, exhibit dense microstructures which are less susceptible to compaction. The corresponding stress ratios  $\kappa$  are therefore smaller. A similar conclusion has been drawn in experimental campaigns [2, 18, 48], where the authors observed that the ratio between triaxial compressive strength and the uniaxial compressive strength is much smaller for high-strength concrete (with low  $w/c$ -ratio), compared to normal-strength concrete (with moderate  $w/c$  ratio).



**Fig. 3.9:** Ratio between the measured stress at concrete failure  $\Sigma_3^{ult}$  [24] and the model predicted elastic limit stress  $\Sigma_3^{lim}$  for the concretes from Imran and Pantazopoulou [24] (see Table 2.2) and different  $\Sigma_{ini}$

**Tab. 3.1:** Parameters for the function  $\kappa$  according to Eq. (3.2) for the three different mixtures tested from Imran and Pantazopoulou [24]

Mix	$a$	$b$
Im 1	0.119	0.585
Im 2	0.105	0.812
Im 3	0.149	0.913



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# Chapter 4

## Summary and Conclusions

The multiscale model of Königsberger et al. [31] is capable of predicting the strength of normal-strength concretes subjected to *uniaxial* compression. The model suggests that macroscopic failure of concrete originates from shear failure of microscopic hydrate needles which are part of the microstructure of Interfacial Transition Zones (ITZs) located between concrete aggregates and the surrounding cement paste matrix. The model is based on scale transition methods taken from continuum micromechanics. They are used to downscale stresses imposed on macroscopic specimens of concrete, all the scales down to microscopic hydrate needles inside ITZs. This is organized in three steps. The first one refers to the quantification of volume-averaged stress and strain states inside spherical aggregates, the second step is dedicated to the position-dependent transition to the stress and strain states inside the surrounding ITZ, and the third step to the orientation-dependent stress concentration into microscopic hydrate needles. Failure of concrete under macroscopic *uniaxial* compression is related to initiation of failure of most heavily stressed hydrate needles in most unfavorably loaded regions of the ITZs, described based on a Drucker-Prager failure criterion.

Brittle material behavior of concrete observed *under* macroscopic uniaxial compression, and rather ductile behavior under macroscopic *triaxial* compression, have provided the motivation to check whether or not the described multiscale model is capable of predicting *elastic* limits of concrete under macroscopic triaxial compressive stress states. To this end, a literature survey was performed, in order to collect experimentally determined stress-strain diagrams obtained from different types of *triaxial* compression tests. The material behavior observed in such experiments can be categorized into three consecutive stages. In the first one, the stresses increase virtually linearly with increasing strains. This indicates elastic material behavior. In the second stage, the stresses increase underlinearly with increasing strains, leading to a stress maximum. The nonlinear material behavior refers to progressive damage of the microstructure. The third stage is called the “post-peak regime”, because the stresses decrease with increasing strains. This refers to the disintegration of the tested specimen. The strength of concrete under macroscopic triaxial compression is usually several times larger than the macroscopic uniaxial compressive strength. Thus, several experimental campaigns had to be stopped somewhere inside the second stage, because the testing facility was not strong enough in order to explore the failure of material.

Herein, several triaxial tests are re-analyzed, in a customized fashion, based on the multiscale model of Königsberger et al. [31]. The model accounts for the initial composition of every

analyzed concrete, based on the initial water-to-cement mass ratio  $w/c$  and the initial aggregate-to-cement mass ratio  $a/c$ . These two mix-design-related properties had been provided by the experimenters in their original publications. In addition, the model accounts for the maturity of every analyzed concrete, based on a specific value of the degree of hydration  $\alpha$ . The latter is identified such that the multiscale model reproduces the experimentally determined *uniaxial* compressive strength value. Once  $w/c$ ,  $a/c$ , and  $\alpha$  are known, the model is ready to be evaluated for any macroscopic stress state of interest. Simulating the experimentally imposed stress paths, the model is evaluated all the way up to the model-predicted elastic limit of concrete. In case of a proportional increase of a reference load, a linear problem is obtained, i.e. the elastic limit can be computed rather simply, based on the investigation of *one single* intensity of the macroscopic loading. Some experimental protocols, in turn, had been organized in two phases: a hydrostatic initial loading step followed by additional uniaxial loading. This required a much more elaborate algorithmic treatment. Because the overall linearity of the problem is limited to the first phase of the test, the stress path experimentally imposed during the second phase had to be followed in a step-by-step fashion, based on the investigation of a sequence of *many different* macroscopic stress states.

For every experiment analyzed, the model-predicted elastic limit stress was marked in the experimentally determined stress-strain diagram. This way, it was found that the transition from the first “linear elastic” stage to the subsequent stage of nonlinear stress-strain behavior can be predicted with a precision suitable for engineering design purposes. This provided the motivation to perform several types of sensitivity analyses which would be difficult to realize in laboratory testing. From the result of the described study, the following conclusions are drawn.

- Upscaling of onset of failure of hydrate needles inside ITZs allows for computing model-predicted elastic limits for multiaxially compressed concretes.
- The model-predicted elastic limits agree well with the transition from the initial “linear elastic” stage to the subsequent stage of nonlinear stress-strain behavior of concrete.
- As regards the mix-design parameters, elastic limits of well hardened concrete are the larger the smaller the initial water-to-cement mass ratio. The initial aggregate-to-cement mass ratio, in turn, has a significantly smaller influence.
- As regards the maturity of concrete, elastic limits increase significantly with increasing degree of hydration.
- Increasing lateral confinement pressure results in
  - a moderate increase of the elastic limits of young concretes and of mature concretes with large values of the initial water-to-cement mass ratio ( $w/c > 0.5$ ),
  - a significant increase of the elastic limits of mature concretes with small values of the initial water-to-cement mass ratio ( $w/c < 0.5$ ).



- With increasing confinement-pressure, the experimentally determined triaxial compressive strength values increase significantly. This increase is much more pronounced than the corresponding increase of the model-predicted elastic limits.
- The difference between the experimentally measured strength values and the model-predicted elastic limits increases with increasing initial water-to-cement mass ratio. Concretes with  $w/c$  values smaller than 0.55 exhibit relatively dense microstructures with only little potential for inelastic compaction. Concretes with  $w/c$  values larger than 0.55 exhibit relatively porous microstructures with much more pronounced compaction potential.



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## Appendix A

### Homogenized stiffness tensors and stress concentrations tensors

Herein, we describe the stiffness homogenization of concrete and the stress concentration tensors used for downscaling the macrostresses into spatial average hydrate stresses. First, the hydrate foam [Fig. 2.1(c)] is homogenized by means of the self consistent scheme [20, 21, 33], which is extended for infinitely many phases [16]. This self consistent scheme is appropriate due to the polycrystalline interaction between the hydrate needle phase and capillary pore phase and yields an implicit expression of the homogenized stiffness tensor of the hydrate foam  $\mathbf{C}_{hf}$ , reading as [39]:

$$\begin{aligned} \mathbf{C}_{hf} = & \left( f_{pore}^{hf} \mathbf{C}_{pore} : \left\{ \mathbf{I} + \mathbf{P}_{sph}^{hf} : [\mathbf{C}_{pore} - \mathbf{C}_{hf}] \right\}^{-1} \right. \\ & \left. + f_{hyd}^{hf} \mathbf{C}_{hyd} : \int_0^{2\pi} \int_0^{\pi} \left\{ \mathbf{I} + \mathbf{P}_{cyl}^{hf}(\varphi, \vartheta) : [\mathbf{C}_{hyd} - \mathbf{C}_{hf}] \right\}^{-1} \frac{\sin \vartheta}{4\pi} d\vartheta d\varphi \right) : \\ & \left( f_{pore}^{hf} \left\{ \mathbf{I} + \mathbf{P}_{sph}^{hf} : [\mathbf{C}_{pore} - \mathbf{C}_{hf}] \right\}^{-1} \right. \\ & \left. + f_{hyd}^{hf} \int_0^{2\pi} \int_0^{\pi} \left\{ \mathbf{I} + \mathbf{P}_{cyl}^{hf}(\varphi, \vartheta) : [\mathbf{C}_{hyd} - \mathbf{C}_{hf}] \right\}^{-1} \frac{\sin \vartheta}{4\pi} d\vartheta d\varphi \right)^{-1}. \end{aligned} \quad (\text{A.1})$$

In Eq. (A.1),  $f_{hyd}^{hf}$  and  $f_{pore}^{hf}$  are the hydrate foam-related volume fractions of the hydrate needles and the pores, respectively, according to Eq. (2.4).  $\mathbf{C}_{hyd}$  and  $\mathbf{C}_{pore}$  denote the phase elasticity tensor of the hydrate needles and the pores, respectively [see Eq. (2.6)].  $\mathbf{P}_{cyl}^{hf}$  and  $\mathbf{P}_{sph}^{hf}$  denote the phase-specific Hill tensors. They incorporate the cylindrical shape of the hydrate needles ( $\mathbf{P}_{cyl}^{hf}$ ) and the spherical shapes of the pores ( $\mathbf{P}_{sph}^{hf}$ ), see Pichler et al. [39]. The orientation of the hydrate needle is described by the zenith  $\vartheta$  and azimuth  $\varphi$  angles according to Fig. 2.2(b). At the RVE of cement paste, clinker is embedded in a hydrate foam matrix, rendering the Mori-Tanaka

scheme [5, 36] appropriate for homogenization. The homogenized stiffness tensors of cement paste  $\mathbf{C}_{cp}$  then reads as [39]

$$\mathbf{C}_{cp} = \left( f_{hf}^{cp} \mathbf{C}_{hf} + f_{clin}^{cp} \mathbf{C}_{clin} : \left\{ \mathbf{I} + \mathbf{P}_{sph}^{hf} : [\mathbf{C}_{clin} - \mathbf{C}_{hf}] \right\}^{-1} \right) : \left( f_{hf}^{cp} \mathbf{I} + f_{clin}^{cp} \left\{ \mathbf{I} + \mathbf{P}_{sph}^{hf} : [\mathbf{C}_{clin} - \mathbf{C}_{hf}] \right\}^{-1} \right)^{-1}, \quad (\text{A.2})$$

where  $f_{clin}^{cp}$  and  $f_{hf}^{cp}$  is the cement paste-related clinker and hydrate foam matrix volume fraction, respectively, according to Eq. (2.3) and  $\mathbf{C}_{clin}$  denotes the phase elasticity tensor of the clinker grains. As for the homogenization of the concrete RVE, the Mori-Tanaka scheme [5, 36] is again appropriate since the aggregates are embedded in the cement paste matrix, see Fig. 2.1(a). The resulting homogenized stiffness tensor of concrete,  $\mathbf{C}_{con}$ , reads as [40]

$$\mathbf{C}_{con} = \left( f_{cp}^{con} \mathbf{C}_{cp} + f_{agg}^{con} \mathbf{C}_{agg} : \left\{ \mathbf{I} + \mathbf{P}_{sph}^{cp} : [\mathbf{C}_{agg} - \mathbf{C}_{cp}] \right\}^{-1} \right) : \left( f_{cp}^{con} \mathbf{I} + f_{agg}^{con} \left\{ \mathbf{I} + \mathbf{P}_{sph}^{cp} : [\mathbf{C}_{agg} - \mathbf{C}_{cp}] \right\}^{-1} \right)^{-1}, \quad (\text{A.3})$$

whereby  $f_{agg}^{con}$  and  $f_{cp}^{con}$  denotes the concrete-related aggregate and cement paste volume fraction, respectively (see Eq. (2.2)) and  $\mathbf{P}_{sph}^{con}$  stands for the cement paste-related Hill tensor describing spherical inclusions.

