

# Sequent-Type Calculi for Variants of Default Logic

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Wien, 12. August 2019

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# Erklärung zur Verfassung der Arbeit

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*“Anything worth doing is worth doing slowly.”*  
— Mae West



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# Kurzfassung

Sequenzkalküle sind eine wichtige Beweismethode in der automatischen Deduktion. In dieser Arbeit führen wir solche Kalküle für zwei Versionen von Default Logik ein, nämlich einerseits für eine dreiwertige Form der Default Logik, eingeführt von Radzikowska, und der Disjunktiven Default Logik nach Gelfond, Lifschitz, Przymusinska und Truszczyński. Die erste Variante von Default Logik verwendet die bekannte dreiwertige Logik von Łukasiewicz als zugrundeliegenden logischen Apparat, während in der Disjunktiven Default Logik verallgemeinerte Default Regeln verwendet werden, die eine Auswahl von Konklusionen erlauben. Beide Kalküle wurden eingeführt um gewisse Probleme der üblichen Default Logik zu adressieren. Die Kalküle die wir beschreiben axiomatisieren das sogenannte *Brave Reasoning* und folgen der Methode von Bonatti, der solche Kalküle im Bereich des Nichtmonotonen Schließens postulierte. Ein besonderes Merkmal der Kalküle von Bonatti ist die Verwendung eines *komplementären Kalküls* der Ungültigkeit formalisiert und der für die Axiomatisierung der Konsistenzbedingungen von Defaults zuständig ist.



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# Abstract

Sequent-type proof systems constitute an important and widely-used class of calculi well-suited for analysing proof search. In this thesis, we introduce sequent-type calculi for two variants of default logic, viz., on the one hand, for three-valued default logic due to Radzikowska, and, on the other hand, for disjunctive default logic, due to Gelfond, Lifschitz, Przymusinska, and Truszczyński. The first variant of default logic employs Łukasiewicz's three-valued logic as the underlying base logic and the second variant generalises defaults by allowing a selection of consequents in defaults. Both versions have been introduced to address certain representational shortcomings of standard default logic. The calculi we introduce axiomatise brave reasoning for these versions of default logic, following the sequent method first introduced in the context of nonmonotonic reasoning by Bonatti, which employs a *complementary calculus* for axiomatising invalid formulas, taking care of expressing the consistency condition of defaults.



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# Introduction

*“I deny that there is such a thing as originality.  
All the artist can do is to bring his personality to bear.  
If he is true to himself, he can’t help but be different,  
even unique, for no two persons are alike.  
I do not strive to be different for the sake of  
being different, but do not mind being different  
if my difference is a result of my being myself.”*

Moondog (1969)

Sequent-type proof systems, first introduced in the 1930s by Gerhard Gentzen [23] for classical and intuitionistic logic, are among the basic calculi used in automated deduction for analysing proof search. In the area of nonmonotonic reasoning, Bonatti [12] introduced in the early 1990s sequent-style systems for default logic [51] and autoepistemic logic [42], and a few years later together with Olivetti [14] also for circumscription [39]. A distinguishing feature of these calculi is the usage of a *complementary calculus* for axiomatising invalid formulas, i.e., of non-theorems, taking care of formalising consistency conditions, which makes these calculi arguably particularly elegant and suitable for proof-complexity elaborations as, e.g., recently undertaken by Beyersdorff, Meier, Thomas, and Vollmer [7]. In a complementary calculus, the inference rules formalise the propagation of refutability instead of validity and establish invalidity by deduction and thus in a purely syntactic manner. Complementary calculi are also referred to as *refutation calculi* or *rejection calculi* and the first axiomatic treatment of rejection was done by Łukasiewicz in his formalisation of Aristotle’s syllogistic [32].

In this thesis, we introduce sequent-type calculi for brave reasoning in the style of Bonatti [12] for two variants of default logic, viz., on the one hand, for three-valued default logic due to Radzikowska [50], and, on the other hand, for disjunctive default

logic, due to Gelfond, Lifschitz, Przymusinska, and Truszczyński [22]. The first variant of default logic employs Łukasiewicz’s three-valued logic [31] as the underlying base logic and the second variant generalises defaults by allowing a selection of consequents in defaults, closely related to the answer-set semantics of disjunctive logic programs [21]. Both versions have been introduced to address certain representational shortcomings of standard default logic.

Our calculi consist of three parts, similar to Bonatti’s calculus for standard default logic [51], viz. a sequent calculus for axiomatising validity in the underlying base logics, complementary *anti-sequent* calculi for axiomatising *non-theorems* of these logics, taking care of formalising the consistency conditions of defaults, and specific default inference rules. As far as three-valued logics are concerned, different kinds of sequent-style systems exist in the literature, like systems based on (two-sided) sequents [8, 4] in the style of Gentzen [23] employing additional non-standard rules, or using *hypersequents* [2], which are tuples of Gentzen-style sequents. In our sequent and anti-sequent calculi for Łukasiewicz’s three-valued logic, we adopt the approach of Rousseau [56], which is a natural generalisation for many-valued logics of the classical two-sided sequent formulation of Gentzen. The respective calculi are obtained from a systematic construction for many-valued logics as described by Zach [74] and Bogojeski [10].

For the case of disjunctive default logic, the calculus we define employs the well-known sequent-type calculus as introduced by Gentzen [23] and an anti-sequent calculus due to Bonatti [12].

The thesis is organised as follows. In Chapter 2 we recapitulate the necessary background from classical logic and Łukasiewicz’s three-valued logic. Afterwards, in Chapter 3, we review the different default-logic formalisms, viz. Reiter’s original version of default logic [51], Radzikowska’s three-valued default logic [50], and disjunctive default logic [22]. Then, in Section 4, we develop our calculi.

The results of thesis have been presented and published at international conferences. The result on the calculus for three-valued default logic appears in the proceedings of the *15th International Conference on Logic Programming and Nonmonotonic Reasoning* [47], and the calculus for disjunctive default logic was presented at the conference *Kurt Gödel’s Legacy: Does Future lie in the Past?* [46].



# Basic Concepts from Sentential Logics

*“It doesn’t matter whether a cat is black or white,  
if it catches mice it is a good cat.”*

Deng Xiaoping

In this chapter, we recapitulate the elementary facts about the underlying logics employed in the default-reasoning formalisms discussed in this thesis. In particular, the logics needed in what follows are classical propositional logic and Łukasiewicz’s three-valued logic. We first deal with classical propositional logic and afterwards, in Section 2.2, we continue with Łukasiewicz’s three-valued logic [31].

## 2.1 Classical Propositional Logic

In this section, we introduce syntax, semantics, and a Hilbert-style proof theory for classical (two-valued) propositional logic, **PL**. Our exposition follows the presentation given by Smullyan [68] and Tompits [70].

### 2.1.1 Syntax

The alphabet,  $\mathcal{L}_{\mathbf{PL}}$ , of **PL** consists of the following pairwise disjoint classes of symbols:

- (i) a countable set  $\mathcal{P}$  of *propositional constants*;
- (ii) the *truth constants* “ $\top$ ” (“truth”) and “ $\perp$ ” (“falsehood”);
- (iii) the *primitive logical connectives* “ $\neg$ ” (“negation”) and “ $\supset$ ” (“implication”), and

(iv) the *punctuation symbols* “(” (“left parenthesis”) and “)” (“right parenthesis”).

**Definition 1** A *formula* (of **PL**) is given according to the following rules:

$F_0$ : Any propositional constant from  $\mathcal{P}$  and any truth constant is a formula.

$F_1$ : If  $A$  is a formula, then  $(\neg A)$  is a formula.

$F_2$ : If  $A$  and  $B$  are formulas, then  $(A \supset B)$  is a formula.

$F_3$ : The only formulas are those given by  $F_0 - F_2$ . □

This definition can be made explicit as follows: A *formation sequence* is a finite sequence of strings from  $\mathcal{L}_{\mathbf{PL}}$  such that each term of the sequence is either

- a propositional constant,
- a truth constant,
- of the form  $(\neg A)$ , where  $A$  is an earlier term of the sequence, or
- is of the form  $(A \supset B)$ , where  $A$  and  $B$  are earlier terms of the sequence.

Then,  $A$  is a formula iff there is a formation sequence whose last term is  $A$ . Such a sequence may also be called a formation sequence *for*  $A$ .

A formula formed according to clause  $F_0$  is called an *atom*, or an *atomic formula*. A formula formed according to clauses  $F_1$  or  $F_2$  is called a *composite* formula, or a *molecule*.

In what follows, we will use the letters “ $P$ ”, “ $Q$ ”, “ $R$ ”,... (possibly appended with subscripts and/or with primes) or words from everyday English to refer to propositional constants, and we use the letters “ $A$ ”, “ $B$ ”, “ $C$ ”,... (again possibly appended with subscripts and/or with primes) to refer to arbitrary formulas (distinct such letters need not represent distinct formulas).

Besides the primitive connectives  $\neg$  and  $\supset$ , we also make use of the standard connectives “ $\vee$ ” (“disjunction”), “ $\wedge$ ” (“conjunction”), and “ $\equiv$ ” (“equivalence”), defined in the following way:

$$\begin{aligned} (A \vee B) &:= ((\neg A) \supset B); \\ (A \wedge B) &:= \neg((\neg A) \vee (\neg B)); \text{ and} \\ (A \equiv B) &:= ((A \supset B) \wedge (B \supset A)). \end{aligned}$$

The connectives  $\supset$  and  $\equiv$  are often also referred to as *material implication* and *material equivalence*, respectively.<sup>1</sup> Alternatively, they are also sometimes respectively called *conditional* and *biconditional*.

---

<sup>1</sup>The term “material” refers to the fact that these connectives are defined for elements of the object language—in contradistinction to *logical* implication and equivalence (to be defined later on), which are elements of the *metalanguage*.

For any formula  $A$ , we call  $(\neg A)$  the *negation* of  $A$ , and for all formulas  $A$  and  $B$ , we refer to  $(A \wedge B)$ ,  $(A \vee B)$ , and  $(A \supset B)$  as the *conjunction*, *disjunction*, and *conditional* of  $A$  and  $B$ , respectively. In a conditional  $(A \supset B)$ ,  $A$  is called the *antecedent* and  $B$  the *consequent* of that formula.

Now, since we are using only one kind of parentheses, the question may arise whether the association of a formula is unambiguously fixed by its parentheses. The following result shows that this is indeed the case (for a proof, cf., e.g., Kleene [27]).

**Theorem 1 (Unique Decomposition Theorem)** *For every formula  $A$ , exactly one of the following conditions holds:*

- (i)  $A$  is an atomic formula.
- (ii) There is a unique formula  $B$  such that  $A = (\neg B)$ .
- (iii) There is a unique pair of formulas  $B$  and  $C$  such that  $A = (B \supset C)$ .

As customary, we will drop parentheses in formulas as long as no ambiguity arises. In particular, we will drop outermost parentheses.

We may use “ $\circ$ ” to stand for any of the binary connectives  $\wedge$ ,  $\vee$ , or  $\supset$ . Accordingly, “ $(A \circ B)$ ” then means  $(A \wedge B)$ ,  $(A \vee B)$ , or  $(A \supset B)$  given that  $\circ$  denotes  $\wedge$ ,  $\vee$ , or  $\supset$ , respectively.

Strictly speaking, one must distinguish between a logical symbol *per se* and as being a member in a sequence of *occurrences* of logical symbols. The term “occurrence” is used in order to refer to the elements of the sequence in their status of being members thereof and to emphasise that different members may refer to the same symbol. That is to say, occurrences point to *specific members* in a sequence of formal objects. However, for simplicity, we will not always make this distinction explicit.

**Definition 2** The *scope* of an occurrence of a connective in a formula is defined explicitly as follows:

- $Sc_1$ : The scope of an occurrence of the negation sign  $\neg$  in a formula is the formula immediately to the right of that occurrence of  $\neg$ .
- $Sc_2$ : The scope of an occurrence of a binary connective  $\circ \in \{\wedge, \vee, \supset, \equiv\}$  in a formula are the formulas immediately to the left and immediately to the right of that occurrence of  $\circ$ . □

**Definition 3** The notion of an *immediate subformula* is given by the following conditions:

- $I_0$ : Atomic formulas have no immediate subformulas.

$I_1$ :  $\neg A$  has  $A$  as immediate subformula and no others.

$I_2$ : For a binary connective  $\circ$ , the immediate subformulas of  $(A \circ B)$  are  $A$  and  $B$  (we refer to  $A$  and  $B$  as the *left immediate subformula* and the *right immediate subformula* of  $(A \circ B)$ , respectively).  $\square$

**Definition 4** A formula  $B$  is a *subformula* of a formula  $A$  if there is a finite sequence of formulas whose first element is  $B$ , whose last element is  $A$ , and such that each element of the sequence (except the last) is an immediate subformula of the next.

If  $B$  is a subformula of  $A$  and if  $B$  is distinct from  $A$ , then  $B$  is a *proper* subformula of  $A$ .  $\square$

Hence, the subformula-relation enjoys the following properties:

$S_1$ : If  $B$  is an immediate subformula of  $A$  or if  $B$  is identical with  $A$ , then  $B$  is a subformula of  $A$ .

$S_2$ : If  $A$  is a subformula of  $B$  and  $B$  is a subformula of  $C$ , then  $A$  is a subformula of  $C$ .

For a formula  $C$ , we write “ $C_A$ ” to indicate that  $C$  has a specified (consecutive) part  $A$ . Under this usage,  $C_B$  is the result of replacing this occurrence of  $A$  in  $C_A$  by a formula  $B$ .

Finally, the *logical complexity* (or *logical degree*) of a formula  $A$ , denoted by  $d(A)$ , is the number of logical connectives and quantifiers occurring in  $A$ . Thus:

- (i)  $d(A) = 0$  if  $A$  is an atomic formula;
- (ii)  $d(\neg A) = d(A) + 1$ ; and
- (iii)  $d(A \circ B) = d(A) + d(B) + 1$ , where  $\circ$  is a binary connective.

### 2.1.2 Semantics

**Definition 5** A (*two-valued*) *interpretation* is a mapping  $I$  assigning to each propositional constant from  $\mathcal{P}$  an element from  $\{\mathbf{t}, \mathbf{f}\}$ .  $\square$

The elements of  $\{\mathbf{t}, \mathbf{f}\}$  are referred to as *truth values*, where  $\mathbf{t}$  represents *truth* and  $\mathbf{f}$  represents *falsity*. Intuitively, an atom  $P$  is considered true under an interpretation  $I$  if  $I(P) = \mathbf{t}$  and false under  $I$  if  $I(P) = \mathbf{f}$ . Accordingly,  $I(P)$  is the *truth value of  $P$  under  $I$* . The notion of a truth value is then extended to arbitrary formulas as follows:

**Definition 6** Let  $A$  be a formula of **PL** and  $I$  an interpretation. Then, the *truth value of  $A$  under  $I$* , denoted by  $V^I(A)$ , is determined as follows:

- (a) if  $A = \top$ , then  $V^I(A) = \mathbf{t}$ ;  
 (b) if  $A = \perp$ , then  $V^I(A) = \mathbf{f}$ ;  
 (c) if  $A$  is an atomic formula, then  $V^I(A) = I(A)$ ;  
 (d) if  $A = \neg B$ , for some formula  $B$ , then

$$V^I(A) = \begin{cases} \mathbf{t}, & \text{if } V^I(B) = \mathbf{f}, \\ \mathbf{f}, & \text{if } V^I(B) = \mathbf{t}; \end{cases}$$

and

- (e) if  $A = (B \supset C)$ , for some formulas  $B$  and  $C$ , then

$$V^I(A) = \begin{cases} \mathbf{t}, & \text{if } V^I(B) = \mathbf{f} \text{ or } V^I(C) = \mathbf{t}, \\ \mathbf{f}, & \text{otherwise.} \end{cases}$$

□

From conditions (a)–(e), corresponding conditions for the defined connectives readily follow:

- (f) if  $A = (B \vee C)$ , then

$$V^I(A) = \begin{cases} \mathbf{t}, & \text{if } V^I(B) = \mathbf{t} \text{ or } V^I(C) = \mathbf{t}, \\ \mathbf{f}, & \text{otherwise;} \end{cases}$$

- (g) if  $A = (B \wedge C)$ , then

$$V^I(A) = \begin{cases} \mathbf{t}, & \text{if } V^I(B) = \mathbf{t} \text{ and } V^I(C) = \mathbf{t}, \\ \mathbf{f}, & \text{otherwise;} \end{cases}$$

and

- (h) if  $A = (B \equiv C)$ , then

$$V^I(A) = \begin{cases} \mathbf{t}, & \text{if } V^I(B) = V^I(C), \\ \mathbf{f}, & \text{otherwise.} \end{cases}$$

Figure 2.1 compactly summarises the truth conditions for all sentential connectives in a usual truth-table form.