Downscaling of the macrostresses to the spatial average of hydrate stresses calls for the stress concentration tensors  $\mathbf{B}_{agg}^{con}$  and  $\mathbf{B}_{cp}^{agg}$ , see Eq. (2.7). The isotropic concrete-to-aggregate stress concentration tensor  $\mathbf{B}_{agg}^{con}$  is given by [29]

$$\mathbf{B}_{agg}^{con} = \mathbf{C}_{agg} : \left\{ \mathbf{I} + \mathbf{P}_{sph}^{cp} : [\mathbf{C}_{agg} - \mathbf{C}_{cp}] \right\}^{-1} : \left( f_{cp}^{con} \mathbf{I} + f_{agg}^{con} \left\{ \mathbf{I} + \mathbf{P}_{sph}^{cp} : [\mathbf{C}_{agg} - \mathbf{C}_{cp}] \right\}^{-1} \right)^{-1} : (\mathbf{C}_{con})^{-1}. \quad (\text{A.4})$$

Finally, the non-zero components of the aggregate-to-cement paste stress concentration tensor  $\mathbf{B}_{cp}^{agg}$  are given in the spherical base frame vectors  $\underline{e}_r$ ,  $\underline{e}_\psi$ ,  $\underline{e}_\omega$  according to Fig. 2.2(a) [29]:

$$\begin{aligned} B_{cp,rrrr}^{agg} &= 1 \\ B_{cp,\psi\psi\psi\psi}^{agg} &= B_{cp,\omega\omega\omega\omega}^{agg} = \mu_{cp} (3 k_{agg} k_{cp} + 2 k_{agg} \mu_{cp} + 2 k_{cp} \mu_{agg}) / \Delta \\ B_{cp,\psi\psi\omega\omega}^{agg} &= B_{cp,\omega\omega\psi\psi}^{agg} = 2 \mu_{cp} (k_{cp} \mu_{agg} - k_{agg} \mu_{cp}) / \Delta \\ B_{cp,\psi\psi rr}^{agg} &= B_{cp,\omega\omega rr}^{agg} = [3 k_{agg} k_{cp} (\mu_{agg} - \mu_{cp}) - 2 \mu_{agg} \mu_{cp} (k_{agg} - k_{cp})] / \Delta \\ B_{cp,r\psi r\psi}^{agg} &= B_{cp,r\omega r\omega}^{agg} = \frac{1}{2} \\ B_{cp,\psi\omega\psi\omega}^{agg} &= \frac{\mu_{cp}}{2 \mu_{agg}} \end{aligned} \quad (\text{A.5})$$

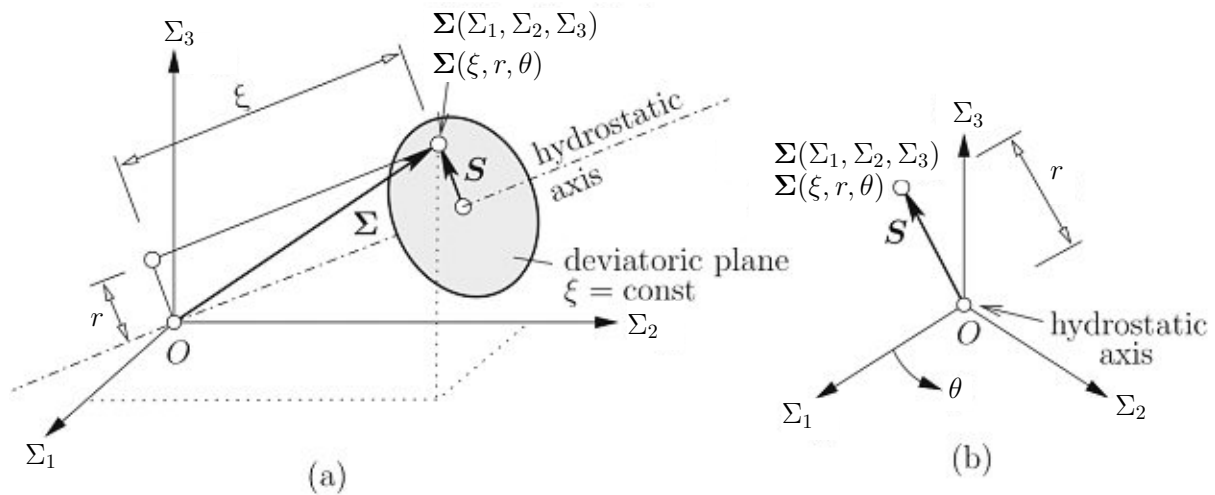
with  $\Delta = k_{agg} \mu_{agg} (3 k_{cp} + 4 \mu_{cp})$  and with the symmetries  $B_{cp,ijkl}^{agg} = B_{cp,jikl}^{agg} = B_{cp,ijlk}^{agg} = B_{cp,jilk}^{agg}$ .



## Appendix B

### Haigh-Westergaard coordinate system

The Haigh-Westergaard coordinate system is well suited for depicting and discussing multiaxial stress states. It is a cylindrical coordinate system, whereby the cylinder axis is equal to the hydrostatic axis, i.e. the space diagonal of the principal stress space (see Fig. B.1). On the hydrostatic axis, the three principal stresses are equal ( $\Sigma_1 = \Sigma_2 = \Sigma_3$ ). The plane which is normal to the hydrostatic axis is called deviatoric plane (see Fig. B.1) [30, 34]. Any stress state



**Fig. B.1:** (a) Macroscopic stress tensor with the Haigh-Westergaard coordinates, (b) deviatoric plane with the stress deviator  $\mathbf{S}$  [30]; note that in this figure positive values are tension.

$\Sigma(\Sigma_1, \Sigma_2, \Sigma_3)$  can then be described by means of three Haigh-Westergaard coordinates  $\Sigma(\xi, r, \theta)$ : a hydrostatic coordinate  $\xi$ , a deviatoric coordinate  $r$ , and the Lode angle  $\theta$ . The hydrostatic coordinate  $\xi$  is the projection length of  $\Sigma$  on the hydrostatic axis,  $r$  is the projection length of  $\Sigma$  on the deviatoric plane, labeled  $\mathbf{S}$  in Fig. B.1, and  $\theta$  is the angle between  $\mathbf{S}$  and the projection of the coordinate axis  $\Sigma_1$ .

Coordinate transformations from the Cartesian base frame with coordinates  $\Sigma_1, \Sigma_2, \Sigma_3$  to the cylindrical base frame with coordinates  $\xi, r, \theta$  are discussed. The Haigh-Westergaard coordinates of any stress tensor  $\Sigma$  follow from [43]

$$\xi = \frac{1}{\sqrt{3}} I_1^{\Sigma}, \quad r = \sqrt{2 J_2^{\Sigma}}, \quad \cos(3\theta) = \frac{3\sqrt{3}}{2} \frac{J_3^{\Sigma}}{(J_2^{\Sigma})^{3/2}}, \quad (\text{B.1})$$

whereby invariants  $I_1^\Sigma$ ,  $J_2^S$ , and  $J_3^S$  read as

$$I_1^\Sigma = \text{tr } \Sigma, \quad J_2^S = \frac{1}{2} \mathbf{S} : \mathbf{S}, \quad J_3^S = \frac{1}{3} (\mathbf{S} \cdot \mathbf{S}) : \mathbf{S} \quad (\text{B.2})$$

and whereby  $\mathbf{S}$  denotes the stress deviator, defined as

$$\mathbf{S} = \Sigma - \frac{I_1^\Sigma}{3} \mathbf{1}. \quad (\text{B.3})$$

Cartesian coordinates follow from their Haigh-Westergaard counterparts as

$$\begin{aligned} \Sigma_1 &= \frac{1}{3} \left[ \sqrt{a} \cos \left( b + \frac{\pi}{6} \right) + \sqrt{3a \left( \sin \left( b + \frac{\pi}{6} \right) \right)^2 + I_1^\Sigma} \right] \\ \Sigma_2 &= \frac{1}{3} \left[ \sqrt{a} \cos \left( b + \frac{\pi}{6} \right) - \sqrt{3a \left( \sin \left( b + \frac{\pi}{6} \right) \right)^2 + I_1^\Sigma} \right] \\ \Sigma_3 &= -\frac{1}{3} \left[ 2\sqrt{a} \cos \left( b + \frac{\pi}{6} \right) - I_1^\Sigma \right], \end{aligned} \quad (\text{B.4})$$

whereby auxiliary variables  $a$  and  $b$  are given in terms of invariants  $I_1^\Sigma$ ,  $I_2^\Sigma$ , and  $I_3^\Sigma$  as

$$a = (I_1^\Sigma)^2 - 3I_2^\Sigma \quad (\text{B.5})$$

and

$$b = \frac{1}{3} \arcsin \frac{2(I_1^\Sigma)^3 - 9I_1^\Sigma I_2^\Sigma + 27I_3^\Sigma}{2a^{3/2}}. \quad (\text{B.6})$$

The invariants, can be given in terms of Haigh-Westergaard coordinates as

$$I_1^\Sigma = \xi\sqrt{3}, \quad I_2^\Sigma = (I_1^\Sigma)^2 \frac{1}{3} - J_2^S, \quad I_3^\Sigma = J_3^S + I_1^\Sigma + \frac{1}{3} I_2^\Sigma - \frac{2}{27} (I_1^\Sigma)^3, \quad (\text{B.7})$$

with

$$J_2^S = \frac{1}{2} r^2, \quad J_3^S = \cos(3\theta) \frac{2}{3\sqrt{3}} \sqrt{J_2^S}. \quad (\text{B.8})$$

The eigenvalues of the stress tensor can be ordered in any way, therefore each stress state is symmetric to the projection of the principal stress on the deviatoric plane [8]. This implies that any stress states can be produced by mirroring and rotating the stress states for the Lode angles between  $0^\circ$  and  $60^\circ$ . Considering this effect in the computation of the failure surface reduces calculation time, since it is enough to evaluate  $1/6$  of the failure surface with the micromechanics model.

## Appendix C

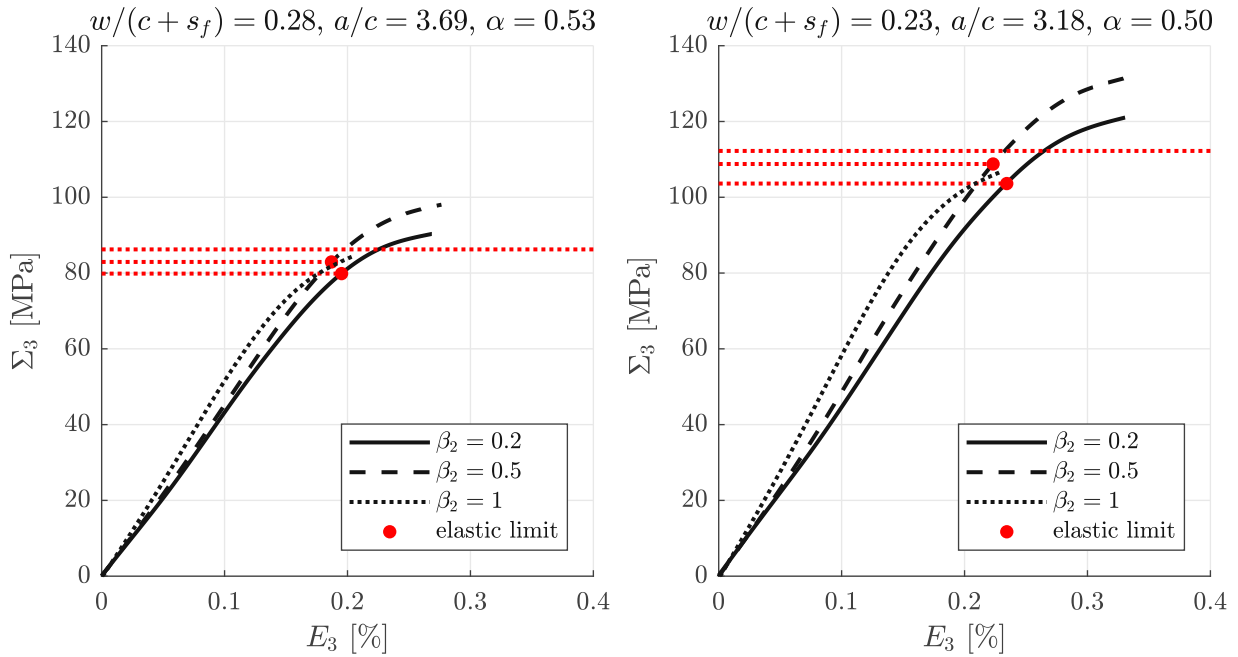
# Comparison of model-predicted elastic limits to experimental stress-strain curves of concretes with silica fume

Hussein and Marzouk [22, 23] tested additional to the normal-strength concrete Hu 1, high-strength concrete Hu 2, and ultra-high-strength concrete Hu 3, both of which contain silica fume. The addition of silica fume is considered by introducing the water-to-cement-and-silica-fume ratio of  $w/(c + s_f) = 0.28$  and  $w/(c + s_f) = 0.23$  for Hu 2 and Hu 3, respectively, which is used for calculation of phase volume fraction instead of the  $w/c$  ratio. The testing characteristics, such as load path, geometry, age, and friction reduction are the same as for the normal-strength concrete, described in Section 2.2. The aggregate-to-cement ratio and the hydration degree as well as all the other properties are listed in Table C.1.