Given an interpretation  $I$ , a formula  $A$  is *true under  $I$*  iff  $V^I(A) = \mathbf{t}$ , and *false under  $I$*  if  $V^I(A) = \mathbf{f}$ . If  $A$  is true under  $I$ , then  $I$  is said to be a *model* of  $A$ , and if  $A$  is false under  $I$ , then  $I$  is a *countermodel* of  $A$ . If  $I$  is a countermodel of  $A$ , then we also say

$\neg$		$\supset$	<b>t</b>	<b>f</b>	$\vee$	<b>t</b>	<b>f</b>	$\wedge$	<b>t</b>	<b>f</b>	$\equiv$	<b>t</b>	<b>f</b>
<b>t</b>	<b>f</b>	<b>t</b>	<b>t</b>	<b>f</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>f</b>	<b>t</b>	<b>t</b>	<b>f</b>
<b>f</b>	<b>t</b>	<b>f</b>	<b>t</b>	<b>t</b>	<b>f</b>	<b>t</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>t</b>

Figure 2.1: Truth tables for the connectives of **PL**.

that  $I$  refutes  $A$ . We call  $A$  *satisfiable* (in **PL**) if it has some model, and *falsifiable* (in **PL**), or *refutable* (in **PL**), if it has some countermodel. Moreover,  $A$  is *unsatisfiable* (in **PL**) if it has no model. Finally,  $A$  is a *tautology*, symbolically  $\models_2 A$ , if it is true in every interpretation, and *refutable* (in **PL**), symbolically  $\not\models_2 A$ , otherwise.

Clearly,  $A$  is a tautology iff  $\neg A$  is unsatisfiable, and  $A$  is refutable iff  $\neg A$  is satisfiable.

Below some well-known tautologies are listed:

**Principle of identity:**  $A \supset A$ .

**Transitivity of implication:**  $(A \supset B) \supset ((B \supset C) \supset (A \supset C))$ .

**Interchange of premisses:**  $(A \supset (B \supset C)) \equiv (B \supset (A \supset C))$ .

**Importation:**  $(A \supset (B \supset C)) \supset ((A \wedge B) \supset C)$ .

**Exportation:**  $((A \wedge B) \supset C) \supset (A \supset (B \supset C))$ .

**Reduction to disjunction:**  $(A \supset B) \equiv (\neg A \vee B)$ .

**Modus ponendo ponens:**  $(A \wedge (A \supset B)) \supset B$ .

**Modus tollendo tollens:**  $((A \supset B) \wedge \neg B) \supset \neg A$ .

**Ex falso sequitur quodlibet:**  $\neg A \supset (A \supset B)$ . (Or, equivalently:  $\perp \supset B$ .)

**Verum sequitur ex quodlibet:**  $A \supset (B \supset A)$ . (Or, equivalently:  $B \supset \top$ .)

**Tertium non datur:**  $A \vee \neg A$ .

**Principium contradictionis:**  $\neg(A \wedge \neg A)$ .

**Law of double negation:**  $\neg\neg A \equiv A$ .

**De Morgan's Laws:**

$$\neg(A \wedge B) \equiv (\neg A \vee \neg B).$$

$$\neg(A \vee B) \equiv (\neg A \wedge \neg B).$$

**Distributive Laws:**

$$A \wedge (B \vee C) \equiv (A \wedge B) \vee (A \wedge C).$$

$$A \vee (B \wedge C) \equiv (A \vee B) \wedge (A \vee C).$$

A set of formulas is also referred to as a *theory*. An interpretation  $I$  is a model of a theory  $T$  if  $I$  is a model of all elements of  $T$ , otherwise  $I$  is a countermodel of  $T$ . If a theory  $T$  has a model, then  $T$  is satisfiable, and if  $T$  has a countermodel, then  $T$  is falsifiable. A theory is unsatisfiable if it has no model.

A formula  $A$  is a *valid consequence* of a theory  $T$  (in **PL**), or  $T$  *entails*  $A$  (in **PL**), in symbols  $T \models_2 A$ , iff  $A$  is true in any model of  $T$ . Two formulas,  $A$  and  $B$ , are (*logically*) *equivalent* (in **PL**) iff  $\models_2 (A \equiv B)$ . In general, two theories are (logically) equivalent iff they have the same models.

As customary, we will write expressions like “ $T \cup \{A\} \models_2 B$ ” as “ $T, A \models_2 B$ ”, and similarly for finite sets of form  $\{A_1, \dots, A_n\}$  instead of a singleton set  $\{A\}$ . Note that, for any formula  $B$ , it holds that  $\models_2 B$  iff  $\emptyset \models_2 B$ , i.e., tautologies are precisely those formulas which are consequences of the empty set.

We next summarise some basic properties of entailment.

**Theorem 2 (Replacement Theorem)** *Let  $C_A$  be a formula containing a specific occurrence of a formula  $A$ , let  $C_B$  be the result of replacing that occurrence of  $A$  in  $C_A$  by  $B$ , and let  $T$  be a theory.*

*If  $T \models_2 (A \equiv B)$ , then  $T \models_2 (C_A \equiv C_B)$ .*

**Theorem 3** *Let  $T, T'$  be theories and  $A, B$  formulas.*

- (i)  $T \models_2 A$  iff  $T \cup \{\neg A\}$  is unsatisfiable.
- (ii)  $T, A \models_2 B$  iff  $T \models_2 (A \supset B)$ . (“Deduction Theorem.”)
- (iii) If  $T \subseteq T'$ , then  $\{A \mid T \models_2 A\} \subseteq \{A \mid T' \models_2 A\}$ . (“Monotonicity of Valid Consequence.”)

**2.1.3 A Hilbert-Type Proof System**

*“Deductive reasoning, that’s the name of the game.”*

Lex Luthor (Superman: The Movie, 1978)

Having so far introduced the syntax and semantics of classical propositional logic, we now continue with discussing the proof theory of it. Many different proof methods exist in the literature, among them Hilbert-type systems, resolution methods, tableau systems,

natural deduction, and sequent-style methods. Here, we present a Hilbert calculus for **PL** following Łukasiewicz [35]. In Chapter 4, we will discuss Gentzen-type systems for **PL** and Łukasiewicz's three-valued logic as part of our calculi for the default logics considered in this thesis.

Preparatory for introducing our Hilbert-type proof system, let us first recapitulate some basic facts about axiom systems in general, following Smullyan [68].

**Definition 7** An *axiom system*,  $\mathcal{A}$ , is a triple  $\langle D, A, R \rangle$ , where

- (i)  $D$  is a set whose elements are called *formal objects*,
- (ii)  $A$  is a subset of  $D$  whose elements are called *axioms*, and
- (iii)  $R$  is a set of relations on  $D$  called *inference rules*.

If  $I \in R$  is an inference rule and if  $\langle X_1, \dots, X_n, Y \rangle \in I$  holds, then  $\langle X_1, \dots, X_n, Y \rangle$  is called an *application* of  $I$ , and that  $Y$  is a *direct consequence* of  $X_1, \dots, X_n$  under  $I$ , or that  $Y$  is *directly derivable* from  $X_1, \dots, X_n$  under  $I$ . Moreover, in any application  $\langle X_1, \dots, X_n, Y \rangle$  of  $I$ , the elements  $X_1, \dots, X_n$  are the *premisses* of the application, and  $Y$  is the *conclusion* of the application.

A (*formal*) *proof* in  $\mathcal{A}$  is a finite sequence  $X_1, \dots, X_n$  of formal objects in  $D$  such that each element in the sequence is either

- an axiom of  $\mathcal{A}$  or
- is directly derivable from one or more earlier elements of the sequence under one of the inference rules of  $\mathcal{A}$ .

A proof  $X_1, \dots, X_n$  is also called a proof *of*  $X_n$ . A formal object  $X$  is *provable* in  $\mathcal{A}$ , or is a (*formal*) *theorem* of  $\mathcal{A}$ , symbolically  $\vdash_{\mathcal{A}} X$ , iff there is a proof of  $X$  in  $\mathcal{A}$ .  $\square$

In a Hilbert-type axiom system, the formal objects are formulas of propositional logic while in a sequent-style system, the formal objects are somewhat more involved. In particular, forestalling our discussion in Chapter 4, a sequent for propositional logic in the style of Gentzen [23] is a pair  $\Gamma \rightarrow \Delta$ , where  $\Gamma$  and  $\Delta$  are finite sets of formulas of **PL**, having the intuitive meaning that whenever all formulas in  $\Gamma$  are true, then at least one formula in  $\Delta$  must be true as well.

Returning to axiom systems in general, following Kleene [27], we use the term “postulate” collectively for axioms and inference rules. It is customary to represent an infinite set of axioms by means of a so-called *axiom scheme* which specifies the set of all concrete formulas of a specific form. Inference rules, on the other hand, are usually displayed in the form of a figure in which a horizontal line is drawn, the premisses are written above the line and the conclusion below the line.



For instance, the rule of *modus ponens*, which states that  $B$  is a direct consequence of  $A$  and  $A \supset B$ , is displayed thus:

$$\frac{A \quad (A \supset B)}{B}.$$

We are now ready to introduce the Hilbert-type proof system which we employ for our purposes.