**Tab. C.1:** Properties of the concretes tested in the experiments

Source	Mix	$w/(c + s_f)$ [-]	$a/c$ [-]	Geometry [mm]	Age [months]	$f_c^{uni}$ [MPa]	$\alpha$ [-]	$\Sigma_{ini}$ [MPa]	$\beta_1$ [-]	$\beta_2$ [-]
[22, 23]	Hu 2	0.28	3.69	$a \times b \times c$	$\geq 2$	76.60	0.53	0.0	0.00	0.20 – 1.00
	Hu 3	0.23	3.18	40 x 150 x 150		100.08	0.50	0.0	0.00	0.20 – 1.00

The model predicted elastic limit generally overestimates the elastic limit in the stress-strain diagrams from Hussein and Marzouk [22, 23] for symmetric biaxial compression, see Fig. C.1, most likely due to the additional silica fume. Silica fume reduces the porosity of the ITZ and therefore strengthens it [37], as shown by scanning electron microscopy [4] and high resolution electron probe micro-analysis [3]. Since the hydration degree is back-identified from the measured uniaxial compressive strength of concrete with silica fume [22, 23], the hydration degree is overestimated, leading to the aforementioned overestimation of the elastic limit. Moreover, the model-predicted elastic limit reaches its maximum for symmetric biaxial compression ( $\beta_1 = \beta_2$ ), whereas the maximum is at  $\beta_2 = 0.5$  in the experimentally determined stress-strain diagram of Hu 2 and Hu 3, see Fig. C.1.



(a) High-strength concrete (Hu 2) experimental data from Hussein [23] (b) Ultra-high-strength concrete (Hu 3) experimental data from Hussein and Marzouk [22]

**Fig. C.1:** Model validation: Comparison of predicted elastic limits with experimental stress-strain curves from Hussein and Marzouk [22, 23]; the load path is characterized by specifying Eq. (2.13) for  $\Sigma_{ini} = 0$ ,  $\beta_1 = 0$ , and  $\beta_2 > 0$  (biaxial compression)

## Appendix D

### Model implementation in Matlab environment

Herein, we display Matlab [35] scripts and functions to calculate and plot the elastic limit surface, for the example of the benchmark concrete introduced in Section 3.3. The calculation of the elastic limit for different stress states is shown in the script labeled D.1. Therein, the stress states are defined in Haigh-Westergaard coordinates  $\xi$ ,  $r$  and  $\theta$ , where  $\xi$  and  $r$  are expressed with the angle  $\gamma$  reading as:

$$\tan(\gamma) = \frac{\xi}{r}. \quad (\text{D.1})$$

Defining the stress state in Haigh-Westergaard coordinates helps plotting the 3D elastic limit failure surface and its cross sections. To plot the biaxial elastic limit plane the stress states are defined in the Cartesian coordinates with the parameter  $\beta_1$  and  $\beta_2$  [see Eq. (2.13)]. This calculation of the elastic limit in Cartesian coordinates is done in Code D.2. The 3D elastic limit surface Fig. 3.3, the biaxial plane Fig. 3.4(a), the deviatoric plane Fig. 3.4(b) and the cross section along the hydrostatic axis Fig. 3.4(c) are plotted with the Code D.3.

The main functions used in the Codes D.1 to D.3 are given in Codes D.4 to D.11. The first function, which calculates the difference quotients in Eq. (2.8) and Eq. (2.9) as well as the homogenized stiffness tensor of hydrate foam [Eq. (A.1)] and cement paste [Eq. (A.2)], is shown in Code D.4. Homogenization of concrete [Eq. (A.3)] as well as stress concentration into the aggregate [Eq. (A.4)] and further stress concentration into the cement paste [Eq. (A.5)] are computed with Code D.5 and Code D.6. An auxiliary tensor for the strain concentration tensor of the hydrate needle phase is calculated with Code D.7. The calculation of the phase elasticity tensor from the bulk moduli and shear moduli [Eq. (2.6)] as well as the calculation of the bulk and shear moduli from the elasticity tensor are written in Code D.8 and Code D.9, respectively. Coordinate transformation from Cartesian coordinates to Haigh-Westergaard coordinates and vice versa (see Appendix B), is done with the functions in Code D.10 and Code D.11, respectively.

#### Code D.1: Calculation of the elastic limit in Haigh-Westergaard coordinates

---

```

1 %% Phase specific input parameter
2 number_of_alpha=1;
3 wc_list=0.5; % Water-to-cement mass ratio
4 ac_list=3; % Aggregate-to-cement mass ratio
5 alpha_list=1; % Hydration degree

```

```

6
7 tolerance = 1e-10;
8 tolerance_2 = 1e-8;
9 rho.H2O=1000; rho.hyd=2073; rho.cem=3150; rho.agg=2650; % Densities [kg/m3]
10 kagg=35.35; muagg=29.91; % Bulk and shear modulus of aggregates [GPa]
11
12 % Initializing precalculation
13 diffQ(numel(wc_list),number_of_alpha).vol=[];
14 diffQ(numel(wc_list),number_of_alpha).dev=[];
15 Chom(numel(ac_list),numel(wc_list),number_of_alpha).hf=[];
16 Chom(numel(ac_list),numel(wc_list),number_of_alpha).cp=[];
17
18 %% Precalculate diffQ and homogenized stiffness tensor for the hydrate ...
    foam and the cement paste Chom
19 for wcit=1:length(wc_list)
20     wc=wc_list(wcit);
21     for xiit=1:length(alpha_list)
22         xi_p=alpha_list(xiit);
23         if isempty(diffQ(wcit,xiit).vol)
24             [diffQ(wcit,xiit),Chom(1,wcit,xiit)] = ...
                fun_CCR2018_precalc(wc, xi_p, rho, tolerance, ...
                tolerance_2); % see Code D.4
25         end
26     end
27 end
28
29
30 %% Hydrate needle parameter
31 % Mohr-Coulomb
32 phi_hyd_degree=12; % Friction angle [degree]
33 c_hyd=0.050; % Cohesion [GPa]
34 phi_hyd=phi_hyd_degree*pi/180; % Friction angle [rad]
35 fc_MC=2*c_hyd*cos(phi_hyd)/(1-sin(phi_hyd)); % Strength
36 Ehyd=29.15786664; % Young's modulus
37 nuhyd=0.24; % Poisson's ratio
38 khyd=Ehyd/3/(1-2*nuhyd); % Bulk modulus
39 muhyd=Ehyd/2/(1+nuhyd); % Shear modulus
40
41 % Drucker Prager (based on Mohr-Coulomb parameters)
42 alpha_DP=sqrt(3)*fc_MC*tan(phi_hyd)/(3*c_hyd+fc_MC*tan(phi_hyd));
43 k_DP=c_hyd*alpha_DP/tan(phi_hyd);
44 fc_DP=3*k_DP/(sqrt(3)-alpha_DP); % Strength
45
46
47 %% Define stress state part 1
48 % The applied stress state is defined in Haigh-Westergaard coordinates
49
50 lode_list=[linspace(0.001,30,6),linspace(31,59.9,6)]*pi/180; % List of ...
    Lode angles between 0 and 60 degrees, with which all possible stress ...
    states can be represented by rotation and mirroring of the results.

```

```

51
52 laenge_gamma_list=14; % Length of gamma for initialization
53
54 %% Initializing elastic limit calculation
55
56 Chom(numel(ac_list),numel(wc_list),numel(alpha_list(1,:))).con=zeros(6);
57 B_agg=cell(numel(ac_list),numel(wc_list),numel(alpha_list(1,:)));
58
59 r_result=zeros(laenge_gamma_list,numel(lode_list));
60 xi_result=zeros(laenge_gamma_list,numel(lode_list));
61 phi_result=zeros(laenge_gamma_list,numel(lode_list));
62 theta_result=zeros(laenge_gamma_list,numel(lode_list));
63 psi_result=zeros(laenge_gamma_list,numel(lode_list));
64 omega_result=zeros(laenge_gamma_list,numel(lode_list));
65
66 sig1_result=zeros(laenge_gamma_list,numel(lode_list));
67 sig2_result=zeros(laenge_gamma_list,numel(lode_list));
68 sig3_result=zeros(laenge_gamma_list,numel(lode_list));
69
70 r_mix=cell(length(wc_list),length(alpha_list(1:)),numel(ac_list));
71 xi_mix=cell(length(wc_list),length(alpha_list(1:)),numel(ac_list));
72 sig1_mix=cell(length(wc_list),length(alpha_list(1:)),numel(ac_list));
73 sig2_mix=cell(length(wc_list),length(alpha_list(1:)),numel(ac_list));
74 sig3_mix=cell(length(wc_list),length(alpha_list(1:)),numel(ac_list));
75 phi_mix=cell(length(wc_list),length(alpha_list(1:)),numel(ac_list));
76 theat_mix=cell(length(wc_list),length(alpha_list(1:)),numel(ac_list));
77 psi_mix=cell(length(wc_list),length(alpha_list(1:)),numel(ac_list));
78 omega_mix=cell(length(wc_list),length(alpha_list(1:)),numel(ac_list));
79
80
81 %% Calculation of the elastic limit
82
83 % Loop over the concrete mixtures
84 for wcit=1:length(wc_list)
85     wc=wc_list(wcit);
86     for xiit=1:length(alpha_list)
87         xi_p=alpha_list(xiit);
88         for acit=1:numel(ac_list)
89             ac=ac_list(acit);
90
91             % Loop over the different stress states
92             for lodeit=1:numel(lode_list) % loop over the Lode angel
93                 % Define stress state part 1
94                 % Gamma is defined as:  $\tan(\gamma) = \xi / r$ 
95                 gamma_min=fitresult_min_alpha(lode_list); % The Lode Angle ...
                    specific minimum gamma, where the stress state is still ...
                    pure compression, which corresponds to the uniaxial ...
                    stress state at the Lode angle = 60 degree and biaxial ...
                    compression for the other lode angles

```

```

96     gamma_list= [linspace(gamma_min(lodeit)*180/pi,60,4), ...
97                 linspace(65,75.0,5), linspace(78,89.0,5)] * pi/180;
98     r_list=cos(gamma_list);
99     xi_list=-sin(gamma_list);
100
101     for gammait=1:numel(gamma_list)% loop over gamma
102
103         lode=lode_list(lodeit);
104         r=r_list(gammait);
105         xi=xi_list(gammait);
106
107         % Convert stress state in Haigh-Westergaard
108         % Coordinate system into Cartesian coordinate system
109         [sig_1,sig_2,sig_3] = ...
110             fun_haigh_westergaard_to_cartesian(xi,r,lode); % ...
111             see Code D.11
112
113         SI_macro=[sig_1,sig_2,sig_3,0,0,0]'; % Applied ...
114             macroscopic load in the Cartesian e1,e2,e3 base
115
116         SI_DP=1000; SI_vM=1000; SI_MC=1000; % [GPa] % ...
117             Initialization
118
119         % Homogenization of the concrete
120         Chom(acit,wcit,xiit).hf=Chom(1,wcit,xiit).hf;
121         Chom(acit,wcit,xiit).cp=Chom(1,wcit,xiit).cp;
122         [Chom(acit,wcit,xiit).con,B_agg{acit,wcit,xiit}] = ...
123             fun_CCR2018_conhom(ac, wc, rho, ...
124                 Chom(1,wcit,xiit).cp, kagg, muagg); % see Code D.5
125
126         % First order stress concentration to ITZ
127         [~,~,k_ITZ,mu_ITZ]=fun_Enu_from_C( Chom(acit, wcit, ...
128             xiit).cp); % see Code D.9
129         C_ITZ=fun_Cfromkmu(k_ITZ,mu_ITZ); see Code D.8
130         BITZagg_sph = fun_BITZagg(kagg,k_ITZ,muagg,mu_ITZ); % ...
131             see Code D.6
132
133         % Define angles steps
134         stepsangle=45;
135         angle_dis=0:pi/(2*(stepsangle-1)):pi/2;
136
137         % Angles of the hydrate needle in the space
138         % Because of symmetry it is enough to calculate points ...
139         % in the hemisphere
140         for thetait=1:stepsangle
141             theta=angle_dis(thetait);
142             for phiit=1:stepsangle
143                 phi=angle_dis(phiit)*2;

```



```

136         Q4=fun_Q4_bp(phi,theta);
137         Q4t=transpose(Q4);
138         SI_macro_rot=Q4t*SI_macro; % Rotating the load
139
140         % Angles defining the position of the
141         % hydrate needle on the aggregate
142         % Because of symmetry it is enough to ...
143         calculate points in the first octant of the ...
144         aggregate sphere
145     for omegait=1:stepsangle
146         omega=angle_dis(omegait);
147         for psiit=1:stepsangle
148             psi=angle_dis(psiit);
149
150             Q4=fun_Q4_bp(omega,psi); ...
151             Q4t=transpose(Q4);
152             BITZagg=Q4*BITZagg_sph*Q4t; % ...
153             Transformation of the stress ...
154             concentration tensor to the ...
155             Cartesian base
156
157             si_ITZ= BITZagg* ...
158             B_agg{acit,wcit,xiit}* ...
159             SI_macro_rot; % stress in the ITZ
160             eps_ITZ=inv(C_ITZ)*si_ITZ; % Strain in ...
161             the ITZ
162
163             % Second-order moments of volumetric ...
164             and deviatoric hydrate stresses
165             si_hyd_vol2= khyd*sqrt(3*eps_ITZ'* ...
166             (diffQ(wcit,xiit).vol *eps_ITZ));
167             si_hyd_dev2= muhyd*sqrt(2*eps_ITZ'* ...
168             (diffQ(wcit,xiit).dev *eps_ITZ));
169
170             % Drucker-Prager failure criterion
171             FDP= si_hyd_dev2/sqrt(2)- alpha_DP* ...
172             si_hyd_vol2 / sqrt(3);
173             SI_DP_curr=k_DP/FDP; % Current load factor
174
175             % Saving the critical results
176         if SI_DP_curr < SI_DP
177             SI_DP=SI_DP_curr; % Critical load ...
178             factor
179             zeni_crit_DP=theta;
180             psi_crit_DP=psi;
181             omega_crit_DP=omega;
182             azi_crit_DP=phi;
183             si_hyd_vol2_plot=si_hyd_vol2;
184             si_hyd_dev2_plot=si_hyd_dev2;
185         end

```

```

172
173             end
174         end
175     end
176 end
177
178     % Calculating the elastic limit stress state with
179     % the load factor and saving the results for each ...
180         stress state.
181     r_result(gammait,lodeit)=r*SI_DP*1000; % [MPa]
182     xi_result(gammait,lodeit)=xi*SI_DP*1000; % [MPa]
183     sig1_result(gammait,lodeit)= sig_1 * SI_DP*1000; % [MPa]
184     sig2_result(gammait,lodeit)= sig_2 * SI_DP*1000; % [MPa]
185     sig3_result(gammait,lodeit)= sig_3 * SI_DP*1000; % [MPa]
186     phi_result(gammait,lodeit)=azi_crit_DP;
187     theta_result(gammait,lodeit)=zeni_crit_DP;
188     psi_result(gammait,lodeit)=psi_crit_DP;
189     omega_result(gammait,lodeit)=omega_crit_DP;
190 end
191
192     % Saving the results for each concrete mixture
193     r_mix{wcit,xiit,acit}=r_result;
194     xi_mix{wcit,xiit,acit}=xi_result;
195     sig1_mix{wcit,xiit,acit}=sig1_result;
196     sig2_mix{wcit,xiit,acit}=sig2_result;
197     sig3_mix{wcit,xiit,acit}=sig3_result;
198     phi_mix{wcit,xiit,acit}=phi_result;
199     theta_mix{wcit,xiit,acit}=theta_result;
200     psi_mix{wcit,xiit,acit}=psi_result;
201     omega_mix{wcit,xiit,acit}=omega_result;
202 end
203 end
204 end

```

---

### Code D.2: Calculation of the elastic limit in Cartesian coordinates for a biaxial stress state

---

```

1 %% Phase specific input parameter
2 number_of_alpha=1;
3 wc_list=0.5; % Water-to-cement mass ratio
4 ac_list=3; % Aggregate-to-cement mass ratio
5 alpha_list=1; % Hydration degree
6
7 tolerance = 1e-10;
8 tolerance_2 = 1e-8;
9 rho.H2O=1000; rho.hyd=2073; rho.cem=3150; rho.agg=2650; % Densities [kg/m3]
10 kagg=35.35; muagg=29.91; % Bulk and shear modulus of aggregates [GPa]

```

```

11
12 % Initializing precalculation
13 diffQ(numel(wc_list),number_of_alpha).vol=[];
14 diffQ(numel(wc_list),number_of_alpha).dev=[];
15 Chom(numel(ac_list),numel(wc_list),number_of_alpha).hf=[];
16 Chom(numel(ac_list),numel(wc_list),number_of_alpha).cp=[];
17
18 %% Precalculate diffQ and homogenized stiffness tensor for the hydrate ...
    foam and the cement paste Chom
19 for wcit=1:length(wc_list)
20     wc=wc_list(wcit);
21     for xiit=1:length(alpha_list)
22         xi_p=alpha_list(xiit);
23         if isempty(diffQ(wcit,xiit).vol)
24             [diffQ(wcit,xiit),Chom(1,wcit,xiit)] = fun_CCR2018_precalc(wc, ...
                xi_p, rho, tolerance, tolerance_2); % see Code D.4
25         end
26     end
27 end
28
29
30 %% Hydrate needle parameter
31 % Mohr-Coulomb
32 phi_hyd_degree=12; % Friction angle [degree]
33 c_hyd=0.050; % Cohesion [GPa]
34 phi_hyd=phi_hyd_degree*pi/180; % Friction angle [rad]
35 fc_MC=2*c_hyd*cos(phi_hyd)/(1-sin(phi_hyd)); % Strength
36 Ehyd=29.15786664; % Young's modulus
37 nuhyd=0.24; % Poisson's ratio
38 khyd=Ehyd/3/(1-2*nuhyd); % Bulk modulus
39 muhyd=Ehyd/2/(1+nuhyd); % Shear modulus
40
41 % Drucker-Prager (based on Mohr-Coulomb parameters)
42 alpha_DP=sqrt(3)*fc_MC*tan(phi_hyd)/(3*c_hyd+fc_MC*tan(phi_hyd));
43 k_DP=c_hyd*alpha_DP/tan(phi_hyd);
44 fc_DP=3*k_DP/(sqrt(3)-alpha_DP); % Strength
45
46
47 %% Define stress state
48 % The applied stress state is defined in Cartesian coordinates
49
50 beta_1_list=0;
51 beta_2_list=linspace(0,1,5);
52
53
54 %% Initializing elastic limit calculation
55
56 Chom(numel(ac_list),numel(wc_list),numel(alpha_list(1,:))).con=zeros(6);
57 B_agg=cell(numel(ac_list),numel(wc_list),numel(alpha_list(1,:)));
58

```

```

59 phi_result=zeros(1,numel(beta_2_list));
60 theta_result=zeros(1,numel(beta_2_list));
61 psi_result=zeros(1,numel(beta_2_list));
62 omega_result=zeros(1,numel(beta_2_list));
63
64 load_factor_list=zeros(1,numel(beta_2_list));
65
66
67
68 load_factor_mix=cell(length(wc_list),length(alpha_list(1,:)),numel(ac_list));
69 phi_mix=cell(length(wc_list),length(alpha_list(1,:)),numel(ac_list));
70 theat_mix=cell(length(wc_list),length(alpha_list(1,:)),numel(ac_list));
71 psi_mix=cell(length(wc_list),length(alpha_list(1,:)),numel(ac_list));
72 omega_mix=cell(length(wc_list),length(alpha_list(1,:)),numel(ac_list));
73
74
75 %% Calculation of the elastic limit
76
77 % Loop over the concrete mixtures
78 for wcit=1:length(wc_list)
79     wc=wc_list(wcit);
80     for xiit=1:length(alpha_list)
81         xi_p=alpha_list(xiit);
82         for acit=1:numel(ac_list)
83             ac=ac_list(acit);
84
85             % Loop over the different stress states
86             for beta_2it=1:numel(beta_2_list) % loop over the biaxial ...
87                 stress states
88                 beta_2=beta_2_list(beta_2it);
89
90                 SI_macro=[-1*beta_1,-1*beta_2,-1,0,0,0]'; % Applied ...
91                 macroscopic load in the Cartesian e1,e2,e3 base
92
93                 SI_DP=1000; SI_vM=1000; SI_MC=1000; % [GPa] % Initialization
94
95                 % Homogenization of the concrete
96                 Chom(acit,wcit,xiit).hf=Chom(1,wcit,xiit).hf;
97                 Chom(acit,wcit,xiit).cp=Chom(1,wcit,xiit).cp;
98                 [Chom(acit,wcit,xiit).con,B_agg{acit,wcit,xiit}] = ...
99                 fun_CCR2018_conhom(ac, wc, rho, Chom(1,wcit,xiit).cp, ...
100                 kagg, muagg); % see Code D.5
101
102                 % First order stress concentration to ITZ
103                 [~,~,k_ITZ,mu_ITZ]=fun_Enu_from_C( Chom(acit, wcit, ...
104                 xiit).cp); % see Code D.9
105                 C_ITZ=fun_Cfromkmu(k_ITZ,mu_ITZ); see Code D.8
106                 BITZagg_sph = fun_BITZagg(kagg,k_ITZ,muagg,mu_ITZ); % see ...
107                 Code D.6