**Definition 8** The Hilbert-type axiom system  $H_2$  for  $\mathbf{PL}$  is given by the triple

$$\langle D_{\mathbf{PL}}, A_{\mathbf{PL}}, R_{\mathbf{PL}} \rangle,$$

where

- (i)  $D_{\mathbf{PL}}$  is the set of formulas of  $\mathbf{PL}$ ,
- (ii)  $A_{\mathbf{PL}}$  is given by the set of all axioms specified by the following axiom schemas:

- ( $A_0$ )  $\top$ ,
- ( $A_1$ )  $A \supset (B \supset A)$ ,
- ( $A_2$ )  $(A \supset (B \supset C)) \supset ((A \supset B) \supset (A \supset C))$ ,
- ( $A_3$ )  $(\neg A \supset \neg B) \supset ((\neg A \supset B) \supset B)$ ,

and

- (iii)  $R_{\mathbf{PL}}$  consists of modus ponens as the single inference rule. □

If a formula  $A$  is provable in  $H_2$ , we denote this by writing “ $\vdash_2 A$ ” instead of the more cumbersome notation  $\vdash_{H_2} A$ .

Let  $T$  be a theory. A *derivation from  $T$  (in  $H_2$ )* is a formal proof in the axiom system  $\langle D_{\mathbf{PL}}, A_{\mathbf{PL}} \cup T, R_{\mathbf{PL}} \rangle$ , i.e., elements of  $T$  may be used as additional axioms (called *premisses*) in a derivation from  $T$ . If  $A$  is the last element in a derivation from  $T$ , then  $A$  is *derivable from  $T$* , or is a *syntactic consequence from  $T$* , symbolically  $T \vdash_2 A$ . Clearly, as in the case of semantic consequence, it holds that

$$\vdash_2 A \quad \text{iff} \quad \emptyset \vdash_2 A,$$

i.e., a formula is provable in  $H_2$  iff it is derivable from the empty set in  $H_2$ .

If, for a theory  $T$  and a formula  $A$ ,  $T \vdash_2 A$  does not hold, then we indicate this by writing  $T \not\vdash_2 A$ , and similarly for a formula not provable in  $H_2$ . In Chapter 4, we will define an axiom system whose provable formal objects exactly correspond to formulas which are *not* provable in  $H_2$ . Such axiom systems are accordingly also referred to as

*complementary calculi* as they axiomatise the *complement* of the provable formulas of a logic.

The *deductive closure operator*,  $\text{Th}_2(\cdot)$ , of **PL** is given by

$$\text{Th}_2(T) := \{A \mid T \vdash_2 A\},$$

where  $T$  is a theory. A theory  $T$  is called *deductively closed* iff  $T = \text{Th}_2(T)$ .

The next result summarises the usual closure properties the operator  $\text{Th}_2(\cdot)$  satisfies.

**Theorem 4** *For any theory  $T$ , the following conditions hold:*

- (i)  $T \subseteq \text{Th}_2(T)$ ; (“Inflationaryness.”)
- (ii)  $\text{Th}_2(\text{Th}_2(T)) = \text{Th}_2(T)$ ; (“Idempotency.”)
- (iii)  $T \subseteq T'$  implies  $\text{Th}_2(T) \subseteq \text{Th}_2(T')$ . (“Monotonicity.”)

The adequacy of our Hilbert-type axiom system is reflected by the following well-known result:

**Theorem 5** *For any theory  $T$  and any formula  $A$ , the following conditions hold:*

- (i) If  $T \vdash_2 A$ , then  $T \models_2 A$ . (“Soundness Theorem.”)
- (ii) If  $T \models_2 A$ , then  $T \vdash_2 A$ . (“Completeness Theorem.”)

We say that a theory  $T$  is *consistent* if there is a formula  $A$  such that  $T \not\vdash_2 A$ , otherwise  $T$  is *inconsistent*. Moreover, a formula  $A$  is *consistent with  $T$*  iff  $T \not\vdash_2 \neg A$ . It holds that consistency is the syntactic counterpart of satisfiability, i.e., we have that  $T$  is consistent iff  $T$  is satisfiable. Moreover, the soundness and completeness of our axiom system for **PL** also yields syntactic counterparts of the properties of Theorems 2 and 3:

**Theorem 6** *Under the circumstances of Theorems 2 and 3, the following conditions hold:*

- (i) If  $T \vdash_2 (A \equiv B)$ , then  $T \vdash_2 (C_A \equiv C_B)$ . (“Replacement Theorem.”)
- (ii)  $T \vdash_2 A$  iff  $T \cup \{\neg A\}$  is inconsistent.
- (iii)  $T, A \vdash_2 B$  iff  $T \vdash_2 (A \supset B)$ . (“Deduction Theorem.”)

## 2.2 Łukasiewicz’s Three-Valued Logic

We now turn to present the basic elements of the three-valued logic of Łukasiewicz [31] for the propositional case, henceforth denoted by  $\mathbf{L}_3$ .

The idea of dealing with a logic transcending a two-valued setting can be traced back already to Aristotle. In *De Interpretatione* (“On Interpretation”), he suggests to assign future contingent propositions like “there will be a sea battle tomorrow”—which cannot be evaluated by truth and falsity alone—a third logical status. Future contingencies were further elaborated in medieval times, e.g., by Duns Scotus (ca. 1266–1308), William of Ockham (ca. 1287–1347), and Peter de Rivo (ca. 1420–1499), which mostly followed the discussion by Thomas of Aquino (1225–1274). More recent discussions about three-valued logical constructions arose at the end of the 19th century, put forward, e.g., by Hugh MacColl, Charles Sanders Peirce, and Nicolai Alexandrovich Vasiliev.

The first appearance of many-valuedness in modern logic can be ascribed to the farewell lecture of Jan Łukasiewicz given in the Assembly Hall of the University of Warsaw University on March 7, 1918. This was then followed by his paper *O logice trójwartościowej* (“On Three-valued logic”) [29] in 1920. Almost simultaneously, Emil Post [49] proposed finite-valued propositional systems too while subsequent three-valued systems were introduced by Kleene [26, 27] and Bochvar [9].<sup>2</sup>

In what follows, we provide the necessary basics of Łukasiewicz’s logic  $\mathbf{L}_3$ , following the discussion given by Radzikowska [50].

### 2.2.1 Syntax

The alphabet,  $\mathcal{L}_{\mathbf{L}_3}$ , of  $\mathbf{L}_3$  consists of the alphabet  $\mathcal{L}_{\mathbf{PL}}$  of  $\mathbf{PL}$  along with the additional truth constant  $\sqcup$  (“undetermined”). Again, we assume  $\mathcal{P}$  as a countable set of propositional constants.

The class of formulas of  $\mathbf{L}_3$  is built similarly to the formulas of  $\mathbf{PL}$ , except that  $\sqcup$  is counted as an additional atomic formula.

A difference to the syntax of  $\mathbf{PL}$  concerns the defined connectives: while conjunction,  $\wedge$ , and material equivalence,  $\equiv$ , are defined as in propositional logic, disjunction in  $\mathbf{L}_3$  is defined differently:

$$(A \bar{\vee} B) := ((A \supset B) \supset B).$$

Furthermore, there are also additional unary defined operators, viz.

- the connective “ $\sim$ ” (“weak negation”), given by

$$\sim A := (A \supset \neg A),$$

<sup>2</sup>For a thorough discussion of the different many-valued approaches and their development, we refer to the well-known textbook by Malinowski [36] and his overview article in the *Handbook of the History of Logic* [37].

and

- the unary operators “L” (“certainty operator”) and “M” (“possibility operator”), defined by

$$\begin{aligned} LA &:= \neg(A \supset \neg A) \text{ and} \\ MA &:= (\neg A \supset A). \end{aligned}$$

which, according to Łukasiewicz [31], were first formalised in 1921 by Tarski, and

- the operator “I”, given by

$$IA := (MA \wedge \neg LA).$$

Intuitively,  $LA$  expresses that  $A$  is certain, whilst  $MA$  means that  $A$  is possible. These operators will be used subsequently to distinguish between certain knowledge and defeasible conclusions. Furthermore,  $IA$  expresses that  $A$  is *contingent* or *modally indifferent*.

The notions of scope, subformula, and degree are defined similarly as in **PL**.

### 2.2.2 Semantics

A (*three-valued*) *interpretation* is a mapping  $m$  assigning to each propositional constant from  $\mathcal{P}$  an element from  $\{\mathbf{t}, \mathbf{f}, \mathbf{u}\}$ . Here, besides the truth values  $\mathbf{t}$  and  $\mathbf{f}$ , the symbol  $\mathbf{u}$  represents a truth value standing for “undetermined” or “indeterminacy”. Again, an atom  $P$  is considered true under an interpretation  $I$  if  $m(P) = \mathbf{t}$ , false under  $m$  if  $m(P) = \mathbf{f}$ , and has undetermined truth value if  $m(P) = \mathbf{u}$ . As well,  $m(P)$  is the *truth value of  $P$  under  $m$* . In what follows, we presume a total order  $\leq$  over the truth values such that  $\mathbf{f} \leq \mathbf{u} \leq \mathbf{t}$  holds.