```

```

103     % Define angles stepps
104     stepsangle=45;
105     angle_dis=0:pi/(2*(stepsangle-1)):pi/2;
106
107     % Angles of the hydrate needle in the space
108     % Because of symmetry it is enough to calculate points in ...
        the hemisphere
109     for thetait=1:stepsangle
110         theta=angle_dis(thetait);
111         for phiit=1:stepsangle
112             phi=angle_dis(phiit)*2;
113
114             Q4=fun_Q4_bp(phi,theta);
115             Q4t=transpose(Q4);
116             SI_macro_rot=Q4t*SI_macro; % Rotating the load
117
118             % Angles defining the position of the
119             % hydrate needle on the aggregate
120             % Because of symmetry it is enough to calculate ...
                points in the first octant of the aggregate sphere
121             for omegait=1:stepsangle
122                 omega=angle_dis(omegait);
123                 for psiit=1:stepsangle
124                     psi=angle_dis(psiit);
125
126                     Q4=fun_Q4_bp(omega,psi); Q4t=transpose(Q4);
127                     BITZagg=Q4*BITZagg_sph*Q4t; % ...
                        Transformation of the stress ...
                        concentration tensor to the Cartesian base
128
129                     si_ITZ= BITZagg* B_agg(acit,wcit,xiit)* ...
                        SI_macro_rot; % stress in the ITZ
130                     eps_ITZ=inv(C_ITZ)*si_ITZ; % Strain in the ITZ
131
132                     % Second-order moments of volumetric and ...
                        deviatoric hydrate stresses
133                     si_hyd_vol2= khyd*sqrt(3*eps_ITZ'* ...
                        (diffQ(wcit,xiit).vol *eps_ITZ));
134                     si_hyd_dev2= muhyd*sqrt(2*eps_ITZ'* ...
                        (diffQ(wcit,xiit).dev *eps_ITZ));
135
136                     % Drucker-Prager failure criterion
137                     FDP= si_hyd_dev2/sqrt(2)- alpha_DP* ...
                        si_hyd_vol2 / sqrt(3);
138                     SI_DP_curr=k_DP/FDP; % Current load factor
139
140                     % Saving the critical results
141                     if SI_DP_curr < SI_DP
142                         SI_DP=SI_DP_curr; % Critical load factor
143                         zeni_crit_DP=theta;

```

```

144         psi_crit_DP=psi;
145         omega_crit_DP=omega;
146         azi_crit_DP=phi;
147         si_hyd_vol2_plot=si_hyd_vol2;
148         si_hyd_dev2_plot=si_hyd_dev2;
149     end
150
151     end
152 end
153 end
154 end
155
156     % Saving the results for each stress state.
157     load_factor_list(beta_2it)=-SI_DP*1000; % [MPa]
158     phi_result(beta_2it)=azi_crit_DP;
159     theta_result(beta_2it)=zeni_crit_DP;
160     psi_result(beta_2it)=psi_crit_DP;
161     omega_result(beta_2it)=omega_crit_DP;
162 end
163
164     % Saving the results for each concrete mixture
165     load_factor_mix{wcit,xiit,acit}=load_factor_list;
166     phi_mix{wcit,xiit,acit}=phi_result;
167     theta_mix{wcit,xiit,acit}=theta_result;
168     psi_mix{wcit,xiit,acit}=psi_result;
169     omega_mix{wcit,xiit,acit}=omega_result;
170 end
171 end
172 end

```

---

### Code D.3: Plot benchmark concrete Fig. 3.3 and Fig. 3.4

---

```

1
2 % Define colors
3 color_r_xi_0=rgb('Crimson');
4 color_r_xi_30=rgb('LawnGreen');
5 color_r_xi_60=rgb('DodgerBlue');
6 color_bi=rgb('ForestGreen');
7 color_dev_max=rgb('DarkOrange');
8 color_dev_23=rgb('DarkViolet');
9
10 % wc_list, xi_list, ac_list, and lode_list are taken over from Code D.1
11
12 % beta_2_list is taken over from Code D.2
13
14 % Loop over the different mixtures
15 for wcit=1:length(wc_list)

```

```

16     wc=wc_list(wcit);
17     for xiit=1:length(xi_list)
18         xi_p=xi_list(xiit);
19         for acit=1:numel(ac_list)
20             ac=ac_list(acit);
21
22             % Lean out results
23             r_result=r_mix{wcit,xiit,acit}; % see Code D.1
24             xi_result=xi_mix{wcit,xiit,acit}; % see Code D.1
25             SI_DP_bi_list=load_factor_mix{wcit,xiit,acit}; % see Code D.2
26
27             % Extrapolate the r and xi to the lode angle 0 and 60
28             lode_plot= [0 lode_list pi/3];
29             xi_plot= [zeros(numel(r_result(:,1)),1), xi_result , ...
30                     zeros(numel(r_result(:,1)),1)];
31             r_plot= [zeros(numel(r_result(:,1)),1), r_result, ...
32                    zeros(numel(r_result(:,1)),1)];
33             for rit = 1:numel(r_result(:,1))
34                 xi_plot(rit,1)= interp1(lode_list, xi_result(rit,:), 0, ...
35                                       'PCHIP', 'extrap');
36                 xi_plot(rit,end)= interp1(lode_list, xi_result(rit,:), ...
37                                         pi/3, 'PCHIP', 'extrap');
38                 r_plot(rit,1)= interp1(lode_list, r_result(rit,:), 0, ...
39                                       'PCHIP', 'extrap');
40                 r_plot(rit,end)= interp1(lode_list, r_result(rit,:), pi/3, ...
41                                       'PCHIP', 'extrap');
42             end
43
44             [tmpind_row,tmpind_col]=find(r_plot>0,1,'last');
45             r_plot(tmpind_row+1,:)=0;
46
47             for lodeit = 1:numel(lode_plot)
48                 xi_plot(tmpind_row+1,lodeit)= ...
49                     interp1(r_plot(tmpind_row-5:tmpind_row,lodeit), ...
50                             xi_plot(tmpind_row-5:tmpind_row,lodeit), 0, 'PCHIP', ...
51                             'extrap');
52             end
53
54             % Rotate and mirror the lode angle to get 360 degrees
55             mirror=[1,1,1,-1,-1,-1]';
56             rotate=[0,2/3*pi,4/3*pi,0,2/3*pi,4/3*pi]';
57             lode_360=mirror*lode_plot+rotate;
58
59             % Elastic limits
60             fc_uni=SI_DP_bi_list(1);
61             fc_bi=SI_DP_bi_list(end);
62             fc_hydro=min(xi_plot(:))*sqrt(3)/3;
63
64             % Interpolate between r_plot and xi_plot
65             xi_q=0:-1:-500;

```

```

57     r_q=zeros(numel(xi_q),numel(lode_plot));
58     for lodeit=1:numel(lode_plot)
59         r_q(:,lodeit)= interp1(xi_plot(:,lodeit), ...
60                               r_plot(:,lodeit), xi_q');
61     end
62
63     %% Cross section along the hydrostatic axis
64     figure
65     hold on
66
67     % Plot Lode angle = 0
68     xi_q_0= linspace(max(xi_plot(:,1)), min(xi_plot(:)), 40);
69     s_0=spline(xi_plot(:,1), r_plot(:,1), xi_q_0);
70     plot(-xi_q_0, s_0, 'linewidth', 1.5, 'color', color_r_xi_0)
71
72     % Plot Lode angle = 30
73     [~,indx_lode30] = min(abs(lode_plot-pi/6));
74     xi_q_30= linspace(max(xi_plot(:,indx_lode30)), ...
75                     min(xi_plot(:)), 40);
76     s_30= spline(xi_plot(:,indx_lode30), r_plot(:,indx_lode30), ...
77                 xi_q_30);
78     plot(-xi_q_30, s_30, 'color', color_r_xi_30, 'linewidth',1.5)
79
80     % Plot Lode angle = 60
81     xi_q_60= linspace(max(xi_plot(:,end)),min(xi_plot(:)),40);
82     s_60=spline(xi_plot(:,end),r_plot(:,end),xi_q_60);
83     plot(-xi_q_60,s_60, 'linewidth',1.5,'color',color_r_xi_60)
84
85     % Legend and axis labeling
86     xlabel('$\xi$ [MPa]')
87     ylabel('$r$ [MPa]')
88     legend({'$\theta = 0^\circ$ ', '$\theta = 30^\circ$ ', ...
89           '$\theta = 60^\circ$ '},'Box','on','Location','southwest')
90
91     ax = gca;
92     set(ax, 'YAxisLocation', 'left')
93     grid on
94     ylim([0,80])
95
96     % Label uniaxial elastic limit
97     plot(-xi_plot(1,end), r_plot(1,end), 'ko', ...
98         'HandleVisibility','off', 'color',color_r_xi_60)
99     text(-xi_plot(1,end), r_plot(1,end), '$\Sigma_{uni}^{lim}$', ...
100        'interpreter','latex', 'VerticalAlignment','bottom')
101
102     % Label hydrostatic elastic limit
103     plot(-xi_plot(end,end), r_plot(end,end), ...
104         'k*', 'HandleVisibility','off')

```



```

98     text(-xi_plot(end,end)+5, r_plot(end,end), ...
          '\Sigma_{hyd}^{\lim}$', 'interpreter','latex', ...
          'VerticalAlignment','bottom', 'HorizontalAlignment','left')
99
100    % Label symetric biaxial elastic limit
101    plot(-xi_plot(1,1), r_plot(1,1),'ks', ...
          'HandleVisibility','off', 'color',color_r_xi_0)
102    text(-xi_plot(1,1)+5, r_plot(1,1), '\Sigma_{bi}^{\lim}$', ...
          'interpreter','latex', 'VerticalAlignment','bottom', ...
          'HorizontalAlignment','left')
103
104    % Set figure size
105    set(gca, 'FontSize',10, 'FontName','Times', ...
          'Units','centimeter', 'Position',[1.4,1.2,14,3.5])
106    set(gcf, 'Units', 'centimeter', 'PaperPositionMode', ...
          'auto','Position', [0 0 15.9 5]);
107    hold off
108
109
110    %% 3D elastic limit surface
111    figure
112    hold on
113
114    % Convert cylinder coordinates into Cartesian coordinates
115    for ploit = 1:numel(lode_360(:,1))
116        x_cat=r_plot.*cos(lode_360(ploit,:));
117        y_cat=r_plot.*sin(lode_360(ploit,:));
118        % Plot elastic limit surface
119        surf(x_cat, y_cat, xi_plot, 'facecolor',rgb('LightGray'), ...
              'FaceLighting','gouraud', 'edgecolor','none', ...
              'FaceAlpha',0.85)
120    end
121
122    % Plot hydrostatic axis
123    plot3([0,0],[0,0],[50,min(xi_plot(:))-50],'k--')
124
125    % plot biaxial cross section
126    for ploit = [3,6]
127        x_cat=r_plot(1,:).*cos(lode_360(ploit,:));
128        y_cat=r_plot(1,:).*sin(lode_360(ploit,:));
129        plot3(x_cat, y_cat, xi_plot(1,:), 'color', color_bi, ...
              'linewidth',2)
130    end
131
132    % Plot cross section along the hydrostatic axis at a Lode ...
133    angle = 0 degrees
134    x_cat=r_plot(:,1).*cos(2*pi()/3);
135    y_cat=r_plot(:,1).*sin(2*pi()/3);
136    plot3(x_cat, y_cat, xi_plot(:,1), 'color', color_r_xi_0, ...
          'linewidth',2)

```

```

136
137     plot3(x_kat(1),y_kat(1),xi_plot(1,1),'k square','linewidth',2) ...
        % Symmetric biaxial elastic limit
138
139     x_kat=r_plot(:,1).*cos(4*pi()/3);
140     y_kat=r_plot(:,1).*sin(4*pi()/3);
141     plot3(x_kat(1),y_kat(1),xi_plot(1,1),'k square','linewidth',2) ...
        % Symmetric biaxial elastic limit
142
143     x_kat=r_plot(:,1).*cos(0*pi()/3);
144     y_kat=r_plot(:,1).*sin(0*pi()/3);
145     plot3(x_kat(1),y_kat(1),xi_plot(1,1),'k square','linewidth',2) ...
        % Symmetric biaxial elastic limit
146
147     % Plot cross section along the hydrostatic axis at a Lode ...
        angle = 30 degrees
148     [~,indx_lode30] = min(abs(lode_plot-pi/6));
149     x_cat=r_plot(:,indx_lode30).*cos(3*pi()/6);
150     y_cat=r_plot(:,indx_lode30).*sin(3*pi()/6);
151     plot3(x_cat, y_cat, xi_plot(:,indx_lode30), 'color', ...
        color_r_xi_30, 'linewidth',2)
152
153     % Plot cross section along the hydrostatic axis at a Lode ...
        angle = 60 degrees
154     x_cat=r_plot(:,end).*cos(pi()/3);
155     y_cat=r_plot(:,end).*sin(pi()/3);
156     plot3(x_cat, y_cat, xi_plot(:,end), 'color', color_r_xi_60, ...
        'linewidth',2)
157
158     plot3(x_kat(1),y_kat(1),xi_plot(1,end),'ko','linewidth',1.5) % ...
        Uniaxial elastic limit
159
160     x_kat=r_plot(:,end).*cos(3*pi()/3);
161     y_kat=r_plot(:,end).*sin(3*pi()/3);
162     plot3(x_kat(1),y_kat(1),xi_plot(1,end),'ko','linewidth',1.5) % ...
        Uniaxial elastic limit
163
164     x_kat=r_plot(:,end).*cos(5*pi()/3);
165     y_kat=r_plot(:,end).*sin(5*pi()/3);
166     plot3(x_kat(1),y_kat(1),xi_plot(1,end),'ko','linewidth',1.5) % ...
        Uniaxial elastic limit
167
168     % Plot deviatoric plane at xi=xi(r_max)
169     [idx_row, ~] = find(r_q==max(r_q));
170     indx=idx_row(1);
171     xi_at_the_position= xi_q(indx).* ones(length(r_q(1,:)), 1);
172     for ploit = 1:numel(lode_360(:,1))
173         % Convert into Cartesian coordinates
174         x_cat=r_q(indx,:).*cos(lode_360(ploit,:));
175         y_cat=r_q(indx,:).*sin(lode_360(ploit,:));

```

```

176         plot3(x_cat, y_cat, xi_at_the_position, 'color', ...
              color_dev_max, 'linewidth',2)
177     end
178
179     % deviatoric plane at xi = 2/3 xi_max
180     val_xi=min(xi_plot(:))*2/3;
181     [~,indx] = min(abs(xi_q-val_xi));
182     xi_at_the_position= xi_q(indx).* ones(length(r_q(1,:)),1);
183     for ploit = 1:numel(lode_360(:,1))
184         x_cat=r_q(indx,:).*cos(lode_360(ploit,:));
185         y_cat=r_q(indx,:).*sin(lode_360(ploit,:));
186         plot3(x_cat, y_cat, xi_at_the_position, 'color', ...
              color_dev_23, 'linewidth',2)
187     end
188
189     % Plot Cartesian Axis
190     achse.spann= [-max(r_plot(:))-max(r_plot(:)) *1,0,0,0,0,0];
191     [achse.xi,achse.r, achse.lode,~,~,~] = ...
        fun_cart_to_haigh(achse.spann); see Code D.10
192     scale.spann=[-150,0,0,0,0,0];
193     [scale.xi,scale.r,scale.lode,~,~,~] = ...
        fun_cart_to_haigh(scale.spann); see Code D.10
194     p_0=[0,0,0];
195     achse_1=[achse.r*cos(real(achse.lode)), ...
              achse.r*sin(real(achse.lode)), achse.xi];
196     achse_2= [achse.r*cos(real(achse.lode+2*pi/3)), ...
              achse.r*sin(real(achse.lode+2*pi/3)), achse.xi];
197     achse_3= [achse.r*cos(real(achse.lode+4*pi/3)), ...
              achse.r*sin(real(achse.lode+4*pi/3)), achse.xi];
198     mArrow3(p_0,achse_1);
199     text(achse_1(1), achse_1(2), achse_1(3), ' $\Sigma_{1}$', ...
          'FontSize',11);
200
201     mArrow3(p_0,achse_2);
202     text(achse_2(1), achse_2(2), achse_2(3), ' $\Sigma_{2}$', ...
          'FontSize',11, 'verticalalignment','bottom');
203
204     mArrow3(p_0,achse_3);
205     text(achse_3(1), achse_3(2), achse_3(3), ' \hspace{0.2mm} $ ...
          \Sigma_{3}$', 'FontSize',11);
206
207     % Set axis and camera position
208     grid on
209     camlight
210     axis off
211     axis equal
212     campos([1785.00498042272 1555.49888531135 1263.48472494576]);
213     camup(-achse_3);
214     camtarget([-11.5245746347532 -5.24438981834146 ...
              -243.473208398794]);

```

```

215     camva(9.24455604601039);
216     light('Position',[163.139519034882 2745.46095812313 ...
           2373.80086306804])
217
218     % Set figure size
219     set(gca, 'FontSize',10,'FontName', 'Times', ...
           'Units','centimeter', 'Position',[1,-8.0,20,20])
220     set(gcf, 'Units','centimeter', 'PaperPositionMode','auto', ...
           'Position',[0 0 15 9]);
221
222     hold off
223
224
225     %% Deviatoric plane
226     figure;
227
228     % deviatoric plane at xi = 2/3 xi_max
229     val_xi=min(xi_plot(:))*2/3;
230     [minValue,indx] = min(abs(xi_q-val_xi));
231     xi2= polarplot(lode_360(1,:), r_q(indx,:), 'linewidth',1.5, ...
           'color',color_dev_23);
232     hold on
233     for ploit = 2:numel(lode_360(:,1))
234         polarplot(lode_360(ploit,:), r_q(indx,:), ...
           'HandleVisibility','off', ...
           'linewidth',1.5,'color',color_dev_23)
235     end
236
237     % Plot deviatoric plane at xi=xi(r_max)
238     [idx_row, idx_column] = find(r_q==max(r_q));
239     indx=idx_row(1);
240     xirmax= polarplot(lode_360(1,:), r_q(indx,:), 'linewidth',1.5, ...
           'color', color_dev_max);
241     hold on
242     for ploit = 2:numel(lode_360(:,1))
243         polarplot(lode_360(ploit,:), r_q(indx,:), ...
           'HandleVisibility','off', 'linewidth',1.5,'color', ...
           color_dev_max)
244     end
245
246     % Label symmetric biaxial compression points
247     polarplot(lode_360(1,1), r_q(indx,1),'bs', 'color', ...
           color_dev_max);
248     text(lode_360(1,1), r_q(indx,1), '$\Sigma_{bi}^{\lim}$', ...
           'interpreter','latex', 'VerticalAlignment','bottom', ...
           'HorizontalAlignment','right')
249
250     polarplot(lode_360(2,1), r_q(indx,1),'bs', 'color', ...
           color_dev_max);

```

```

251     text(lode_360(2,1), r_q(indx,1), '\Sigma_{bi}^{\lim}$', ...
          'interpreter','latex', 'VerticalAlignment','bottom', ...
          'HorizontalAlignment','left')
252
253     polarplot(lode_360(3,1), r_q(indx,1), 'bs', 'color',color_dev_max);
254     text(lode_360(3,1), r_q(indx,1), '\Sigma_{bi}^{\lim}$', ...
          'interpreter','latex', 'VerticalAlignment','bottom', ...
          'HorizontalAlignment','right')
255
256     % Principal stress axes
257     myarrow([0,pi/3],[0,max(r_q(:))+10])
258     myarrow([0,pi],[0,+max(r_q(:))+10])
259     myarrow([0,5*pi/3],[0,max(r_q(:))+10])
260
261     polarplot([0,0], [0,+max(r_q(:))+10], ...
               'k--','HandleVisibility','off','linewidth',0.1)
262     polarplot([0,2*pi/3], [0,+max(r_q(:))+10], ...
               'k--','HandleVisibility','off')
263     polarplot([0,4*pi/3], [0,+max(r_q(:))+10], ...
               'k--','HandleVisibility','off')
264
265     % Ticks
266     thetaticks([0 30 60 90 120 150 180 210 240 270 300 330])
267     thetaticklabels({'$0$', '$\pi/6$', '$\Sigma_{3}$', '$\pi/2$', ...
                     '$2\pi/3$', '$5\pi/6$', '$\Sigma_{1}$', '$7\pi/6$', ...
                     '$4\pi/3$', '$3\pi/2$', '$\Sigma_{2}$', '$11\pi/6$'})
268
269     % Legend
270     legend({'$\xi=2/3 \ , \ \xi_{\max}$', ...
            '$\xi=\xi(\Sigma_{bi}^{\lim})$', 'Location','northoutside', ...
            'Box','on'})
271
272     % Set axis
273     ax=gca;
274     ax.ThetaZeroLocation = 'top';
275     ax.GridLineStyle = '--';
276     ax.ThetaAxisUnits = 'radians';
277     ax.ThetaDir = 'clockwise';
278     rlim([0,max(r_q(:))+10])
279     set(ax, 'TickLabelInterpreter','latex')
280
281     % Set figure size
282     set(gca, 'FontSize',10,'FontName', ...
            'Times','Units','centimeter', 'Position',[1,0.4,6.5,7.0])
283     set(gcf, 'Units','centimeter', 'PaperPositionMode','auto', ...
            'Position',[0 0 8.3 9.0]);
284
285
286     %% Biaxial plane
287     figure

```

```

288         hold on; grid on
289
290         % plot biaxial plane
291         xq=linspace(0,min(SI_DP_bi_list),100);
292         s = spline(beta_2_list.*SI_DP_bi_list, SI_DP_bi_list, xq);
293         plot(-s,-xq, '-', 'linewidth',1.5,'color',color_bi)
294         plot(-xq,-s, '-', 'linewidth',1.5,'color',color_bi)
295
296         % Label symetric biaxial elastic limit
297         plot(-SI_DP_bi_list(end), ...
298              -beta_2_list(end).*SI_DP_bi_list(end), 'ks', 'color',color_bi)
299         text(-SI_DP_bi_list(end), ...
300              -beta_2_list(end).*SI_DP_bi_list(end)+2, ...
301              '$\Sigma_{bi}^{\lim}$', 'interpreter','latex', ...
302              'VerticalAlignment','bottom')
303
304         % Label uniaxial elastic limit
305         plot(-SI_DP_bi_list(1), -beta_2_list(1).*SI_DP_bi_list(1), ...
306              'ko', 'color',color_bi)
307         text(-SI_DP_bi_list(1)+2, -beta_2_list(1).*SI_DP_bi_list(1)+1, ...
308              '$\Sigma_{uni}^{\lim}$', 'interpreter','latex', ...
309              'VerticalAlignment','bottom', 'HorizontalAlignment','left')
310         plot(-beta_2_list(1).(SI_DP_bi_list(1)), -SI_DP_bi_list(1), ...
311              'ko', 'color',color_bi)
312         text(-beta_2_list(1).(SI_DP_bi_list(1))+2, ...
313              -SI_DP_bi_list(1)+2, '$\Sigma_{uni}^{\lim}$', ...
314              'interpreter','latex', 'VerticalAlignment','bottom', ...
315              'HorizontalAlignment','left')
316
317         % Set axis
318         axis square
319         xlim([0,-fc_bi+10])
320         ylim([0,-fc_bi+10])
321         xlabel('$\Sigma_{2}$ [MPa]', 'interpreter','latex')
322         ylabel('$\Sigma_{3}$ [MPa]', 'interpreter','latex')
323         hold off
324
325         % Set figure size
326         set(gca, 'FontSize',10, 'FontName','Times', ...
327              'Units','centimeter', 'Position',[1.4,1.2,6.0,6.0])
328         set(gcf, 'Units','centimeter', 'PaperPositionMode','auto', ...
329              'Position',[0 0 8.3 8.3]);
330
331     end
332 end