The notion of a truth value is now extended to arbitrary formulas as follows:

**Definition 9** Let  $A$  be a formula of  $\mathfrak{L}_3$  and  $m$  a three-valued interpretation. Then, the *truth value of  $A$  under  $m$* , denoted by  $V^m(A)$ , is determined as follows:

- if  $A = \top$ , then  $V^m(A) = \mathbf{t}$ ;
- if  $A = \perp$ , then  $V^m(A) = \mathbf{u}$ ;
- if  $A = \perp$ , then  $V^m(A) = \mathbf{f}$ ;
- if  $A$  is an atomic formula, then  $V^m(A) = m(A)$ ;
- if  $A = \neg B$ , for some formula  $B$ , then

$$V^m(A) = \begin{cases} \mathbf{t}, & \text{if } V^m(B) = \mathbf{f}, \\ \mathbf{u}, & \text{if } V^m(B) = \mathbf{u}, \\ \mathbf{f}, & \text{if } V^m(B) = \mathbf{t}; \end{cases}$$

(f) if  $A = (B \supset C)$ , for some formulas  $B$  and  $C$ , then

$$V^m(A) = \begin{cases} \mathbf{t}, & \text{if either } V^m(B) = \mathbf{f}, V^m(C) = \mathbf{t}, \text{ or } V^m(B) = V^m(C) = \mathbf{u}, \\ \mathbf{f}, & \text{if } V^m(B) = \mathbf{t} \text{ and } V^m(C) = \mathbf{f}, \\ \mathbf{u}, & \text{otherwise.} \end{cases}$$

□

From conditions (a)–(f), corresponding conditions for the remaining operators readily follow:

(g) if  $A = (B \bar{\vee} C)$ , then  $V^m(A) = \max(V^m(B), V^m(C))$ ;

(h) if  $A = (B \wedge C)$ , then  $V^m(A) = \min(V^m(B), V^m(C))$ ;

(i) if  $A = (B \equiv C)$ , then  $V^m(A) = \min(V^m(B \supset C), V^m(C \supset B))$ ;

(j) if  $A = \sim B$ , then

$$V^m(A) = \begin{cases} \mathbf{t}, & \text{if } V^m(B) \in \{\mathbf{u}, \mathbf{f}\}, \\ \mathbf{f}, & \text{otherwise;} \end{cases}$$

(k) if  $A = LB$ , then

$$V^m(A) = \begin{cases} \mathbf{t}, & \text{if } V^m(B) = \mathbf{t}, \\ \mathbf{f}, & \text{otherwise;} \end{cases}$$

(l) if  $A = MB$ , then

$$V^m(A) = \begin{cases} \mathbf{t}, & \text{if } V^m(B) \in \{\mathbf{t}, \mathbf{u}\}, \\ \mathbf{f}, & \text{otherwise;} \end{cases}$$

and

(m) if  $A = IB$ , then

$$V^m(A) = \begin{cases} \mathbf{t}, & \text{if } V^m(B) = \mathbf{u}, \\ \mathbf{f}, & \text{otherwise.} \end{cases}$$

In Figure 2.2, above truth conditions are compactly represented in a usual truth-table form.

If  $V^m(A) = \mathbf{t}$ , then  $A$  is *true under  $m$* , if  $V^m(A) = \mathbf{u}$ , then  $A$  is *undetermined under  $m$* , and if  $V^m(A) = \mathbf{f}$ , then  $A$  is *false under  $m$* . If  $A$  is true under  $m$ , then  $m$  is a *model* of  $A$ , otherwise  $m$  is a *countermodel* of  $A$ . The notions of a formula being *satisfiable*, *falsifiable*, *refutable*, and *unsatisfiable*, is defined analogously in  $\mathbf{L}_3$  as for  $\mathbf{PL}$ . Furthermore,  $A$  is *valid (in  $\mathbf{L}_3$ )*, symbolically  $\models_3 A$ , if it is true in every three-valued interpretation, and *refutable (in  $\mathbf{L}_3$ )*, symbolically  $\not\models_3 A$ , otherwise.

$\neg$		$\supset$	<b>t</b>	<b>u</b>	<b>f</b>	$\bar{\vee}$	<b>t</b>	<b>u</b>	<b>f</b>	$\wedge$	<b>t</b>	<b>u</b>	<b>f</b>
<b>t</b>	<b>f</b>	<b>t</b>	<b>t</b>	<b>u</b>	<b>f</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>u</b>	<b>f</b>
<b>u</b>	<b>u</b>	<b>u</b>	<b>t</b>	<b>t</b>	<b>u</b>	<b>u</b>	<b>t</b>	<b>u</b>	<b>u</b>	<b>u</b>	<b>u</b>	<b>u</b>	<b>f</b>
<b>f</b>	<b>t</b>	<b>f</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>f</b>	<b>t</b>	<b>u</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>f</b>
$\equiv$	<b>t</b>	<b>u</b>	<b>f</b>	$\sim$		<b>L</b>		<b>M</b>		<b>I</b>			
<b>t</b>	<b>t</b>	<b>u</b>	<b>f</b>	<b>t</b>	<b>f</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>t</b>	<b>f</b>		
<b>u</b>	<b>u</b>	<b>t</b>	<b>u</b>	<b>u</b>	<b>t</b>	<b>u</b>	<b>f</b>	<b>u</b>	<b>t</b>	<b>u</b>	<b>t</b>		
<b>f</b>	<b>f</b>	<b>u</b>	<b>t</b>	<b>f</b>	<b>t</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>f</b>	<b>f</b>		

Figure 2.2: Truth tables for the connectives of  $\mathbf{L}_3$ .

Clearly, the classically valid principle of *tertium non datur*, i.e., the law of excluded middle,  $A \vee \neg A$ , as well as the corresponding law of noncontradiction,  $\neg(A \wedge \neg A)$ , are not valid in  $\mathbf{L}_3$ . However, their three-valued pendants, viz., the principle of *quartum non datur*, i.e., the law of excluded fourth,

$$A \vee IA \vee \neg A,$$

and the corresponding extended non-contradiction principle,

$$\neg(A \wedge \neg IA \wedge \neg A),$$

are valid in  $\mathbf{L}_3$ .

In classical logic, two formulas are logically equivalent iff they have the same models, where logical equivalence between formulas  $A$  and  $B$  is defined by the condition that  $\models_2 (A \equiv B)$  holds. However, such a relation between logical equivalence and equality of models does not hold in general in the three-valued logic case. Indeed, following Radzikowska [50], let us define that two formulas  $A$  and  $B$  are *strongly equivalent*, symbolically  $A \Leftrightarrow_s B$ , iff  $\models_3 (A \equiv B)$ . That is,  $A$  and  $B$  are strongly equivalent iff, for any three-valued interpretation  $m$ ,  $V^m(A) = V^m(B)$ . Furthermore, let us call  $A$  and  $B$  *equivalent (in  $\mathbf{L}_3$ )*, symbolically  $A \Leftrightarrow B$ , iff  $A$  and  $B$  have the same models. Clearly, strong equivalence implies equivalence, but in general not vice versa. For instance,  $P$  and  $LP$ , for an atom  $P$ , are equivalent but not strongly equivalent. As well, strong equivalence is an equivalence relation (i.e., reflexive, symmetric, and transitive) and enjoys a substitution principle, similar to the one of classical logic, i.e., if a formula  $C_A$  contains a subformula  $A$ , and  $C_B$  is the result of substituting at least one occurrence of  $A$  in  $C_A$  by a formula  $B$ , then  $A \Leftrightarrow_s B$  implies  $C_A \Leftrightarrow_s C_B$ .

Let us also note some strong equivalences which hold in  $\mathbf{L}_3$ :

(a)  $(A \supset B) \Leftrightarrow_s (M\neg A \bar{\vee} B) \wedge (MB \bar{\vee} \neg A)$ ;

- (b)  $O(A \circ B) \Leftrightarrow_s (OA \circ OB)$ , for  $O \in \{L, M\}$  and  $\circ \in \{\wedge, \vee\}$ ;
- (c)  $OO'A \Leftrightarrow_s O'A$ , for  $O, O' \in \{L, M\}$ ;
- (d)  $\sim A \Leftrightarrow_s M\neg A$ ;
- (e)  $\neg LA \Leftrightarrow_s M\neg A$ ;
- (f)  $\neg MA \Leftrightarrow_s L\neg A$ ;
- (g)  $((A \wedge B) \bar{\vee} C) \Leftrightarrow_s (A \bar{\vee} C) \wedge (B \bar{\vee} C)$ ;
- (h)  $((A \bar{\vee} B) \wedge C) \Leftrightarrow_s (A \wedge C) \bar{\vee} (B \wedge C)$ ;

The notion of a *theory* in  $\mathbf{L}_3$  is defined as in  $\mathbf{PL}$ , i.e., a theory is a set of formulas. Likewise, the notion of a *model* of a theory, of a theory being *satisfiable* or *unsatisfiable*, of two theories being *equivalent* are defined analogously as in  $\mathbf{PL}$ . As before, we write  $T \Leftrightarrow T'$  to denote that  $T$  and  $T'$  are equivalent.

A theory  $T$  is said to *entail* a formula  $A$  (in  $\mathbf{L}_3$ ), or  $A$  is a *valid consequence* of  $T$  (in  $\mathbf{L}_3$ ), symbolically  $T \models_3 A$ , iff every model of  $T$  is also a model of  $A$ .

The following properties hold for entailment in  $\mathbf{L}_3$ :

**Theorem 7** *Let  $T, T'$  be theories and  $A, B$  formulas.*

- (i)  $T \models_3 A$  iff  $T \cup \{M\neg A\}$  is *unsatisfiable*.
- (ii)  $T, A \models_3 B$  iff  $T \models_3 (LA \supset B)$ . (“Deduction Theorem.”)
- (iii) If  $T \subseteq T'$ , then  $\{A \mid T \models_3 A\} \subseteq \{A \mid T' \models_3 A\}$ . (“Monotonicity.”)
- (iv) If  $T \Leftrightarrow T'$ , then  $\{A \mid T \models_3 A\} = \{A \mid T' \models_3 A\}$ .

### 2.2.3 Hilbert-Type Axiomatisation of $\mathbf{L}_3$

We now give a Hilbert-style axiomatisation of  $\mathbf{L}_3$ . The system we present is an adaption of the one given by Wajsberg [71], who was the first to discuss a sound and complete proof system for  $\mathbf{L}_3$ . Actually, our system differs from the original one from Wajsberg in that we use axiom schemas instead of finitely many concrete axioms together with a substitution rule. Also, we have the truth constant  $\top$  as an additional axiom, which is not present in Wajsberg's language.

**Definition 10** The Hilbert-type axiom system  $H_3$  for  $\mathbf{L}_3$  is given by the triple

$$\langle D_{\mathbf{L}_3}, A_{\mathbf{L}_3}, R_{\mathbf{L}_3} \rangle,$$

where

- (i)  $D_{\mathbf{L}_3}$  is the set of formulas of  $\mathbf{L}_3$ ,
- (ii)  $A_{\mathbf{L}_3}$  is given by the set of all axioms specified by the following axiom schemas:

$$\begin{aligned}
 (A_0) & \top, \\
 (A_1) & A \supset (B \supset A), \\
 (A_2) & (A \supset B) \supset ((B \supset C) \supset (A \supset C)), \\
 (A_3) & (\neg A \supset \neg B) \supset (B \supset A), \\
 (A_4) & ((A \supset \neg A) \supset A) \supset A,
 \end{aligned}$$

and

- (iii)  $R_{\mathbf{L}_3}$  consists of modus ponens as the single inference rule:

$$\frac{A \quad (A \supset B)}{B}.$$

□

We write  $\vdash_3 A$  to indicate that  $A$  is provable in  $\mathbf{H}_3$ . As before, we call, for a theory  $T$ , a formal proof in the axiom system  $\langle D_{\mathbf{L}_3}, A_{\mathbf{L}_3} \cup T, R_{\mathbf{L}_3} \rangle$ , a *derivation from  $T$  (in  $\mathbf{H}_3$ )*, and we say that a formula  $A$  is *derivable from  $T$* , or that  $A$  is a *syntactic consequence from  $T$* , symbolically  $T \vdash_3 A$ , if  $A$  is the last element in a derivation from  $T$ . Clearly, we again have

$$\vdash_3 A \quad \text{iff} \quad \emptyset \vdash_3 A,$$

i.e., a formula is provable in  $\mathbf{H}_3$  iff it is derivable from the empty set in  $\mathbf{H}_3$ .

Also, we write “ $T \not\vdash_3 A$ ” if  $T \vdash_3 A$  does not hold (and similarly “ $\not\vdash_3 A$ ” expresses that  $\vdash_3 A$  does not hold).

We define the *deductive closure operator*,  $\text{Th}_3(\cdot)$ , of  $\mathbf{L}_3$  by

$$\text{Th}_3(T) := \{A \mid T \vdash_3 A\},$$

where  $T$  is a theory. Again, a theory  $T$  is *deductively closed* iff  $T = \text{Th}_3(T)$ .

The operator  $\text{Th}_3(\cdot)$  satisfies also the usual properties of inflationaryness, idempotency, and monotonicity as its classical counterpart:

**Theorem 8** *For any theory  $T$ , the following conditions hold:*

- (i)  $T \subseteq \text{Th}_3(T)$ ;
- (ii)  $\text{Th}_3(\text{Th}_3(T)) = \text{Th}_3(T)$ ; and



(iii)  $T \subseteq T'$  implies  $\text{Th}_3(T) \subseteq \text{Th}_3(T')$ .

The adequacy of our Hilbert-type axiom system  $\mathbf{H}_3$  follows readily from the soundness and completeness of Wajsberg's [71] original version:

**Theorem 9** *For any theory  $T$  and any formula  $A$ , the following conditions hold:*

- (i) *If  $T \vdash_3 A$ , then  $T \models_3 A$ . ("Soundness Theorem.")*
- (ii) *If  $T \models_3 A$ , then  $T \vdash_3 A$ . ("Completeness Theorem.")*

A theory  $T$  is *consistent* (in  $\mathbf{L}_3$ ) iff there is a formula  $A$  such that  $T \not\vdash_3 A$ . We again have that consistency is the syntactic counterpart of satisfiability, i.e., a theory  $T$  is consistent in  $\mathbf{L}_3$  iff it is satisfiable in  $\mathbf{L}_3$ . Moreover, a formula  $A$  is *consistent with  $T$*  (in  $\mathbf{L}_3$ ) iff  $T \not\vdash_3 \neg A$ .

The pendant of Theorem 6 also holds for the case of  $\vdash_3$ :

**Theorem 10** *Under the circumstances of Theorems 2 and 3, the following conditions hold:*

- (i) *If  $T \vdash_3 (A \equiv B)$ , then  $T \vdash_3 (C_A \equiv C_B)$ . ("Replacement Theorem.")*
- (ii)  *$T \vdash_3 A$  iff  $T \cup \{M\neg A\}$  is inconsistent (in  $\mathbf{L}_3$ ).*
- (iii)  *$T, A \vdash_3 B$  iff  $T \vdash_3 (LA \supset B)$ . ("Deduction Theorem.")*

Note that the consistency of a formula  $A$  with a theory  $T$  implies the consistency of the theory  $T \cup \{MA\}$ , but not necessarily of the theory  $T \cup \{A\}$ . For instance,  $\neg P$  is consistent with  $\{MP\}$ , so  $\{MP, M\neg P\}$  is consistent, but  $\{MP, \neg P\}$  is not.



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## Variants of Default Logic

*“Music is the one incorporeal entrance into the higher world of knowledge—which comprehends mankind. But which mankind cannot comprehend.”*

Ludwig van Beethoven

The logics we introduced so far are *monotonic*, i.e., their associated inference relation satisfy the monotonicity condition, stating that once a proposition is derived from a set of premisses, it cannot be invalidated by additional information. However, in human commonsense reasoning, we usually draw conclusions from *incomplete information*, and such conclusions may be invalidated by new, more specific information. This type of reasoning is therefore inherently *nonmonotonic*, and formalisms for nonmonotonic reasoning play an important role in logic-based artificial intelligence. The term of referring to a logical system as being “nonmonotonic” was first introduced by Marvin Minsky in 1975 [41].

One of the central formalisms for nonmonotonic reasoning is *default logic*, introduced by Raymond Reiter in 1980 [51]. Its key feature is that nonmonotonic inferences are sanctioned by so-called *default rules*, which generalise ordinary inference rules by having an additional consistency condition. Such rules model the commonsense reasoning patterns of concluding a certain statement  $A$  on the basis that there is no evidence to the contrary, i.e., that there is no information that  $\neg A$  holds (or, in other words, that  $A$  can be *consistently assumed*). Other important nonmonotonic reasoning formalisms which have been proposed in the artificial intelligence literature are, e.g., autoepistemic logic [42], circumscription [39], logic programming under the answer-set semantics [21], and equilibrium logic [44].