```

**Code D.4:** Precalculation of the difference quotients and the homogenized stiffness tensor of the hydrate foam and the cement paste

```

1 function [diffQ,Chom] = fun_CCR2018_precalc(wc,xi,rho,tolerance,tolerance_2)
2
3 %% General
4 % Load unity tensors and stroud points
5 stroud_points
6
7 % Standard phase stiffness
8 % Cement clinker
9 Eclin=139.9;
10 nuclin=0.3;
11 kclin=Eclin/3/(1-2*nuclin);
12 muclin=Eclin/2/(1+nuclin);
13 Cclin=3*kclin*J+2*muclin*K;
14
15 % Needle-shaped hydrates
16 Ehyd=29.15786664;
17 nuhyd=0.24;
18 khyd=Ehyd/3/(1-2*nuhyd);
19 muhyd=Ehyd/2/(1+nuhyd);
20 Chyd=3*khyd*J+2*muhyd*K;
21
22 % Pores
23 Cp=zeros(6,6);
24
25 % Numerical values for strength approximation
26 fapp=0.001;
27 muhyddiff=(1+fapp)*muhyd;
28 khyddiff=(1+fapp)*khyd;
29 fhyddiff=fapp/5;
30
31 %% Volume fractions
32 % Powers model -> cement paste volumes
33 xiult=wc/0.42;
34 if xi>xiult;
35     xi=xiult;
36     disp(['ultimate hydration degree,' num2str(xiult),' is reached'])
37 end
38 fcem_PA = (1-xi)/(1+rho.cem/rho.H2O*wc);
39 fhyd_PA = 1.42*rho.cem*xi/(rho.hyd*(1+rho.cem/rho.H2O*wc));
40 fH2O_PA = max(0, rho.cem*(wc-0.42*xi)/(rho.H2O*(1+rho.cem/rho.H2O*wc)));
41 fair_PA = 1-fcem_PA-fH2O_PA-fhyd_PA;
42
43 fcem_cp=fcem_PA;
44 fhyd_cp=fhyd_PA;
45
46 % Hydrate foam volumes

```

```

47     fhf_cp=fhyd_cp+fH2O_PA+fair_PA;
48     fpor_hf=(fH2O_PA+fair_PA)./fhf_cp;
49     fhyd_hf=fhyd_cp./fhf_cp;
50
51
52     %% Elasticity hydrate foam
53     C0 = fhyd_hf*Chyd;
54     deviation=1;
55
56     while deviation > tolerance
57         % Spherical pores
58         P_p=fun_P_sphere_iso(C0);
59         Ainf_p=inv(I+P_p*(Cp-C0));
60
61         % Acicular hydrates, orientated isotropically in all space directions
62         Ainf_hyd=fun_Ainf_needle_iso(Chyd,C0); % see Code D.7
63
64         % Strain concentration tensors
65         EEinfy_hf=inv(fpor_hf*Ainf_p + fhyd_hf*Ainf_hyd);
66         A_p=Ainf_p*EEinfy_hf;
67         A_hyd=Ainf_hyd*EEinfy_hf;
68
69         % Homogenized stiffness - SELF CONSISTENT
70         Chom_hf=fhyd_hf*Chyd*A_hyd;
71
72         % Update
73         C0_old=C0;
74         C0=Chom_hf;
75         deviation=abs(norm(C0-C0_old)/norm(C0));
76     end
77
78
79     %% Elasticity cement paste
80     C0=Chom_hf;
81
82     % Spherical clinkers, SCMs, and inert fillers
83     P_sph=fun_P_sphere_iso(C0);
84     Ainf_cem=inv(I+P_sph*(Cclin-C0));
85     Ainf_hf=I;
86
87     % Strain concentration tensors
88     EEinfy_cp=inv(fcem_cp*Ainf_cem + fhf_cp*Ainf_hf);
89     A_cem=Ainf_cem*EEinfy_cp;
90     A_hf=Ainf_hf*EEinfy_cp;
91
92     % Homogenized stiffness (MORI-TANAKA)
93     Chom_cp=fcem_cp*Cclin*A_cem + fhf_cp*Chom_hf*A_hf;
94
95
96     %% 2nd order deviator hydrate foam

```



```

97     C0 = Chom_hf;
98     Chyd_diff=3*khyd*J+2*muhyddiff*K;
99
100    deviation=1;
101    countermark=0;
102    while deviation > tolerance_2 && countermark<10
103        disp(['DEV: error=', num2str(deviation,6), ' > ...
104            tolerance=', num2str(tolerance_2), ' ...
105            C1111=', num2str(C0(1,1),10), ' C3333=', num2str(C0(3,3),10)])
106        countermark=countermark+1;
107        % spherical pores
108        P_p=P_isotrans_sph(C0);
109        Ainf_p=inv(I+P_p*(Cp-C0));
110
111        % Acicular hydrates, orientated isotropically in all space directions
112        sumAinf_hyd=zeros(6,6);
113        for i=1:15
114            % Transformation matrices
115            azi=stroud_azi(i); zeni=stroud_zeni(i);
116            Q4=fun_Q4_bp(azi,zeni); Q4t=transpose(Q4);
117
118            % Transformation of stiffness components in negative needle ...
119            orientation
120            C0_aniso=Q4*C0*Q4t;
121            P_hyd_i_e3=fun_P_ellipsoid_transiso(C0_aniso,1,1e-20);
122            % Transformation back into needle orientation
123            P_hyd_i=(Q4t * P_hyd_i_e3 * Q4);
124
125            % Strain concentration tensors in 15 directions + sum
126            Ainf_hyd_i=inv(I+P_hyd_i*(Chyd-C0));
127            sumAinf_hyd=sumAinf_hyd+Ainf_hyd_i;
128        end
129        sumAinf_hyd=1/15*sumAinf_hyd;
130
131        % Acicular hydrate with larger mu, orientated in e3
132        P_hyd_e3=fun_P_ellipsoid_transiso(C0,1,1e-20);
133        Ainf_hyd_diff=inv(I+P_hyd_e3*(Chyd_diff-C0));
134        Ainf_hyd_minus=inv(I+P_hyd_e3*(Chyd-C0));
135
136        % Strain concentration tensors
137        EEinfnty_hf=inv(fpor_hf*Ainf_p + fhyd_hf*sumAinf_hyd + ...
138            fhyddiff*Ainf_hyd_diff - fhyddiff*Ainf_hyd_minus);
139        A_p=Ainf_p*EEinfnty_hf;
140        A_hyd=sumAinf_hyd*EEinfnty_hf;
141        A_hyd_diff=Ainf_hyd_diff*EEinfnty_hf;
142        A_hyd_minus=Ainf_hyd_minus*EEinfnty_hf;
143
144        % Homogenized stiffness - SELF CONSISTENT
145        Chom_hf_dev_transiso=fhyd_hf*Chyd*A_hyd + ...
146            fhyddiff*Chyd_diff*A_hyd_diff - fhyddiff*Chyd*A_hyd_minus;

```

```

142
143     % Update
144     C0_old=C0;
145     C0=Chom_hf_dev_transiso;
146     deviation=abs(norm(C0-C0_old)/norm(C0));
147 end
148
149 %% 2nd order deviator CEMENT PASTE
150 C0=Chom_hf_dev_transiso;
151
152 % Spherical clinkers, SCMs, and inert fillers
153 P_sph=P_isotrans_sph(C0);
154 Ainf_cem=inv(I+P_sph*(Cclin-C0));
155 Ainf_hf=I;
156
157 % Strain concentration tensors
158 EEinfy_cp_dev_transiso=inv(fcem_cp*Ainf_cem + fhf_cp*Ainf_hf);
159 A_cem_dev_transiso=Ainf_cem*EEinfy_cp_dev_transiso;
160 A_hf_dev_transiso=Ainf_hf*EEinfy_cp_dev_transiso;
161
162 % Homogenized stiffness (MORI-TANAKA)
163 Chom_cp_dev_transiso=fcem_cp*Cclin*A_cem_dev_transiso + ...
    fhf_cp*Chom_hf_dev_transiso*A_hf_dev_transiso;
164
165 %% 2nd order volumetric part HYDRATE FOAM
166 C0 = Chom_hf;
167 Chyd_diff=3*khyddiff*J+2*muhyd*K;
168
169 deviation=1;
170 countermark=0;
171 while deviation > tolerance_2 && countermark<8
172     disp(['VOL: error=',num2str(deviation,6),' > ...
    tolerance=',num2str(tolerance_2),' ...
    C1111=',num2str(C0(1,1),10),' C3333=',num2str(C0(3,3),10)])
173     countermark=countermark+1;
174     % Spherical pores
175     P_p=P_isotrans_sph(C0);
176     Ainf_p=inv(I+P_p*(Cp-C0));
177
178     % Acicular hydrates, orientated isotropically in all space directions
179     sumAinf_hyd=zeros(6,6);
180     for i=1:15
181         % Transformation matrices
182         azi=stroud_azi(i); zeni=stroud_zeni(i);
183         Q4=fun_Q4_bp(azi,zeni); Q4t=transpose(Q4);
184
185         % Transformation of stiffness components in negative needle ...
            orientation
186         C0_aniso=Q4*C0*Q4t;
187         P_hyd_i_e3=fun_P_ellipsoid_transiso(C0_aniso,1,1e-20);

```

```

188         % Transformation back into needle orientation
189         P_hyd_i=(Q4t * P_hyd_i_e3 * Q4);
190
191         % Strain concentration tensors in 15 directions + sum
192         Ainf_hyd_i=inv(I+P_hyd_i*(Chyd-C0));
193         sumAinf_hyd=sumAinf_hyd+Ainf_hyd_i;
194     end
195     sumAinf_hyd=1/15*sumAinf_hyd;
196
197     % Acicular hydrate with larger k, orientated in e3
198     P_hyd_e3=fun_P_ellipsoid_transiso(C0,1,1e-20);
199     Ainf_hyd_diff=inv(I+P_hyd_e3*(Chyd_diff-C0));
200     Ainf_hyd_minus=inv(I+P_hyd_e3*(Chyd-C0));
201
202     % Strain concentration tensors
203     EEinfy_hf=inv(fpor_hf*Ainf_p + fhyd_hf*sumAinf_hyd + ...
204         fhyddiff*Ainf_hyd_diff - fhyddiff*Ainf_hyd_minus);
205     A_p=Ainf_p*EEinfy_hf;
206     A_hyd=sumAinf_hyd*EEinfy_hf;
207     A_hyd_diff=Ainf_hyd_diff*EEinfy_hf;
208     A_hyd_minus=Ainf_hyd_minus*EEinfy_hf;
209
210     % Homogenized stiffness - SELF CONSISTENT
211     Chom_hf_vol_transiso=fhyd_hf*Chyd*A_hyd + ...
212         fhyddiff*Chyd_diff*A_hyd_diff - fhyddiff*Chyd*A_hyd_minus;
213
214     % Update
215     C0_old=C0;
216     C0=Chom_hf_vol_transiso;
217     deviation=abs(norm(C0-C0_old)/norm(C0));
218 end
219
220 %% 2nd order volumetric part CEMENT PASTE
221 C0=Chom_hf_vol_transiso;
222
223 % Spherical clinkers, SCMs, and inert fillers
224 P_sph=P_isotrans_sph(C0);
225 Ainf_cem=inv(I+P_sph*(Cclin-C0));
226 Ainf_hf=I;
227
228 % Strain concentration tensors
229 EEinfy_cp_vol_transiso=inv(fcem_cp*Ainf_cem + fhf_cp*Ainf_hf);
230 A_cem_vol_transiso=Ainf_cem*EEinfy_cp_vol_transiso;
231 A_hf_vol_transiso=Ainf_hf*EEinfy_cp_vol_transiso;
232
233 % Homogenized stiffness (MORI-TANAKA)
234 Chom_cp_vol_transiso=fcem_cp*Cclin*A_cem_vol_transiso + ...
235     fhf_cp*Chom_hf_vol_transiso*A_hf_vol_transiso;