Besides Reiter’s default logic, different variants of it have been defined in order to address certain shortcomings of the original approach. In what follows, we introduce two such

approaches, viz. three-valued default logic due to Radzikowska [50] and disjunctive default logic due to Gelfond, Lifschitz, Przymusinska, and Truszczyński [22]. Other variants include, e.g., *justified default logic* [34], *constrained default logic* [57, 18], *rational default logic* [40], and *general default logic* [75] (an overview about different versions of default logic is given by Antoniou and Wang [1]).

We start our discussion on default-logic formalisms with the original approach by Reiter [51]. Note that we deal here with propositional versions of the formalisms as our subsequent calculi are defined for the propositional case only, similar to the undertaking of Bonatti [11, 12] and Bonatti and Olivetti [14].

### 3.1 Reiter's Default Logic

We introduce Reiter's default logic, henceforth denoted by **DL**, by first discussing the basic syntactic elements and afterwards some relevant characterisations.

#### 3.1.1 Default Theories and their Extensions

**Definition 11** Let  $A, B_1, \dots, B_n, C$  be formulas from **PL**. A *default*,  $d$ , is an expression of the form

$$\frac{A : B_1, \dots, B_n}{C}. \quad (3.1)$$

$A$  is the *prerequisite*,  $B_1, \dots, B_n$  are the *justifications*, and  $C$  is the *consequent* of the default  $d$ .  $\square$

Informally, the default  $d$  has the following meaning: If  $A$  is believed and  $B_1, \dots, B_n$  are *consistent to what is believed* (i.e., there is no evidence that  $\neg B_1, \dots, \neg B_n$  hold), then  $C$  is to be believed.

We use the following notation for defaults: for a default  $d$  of form (3.1), let

- $\mathfrak{p}(d)$  denote the prerequisite of  $d$ , i.e.,  $\mathfrak{p}(d) = A$ ,
- $\mathfrak{j}(d)$  denote the set consisting of the justifications of  $d$ , i.e.,  $\mathfrak{j}(d) = \{B_1, \dots, B_n\}$ ,  
and
- $\mathfrak{c}(d)$  denote the consequent of  $d$ , i.e.,  $\mathfrak{c}(d) = C$ .

For a set  $S$  of formulas, we also will write  $\neg S$  to denote the set  $\{\neg A \mid A \in S\}$ . Hence, if  $\mathfrak{j}(d) = \{B_1, \dots, B_n\}$ , then  $\neg\mathfrak{j}(d) = \{\neg B_1, \dots, \neg B_n\}$ .

If  $\mathfrak{p}(d) = \top$ , the default is said to be *prerequisite-free*; if  $\mathfrak{j}(d) = \emptyset$ , the default is *justification-free*; and if  $\mathfrak{j}(d) = \{\mathfrak{c}(d)\}$ , then the default is *normal*. We also will write prerequisite-free defaults in the form

$$\frac{: B_1, \dots, B_n}{C}$$

and justification-free defaults as

$$\frac{A:}{C}$$

Sometimes we also will write defaults in the form  $(A : B_1, \dots, B_n/C)$ .

It will also be convenient to use the notation  $\text{CONS}(D)$ , where  $D$  is a set of defaults, to denote the set of consequents of the defaults in  $D$ , i.e.,

$$\text{CONS}(D) = \{c(d) \mid d \in D\}.$$

**Definition 12** A *default theory* is an ordered pair  $T = \langle W, D \rangle$ , where  $W$  is a set of closed formulas from  $\mathbf{PL}$ , called the *premises* of  $T$ , and  $D$  is a set of defaults. We say that  $T$  is *finite* if both  $W$  and  $D$  are finite. Furthermore,  $T$  is *normal* if all defaults in  $D$  are normal.  $\square$

Intuitively, for a default theory  $T = \langle W, D \rangle$ ,  $W$  represents certain (yet in general incomplete) knowledge about the world whilst  $D$  represents *defeasible* knowledge. Now, given  $T$  as the initial knowledge of an agent's beliefs, the next definition specifies what totality of beliefs is determined on the basis of the default theory  $T$ .

**Definition 13** Let  $T = \langle W, D \rangle$  be a default theory.

- (i) The operator  $\Gamma_T(S)$ , assigning a set  $S$  of formulas to a set of formulas, is given as the smallest set  $K$  of formulas satisfying the following conditions:
  - (a)  $K = \text{Th}_2(K)$ ,
  - (b)  $W \subseteq K$ , and
  - (c) if  $(A : B_1, \dots, B_n/C) \in D$ ,  $A \in K$  and  $\{\neg B_1, \dots, \neg B_n\} \cap S = \emptyset$ , then  $C \in K$ .
- (ii) A set  $E$  of formulas is an *extension* of  $T$  if  $\Gamma_T(E) = E$ , i.e., if  $E$  is a fixed point of the operator  $\Gamma_T$ .  $\square$

A default theory may have none, one, or several extensions. A default theory of the form  $\langle W, \emptyset \rangle$  has exactly one extension, viz.  $\text{Th}_2(W)$ . In general, an extension of a default theory  $T = \langle W, D \rangle$  is always of the form  $\text{Th}_2(W \cup C)$ , for some set  $C$  of formulas such that  $C \subseteq \text{CONS}(D)$  (cf. Theorem 13 below).

Let  $A$  be a formula and  $T$  a default theory. Then,  $A$  is said to be a *brave consequence* of  $T$  if there is an extension  $E$  of  $T$  such that  $A \in E$ . Similarly,  $A$  is a *skeptical consequence* of  $T$  if  $A \in E$  for all extensions  $E$  of  $T$ .

Bonatti [11, 12] axiomatised brave reasoning for Reiter's default logic **DL** in terms of a sequent-type calculus and later Bonatti and Olivetti [14] gave an alternative axiomatisation of brave reasoning and also of skeptical reasoning. We will adapt the method of Bonatti [11, 12] to provide sequent-style axiomatisations of brave reasoning for the variants of default logic introduced below in Sections 3.2 and 3.3.

### 3.1.2 Alternative Characterisations of Extensions

We now provide some alternative ways to characterise extensions. The first one is given by Reiter himself [51] and uses a semi-inductive way to formulate a necessary and sufficient condition for a set to be an extension. This characterisation is somewhat more explicit than Definition 13 which specifies extensions non-constructively.

**Theorem 11** *Let  $T = \langle W, D \rangle$  be a default theory and  $E$  a set of formulas. Define a sequence of sets of formulas as follows:*

$$E_0 := W; \text{ and}$$

$$E_{i+1} := \text{Th}_2(E_i) \cup \{c(d) \mid d \in D, E_i \vdash_2 p(d), \neg j(d) \cap E = \emptyset\}, \text{ for } i \geq 0.$$

*Then,  $E$  is an extension of  $T$  iff  $E = \bigcup_{i \geq 0} E_i$ .*

The second, and arguably more intuitive, alternative characterisation of extensions is due to Marek and Truszczyński [38] and relies on a proof-theoretical description of the fixed-point operator  $\Gamma_T$ . This kind of characterisation will actually be central for our later purposes and we will therefore provide similar characterisations for three-valued default logic and disjunctive default logic as well.

To formulate the method of Marek and Truszczyński, let us introduce some notation which is useful in this regard. In fact, the concept introduced next is relevant for identifying those defaults which contribute to the actual construction of an extension.

**Definition 14** *Let  $E$  be a set of formulas. A default  $d = (A : B_1, \dots, B_n / C)$  is *active* in  $E$  iff  $E \vdash_2 A$  and  $\{\neg B_1, \dots, \neg B_n\} \cap E = \emptyset$ .  $\square$*

Next, we introduce a set of inference rules which are obtained from defaults satisfying the consistency condition relative to a given set of formulas.

**Definition 15** *Let  $D$  be a set of defaults and  $E$  a set of formulas. Then, the *reduct* of  $D$  with respect to  $E$ , denoted by  $D_E$ , is the set consisting of the following inference rules:*

$$D_E := \left\{ \frac{A}{C} \mid \frac{A : B_1, \dots, B_n}{C} \in D \text{ and } \{\neg B_1, \dots, \neg B_n\} \cap E = \emptyset \right\}.$$

An inference rule  $A/C$  is called *residue* of a default  $(A : B_1, \dots, B_n / C)$ .  $\square$

**Definition 16** Let  $R$  be a set of inference rules. By the proof system  $H_2^R$  we understand the Hilbert-type system  $H_2$  augmented with the inference rules from  $R$ , i.e.,

$$H_2^R = \langle D_{\mathbf{PL}}, A_{\mathbf{PL}}, R_{\mathbf{PL}} \cup R \rangle.$$

□

We denote the provability relation in  $H_2^R$  by  $\vdash_2^R$ . The corresponding set of formulas which are deducible in  $H_2^R$  given a set  $W$  of formulas is denoted by  $\text{Th}_2^R(W)$ . Clearly,  $\text{Th}_2^\emptyset(W) = \text{Th}_2(W)$ .