```

```

235     %% Difference Quotients
236     diffQ.vol=1/(fapp*khyd) * (Chom_cp_vol_transiso-Chom_cp) / ...
        (fhyddiff*fhf_cp); % Attention: volume fraction has been multiplied ...
        already
237     diffQ.dev=1/(fapp*muhyd) * (Chom_cp_dev_transiso-Chom_cp) / ...
        (fhyddiff*fhf_cp);
238
239     %% Stiffness
240     Chom.hf=Chom_hf;
241     Chom.cp=Chom_cp;
242
243 end

```

---

### Code D.5: Homogenization of the concrete

---

```

1 function [Chom_mor,B_agg] = fun_CCR2018_conhom(ac,wc,rho,Chom_cp,kagg,muagg)
2     %% Elasticity mortar/concrete
3
4     stroud_points
5
6     % phase stiffness
7     Cagg=3*kagg*J+2*muagg*K; % Aggregates
8     Cp=zeros(6,6); % Pores
9
10    % Mortar-related volumes
11    fq_mor=(ac/rho.agg)/(1/rho.cem+wc/rho.H2O+ac/rho.agg);
12    fcp_mor=1-fq_mor;
13    fa_mor=0;
14
15    % Stiffness of matrix in Eshelby problem
16    C0=Chom_cp;
17
18    % Spherical clinkers, SCMs, and inert fillers
19    P_sph=fun_P_sphere_iso(C0);
20    Ainf_q=inv(I+P_sph*(Cagg-C0));
21    Ainf_por=inv(I+P_sph*(Cp-C0));
22    Ainf_cp=I;
23
24    % Strain concentration tensors
25    EEinfnty_mor=inv(fcp_mor*Ainf_cp + fq_mor*Ainf_q + fa_mor*Ainf_por);
26    A_q=Ainf_q*EEinfnty_mor;
27    A_cp=Ainf_cp*EEinfnty_mor;
28    A_por=Ainf_por*EEinfnty_mor;
29
30    % Homogenized stiffness (MORI-TANAKA)
31    Chom_mor=fcp_mor*Chom_cp*A_cp + fq_mor*Cagg*A_q;
32

```

```

33     % Stress concentration tensor
34     B_agg=Cagg*A_q*inv(Chom_mor);
35
36 end

```

---

### Code D.6: Stress concentration to ITZ

---

```

1     function [ BITZsand_sph ] = fun_BITZagg(kagg,kITZ,muagg,muITZ)
2     %fun_BITZagg Stress concentration tensor to ITZ
3     % calculates the spherical components of the 4th order stress ...
4     % BITZagg in the psi, omega, r-base for downscaling aggregate ...
5     % ITZ stresses; all phases are isotropic, spherical aggregates, perfect
6     % bond-related continuity conditions
7
8     deltaITZsand= kagg*muagg*(3*kITZ+4*muITZ);
9
10    BITZsandrrrr= 1.0;
11    BITZsandpppp= muITZ*(3*kagg*kITZ+2*kagg*muITZ+2*kITZ*muagg)/deltaITZsand;
12    BITZsandoooo= BITZsandpppp;
13    BITZsandppoo= 2*muITZ*(kITZ*muagg-kagg*muITZ)/deltaITZsand;
14    BITZsandoopp= BITZsandppoo;
15    BITZsandoorr= ...
16    (3*kagg*kITZ*(muagg-muITZ)-2*muagg*muITZ*(kagg-kITZ))/deltaITZsand;
17    BITZsandoorr= BITZsandoorr;
18    BITZsandroro= 0.5;
19    BITZsandroro= 0.5;
20    BITZsandpopo= muITZ/(2*muagg);
21
22    BITZsand_sph= [BITZsandpppp, BITZsandppoo, BITZsandoorr, 0., 0., 0.;...
23    BITZsandoopp, BITZsandoooo, BITZsandoorr, 0., 0., 0.;...
24    0., 0., BITZsandrrrr, 0., 0., 0.;...
25    0., 0., 0., 2*BITZsandroro, 0., 0.;...
26    0., 0., 0., 0., 2*BITZsandroro, 0.;...
27    0., 0., 0., 0., 0., 2*BITZsandpopo];
28
29 end

```

---

### Code D.7: Auxiliary tensor for the strain concentration tensor of the hydrate needle

---

```

1     function[Ainfneedle]=fun_Ainf_needle_iso(Cinc,Cinf)
2
3     % Function for computation of Ainf for cylindric isotropic inclusions

```

```

4      % orientated in all space directions, embedded in isotropic matrix ...
      material
5      %
6      %-----
7      % INPUT: inclusion stiffness tensor Cinc (isotropic)
8      %         infinite matrix stiffness tensor Cinf (isotropic)
9      %
10     % OUTPUT: Auxiliary tensor Ainfneedle
11     %
12     %-----
13     %
14
15     % Computation of elastic constants of matrix material
16     muinc=0.5*Cinc(6,6);
17     kinc=Cinc(1,1)-4/3*0.5*Cinc(6,6);
18
19     muinf=0.5*Cinf(6,6);
20     kinf=Cinf(1,1)-4/3*0.5*Cinf(6,6);
21
22     Avolinf_needle = (1/3)*(3*kinf+muinc+3*muinf)/(3*kinc+muinc+3*muinf);
23     Adevinf_needle = (1/10)*(9*kinc*muinc^2*kinf+ 84*kinf*muinf^3+ ...
        64*muinf^4+21*kinc*muinc^2*muinf+ 81*kinc*kinf*muinf^2+ ...
        120*kinf*muinc*muinf^2+ 63*kinc*muinf^3+ 184*muinc*muinf^3+ ...
        90*kinf*muinf*kinc*muinc+ 36*kinf*muinf*muinc^2+ ...
        156*kinc*muinc*muinf^2+ 72*muinc^2*muinf^2)/ ...
        ((muinf+muinc)*(3*kinf*muinc+ 7*muinf*muinc+3*kinf*muinf+muinf^2))* ...
        (3*kinc+muinc+3*muinf));
24
25     I= [1 0 0 0 0 0;...
26         0 1 0 0 0 0;...
27         0 0 1 0 0 0;...
28         0 0 0 1 0 0;...
29         0 0 0 0 1 0;...
30         0 0 0 0 0 1];
31
32     J= [1/3 1/3 1/3 0 0 0;...
33         1/3 1/3 1/3 0 0 0;...
34         1/3 1/3 1/3 0 0 0;...
35         0 0 0 0 0 0;...
36         0 0 0 0 0 0;...
37         0 0 0 0 0 0];
38
39     K=I-J;
40
41     Ainfneedle=3*Avolinf_needle*J+2*Adevinf_needle*K;
42     end

```

**Code D.8:** Calculate isotropic elastic stiffness tensor from bulk and shear modulus

---

```

1  function [C]=fun_CfromEnu(k,mu)
2  % C = fun_CfromEnu(E,nu) returns the isotropic elastic stiffness ...
   tensor of
3  % a material with bulk modulus k and shear modulus mu
4  %-----
5  % INPUT: k ... bulk modulus ->scalar
6  %        mu ... Shear modulus ->scalar
7  % OUTPUT: C ... stiffness tensor ->4th tensor
8  %-----
9
10  I=[1 0 0 0 0 0;...
11     0 1 0 0 0 0;...
12     0 0 1 0 0 0;...
13     0 0 0 1 0 0;...
14     0 0 0 0 1 0;...
15     0 0 0 0 0 1];
16
17  Ivol=[1/3 1/3 1/3 0 0 0;...
18        1/3 1/3 1/3 0 0 0;...
19        1/3 1/3 1/3 0 0 0;...
20        0 0 0 0 0 0;...
21        0 0 0 0 0 0;...
22        0 0 0 0 0 0];
23
24  Idev=I-Ivol;
25
26  C=3*k*Ivol+2*mu*Idev;
27  end

```

---

**Code D.9:** Calculation of Young's modulus, Poisson's ratio, bulk and shear modulus from the stiffness tensor

---

```

1  function [E,nu,k,mu] = fun_Enu_from_C(C)
2  %Calculate Young's modulus (E) and Poisson's ratio (nu), bulk (k) and
3  %shear modulus (mu) from stiffness tensor (C)
4
5  % Verify input
6  if ~isequal(size(C), [6,6])
7  error('dimension of matrix must be 6x6')
8  end
9
10 % Lamé constants
11 la=C(1,2);
12 mu=C(4,4)/2;
13

```

```

14     % Transition
15     k=1a+2/3*mu;
16     nu = (3*k-2*mu)/(6*k+2*mu);
17     E = 9*k*mu/(3*k+mu);
18 end

```

---

### Code D.10: Convert stress tensor in Cartesian coordinates to Haigh-Westergaard coordinates

```

1     function [xi,r,lode_rad,sig,J_2,J_3] = fun_cart_to_haigh(spann_kelv_mand)
2     % Convert stress state in Cartesian coordinate system
3     % into Haigh-Westergaard coordinate system
4
5     % Stress tensor
6     sig=[spann_kelv_mand(1), spann_kelv_mand(6)/sqrt(2), ...
7           spann_kelv_mand(5)/sqrt(2);
8           spann_kelv_mand(6)/sqrt(2), spann_kelv_mand(2), ...
9           spann_kelv_mand(4)/sqrt(2);
10          spann_kelv_mand(5)/sqrt(2), spann_kelv_mand(4)/sqrt(2), ...
11          spann_kelv_mand(3)];
12
13     % Auxiliary values
14     aux_sig_1=[sig(2,2),sig(2,3);...
15              sig(3,2),sig(3,3)];
16     aux_sig_2=[sig(1,1),sig(1,3);...
17              sig(3,1),sig(3,3)];
18     aux_sig_3=[sig(1,1),sig(1,2);...
19              sig(2,1),sig(2,2)];
20
21     % Invariants of the stress tensor
22     I_1=sig(1,1)+sig(2,2)+sig(3,3);
23     I_2=det(aux_sig_1)+det(aux_sig_2)+det(aux_sig_3);
24     I_3=det(sig);
25
26     % Invariants of the stress deviator
27     J_2=((I_1)^2)/3-I_2;
28     J_3=I_3-I_1*I_2/3+2*I_1^3/27;
29
30     %Haigh-Westergaard coordinates
31     xi=I_1/sqrt(3);
32     r=sqrt(2*J_2);
33     lode_rad=1/3*acos(3*sqrt(3)/2*J_3/(sqrt(J_2^3))); % in rad
34
35 end

```

---



---

**Code D.11:** Convert Haigh-Westergaard coordinates to principal stresses in a Cartesian coordinates

---

```

1  function [sig_1,sig_2,sig_3] = ...
      fun_haigh_westergaard_to_cartesian(xi,r,lode)
2  % Convert stress state in Haigh-Westergaard
3  % Coordinate system into Cartesian coordinate system
4
5  % Invariants of the stress deviator
6  J_2=r^2/2;
7  J_3=cos(3*lode)*2/(3*sqrt(3))*sqrt(J_2^3);
8
9  % Invariants of the stress tensor
10 I_1=xi*sqrt(3);
11 I_2=I_1^2/3-J_2;
12 I_3=J_3+I_1*I_2/3-2*I_1^3/27;
13
14 % Auxiliary values
15 h_1=I_1^2-3*I_2;
16 h_frac=asin((2*I_1^3-9*I_1*I_2+27*I_3)/(2*(h_1)^(3/2)))/3;
17
18 % Principal stresses in a Cartesian coordinate system
19 sig_1=(sqrt(h_1)*cos(h_frac+pi/6)+sqrt(3*h_1*(sin(h_frac+pi/6))^2+I_1))/3;
20 sig_2=(sqrt(h_1)*cos(h_frac+pi/6)-sqrt(3*h_1*(sin(h_frac+pi/6))^2+I_1))/3;
21 sig_3=-(2*sqrt(h_1)*cos(h_frac+pi/6)-I_1)/3;
22
23 end

```

---