The following result is shown by Marek and Truszczyński [38]:

**Theorem 12** Let  $T = \langle W, D \rangle$  be a default theory,  $E$  a set of formulas, and  $D_E$  the reduct of  $D$  with respect to  $E$ .

Then,

$$\Gamma_T(E) = \text{Th}_2^{D_E}(W).$$

**Corollary 1** Let  $T = \langle W, D \rangle$  be a default theory. Then, a set  $E$  of formulas is an extension of  $T$  iff  $\text{Th}_2^{D_E}(W) = E$ .

The next theorem states a necessary condition of extensions and is therefore useful in identifying candidates for being extensions of a given default theory. To formulate the result let us introduce the following notation first:

**Definition 17** Let  $T = \langle W, D \rangle$  be a default theory and  $E$  an extension of  $T$ . Then, the set of *generating defaults for  $E$  with respect to  $T$*  is given by the set

$$\text{GD}(E, T) := \{d \in D \mid d \text{ is active in } E\}.$$

□

**Theorem 13** If  $E$  is an extension of a default theory  $T = \langle W, D \rangle$ , then,

$$E = \text{Th}_2(W \cup \text{CONS}(\text{GD}(E, T))).$$

A proof of this result can be found in the paper of Reiter [51] or the textbook of Łukasiewicz [35].

As a consequence of Theorem 13, the candidates for being extensions of a default theory  $T = \langle W, D \rangle$  are given by the collection of all sets of the form  $\text{Th}_2(W \cup C)$ , where  $C \subseteq \text{CONS}(D)$ .

**Example 1** Consider the default theory  $T = \langle W, D \rangle$ , where

$$W = \emptyset \quad \text{and} \quad D = \left\{ \begin{array}{l} : P \\ \hline \neg P \end{array} \right\},$$

for an atomic formula  $P$ .

There are two possible candidates for being extensions of  $T$ , viz.

$$E_1 := \text{Th}_2(\emptyset) \quad \text{and} \quad E_2 := \text{Th}_2(\{\neg P\}).$$

Now, the reducts for  $E_1$  and  $E_2$  are

$$D_{E_1} = \left\{ \frac{\top}{\neg P} \right\} \quad \text{and} \quad D_{E_2} = \emptyset.$$

We obtain:

$$\Gamma_T(E_1) = \text{Th}_2^{D_{E_1}}(W) = \text{Th}_2(\{\neg P\}) = E_2;$$

$$\Gamma_T(E_2) = \text{Th}_2^{D_{E_2}}(W) = \text{Th}_2(\emptyset) = E_1.$$

Since neither  $E_1$  nor  $E_2$  is a fixed point of  $\Gamma_T$ , from Theorem 13 it follows that  $T$  has no extension.  $\square$

**Example 2** Let  $T = \langle W, D \rangle$  be the default theory consisting of

$$W := \{Q, R\} \quad \text{and} \quad D := \left\{ \frac{Q : P}{P}, \frac{R : \neg P}{\neg P} \right\}.$$

Intuitively, this default theory represents the commonsense beliefs that

- Quakers are normally pacifists,
- Republicans are normally *not* pacifists, and
- Nixon is both a Quaker and a Republican.

This example is commonly known in the literature as the “Nixon diamond” [52, 53, 54]: if we know that Nixon is both a Quaker and a Republican, should we believe about him whether he is a pacifist or not?

In default logic, we get two extensions; one, where Nixon is a pacifist, and another, where he is not—representing two “stable beliefs” on the basis of  $T$ .

Formally, there are four candidates for being an extension of  $T$ :

$$\begin{aligned} E_1 &:= \text{Th}_2(\{Q, R\}); & E_3 &:= \text{Th}_2(\{Q, R, \neg P\}); \\ E_2 &:= \text{Th}_2(\{Q, R, P\}); & E_4 &:= \text{Th}_2(\{Q, R, P, \neg P\}). \end{aligned}$$

Indeed, only  $E_2$  and  $E_3$  are extensions of  $T$ , in view of the following relations:

$$\begin{aligned} \Gamma_T(E_1) &:= E_4; & \Gamma_T(E_3) &:= E_3; \\ \Gamma_T(E_2) &:= E_2; & \Gamma_T(E_4) &:= E_1. \end{aligned}$$

$\square$



## 3.2 Three-Valued Default Logic

Radzikowska's three-valued default logic [50], which in what follows we will denote by  $\mathbf{DL}_3$ , differs from Reiter's default logic  $\mathbf{DL}$  in two aspects: not only is in  $\mathbf{DL}_3$  the deductive machinery of classical logic replaced with  $\mathbf{L}_3$ , but there is also a modified consistency check for default rules employed, in which the consequent of a default is taken into account as well. The latter feature is somewhat reminiscent to the consistency checks as used in justified default logic [34] and constrained default logic [57, 18], where a default may only be applied if it does not lead to a contradiction *a posteriori*.

### 3.2.1 Three-Valued Default Theories and their Extensions

Analogously to Reiter's default logic, a default rule in  $\mathbf{DL}_3$  is an expression of the form

$$\frac{A : B_1, \dots, B_n}{C},$$

but where now  $A, B_1, \dots, B_n$ , and  $C$  are formulas from  $\mathbf{L}_3$ . The notions of a prerequisite, justification, and consequent of a default are defined as before. However, the intuitive meaning of a default differs now: a default of the above form expresses that

if  $A$  is believed and  $B_1, \dots, B_n$ , and  $LC$  are consistent with what is believed, then  $MC$  is asserted.

Note that under this reading, by applying a default of the above form, it is assumed that  $C$  cannot be false, but it is not assumed that  $C$  is true in all scenarios. It is only assumed that  $C$  must be true in at least one such scenario. This reflects the intuition that accepting a default conclusion, we are prepared to rule out all scenarios where it is false, but we can imagine at least one such scenario in which it is true. As a consequence, we cannot conclude both  $MC$  and  $M\neg C$  simultaneously.

In what follows, formulas of the form  $MC$  obtained by applying defaults will be referred to as *default assumptions*. We retain our convention of allowing to write defaults in the form  $(A : B_1, \dots, B_n/C)$ .

A *default theory* in  $\mathbf{DL}_3$ , or a (*three-valued*) *default theory*, is a pair  $T = \langle W, D \rangle$ , where  $W$  is a set of formulas (i.e., a theory) in  $\mathbf{L}_3$  and  $D$  is a set of defaults built from formulas in  $\mathbf{L}_3$ .

An extension of a default theory  $T = \langle W, D \rangle$  in  $\mathbf{DL}_3$  is now defined thus:

**Definition 18** Let  $T = \langle W, D \rangle$  be a three-valued default theory.

- (i) For a set  $S$  of formulas, let  $\Lambda_T(S)$  be the smallest set  $K$  of formulas obeying the following conditions:
  - (a)  $K = \text{Th}_3(K)$ ,

(b)  $W \subseteq K$ , and

(c) if  $(A : B_1, \dots, B_n / C) \in D$ ,  $A \in K$ ,  $\{\neg B_1, \dots, \neg B_n, \neg LC\} \cap S = \emptyset$ , then  $MC \in K$ .

(ii) A set  $E$  of formulas in  $\mathbf{L}_3$  is an *extension* of  $T$  iff  $\Lambda_T(E) = E$ . □

Note that the criterion of the applicability of a default in  $\mathbf{DL}_3$  makes the two defaults

$$d = \frac{A : B_1, \dots, B_n}{C} \quad \text{and} \quad d' = \frac{A : MB_1, \dots, MB_n}{C}$$

equivalent in the sense that the application of  $d$  implies the application of  $d'$  and vice versa. Thus, in a default theory  $T = \langle W, D \rangle$ , we can replace all  $d \in D$  with their corresponding version  $d'$  without changing extensions.

The two basic reasoning tasks we introduced in the previous section for Reiter's default logic, brave and skeptical reasoning, are defined accordingly for three-valued default logic as well.

### 3.2.2 Some Representational Aspects

Let us now discuss the differences and representational advantages of  $\mathbf{DL}_3$  compared to standard default logic  $\mathbf{DL}$ , following the discussion of Radzikowska [50].

First of all, consider the following commonsense statements:

“If  $A$ , then normally  $B$ .” (3.2)

“If normally  $A$ , then normally  $B$ .” (3.3)

In  $\mathbf{DL}_3$ , these statements can be represented as follows: Sentence (3.2) can be represented by the default rule

$$\frac{LA : B}{B}, \tag{3.4}$$

which is equivalent to

$$\frac{A : B}{B}, \tag{3.5}$$

while (3.3) would be expressed by

$$\frac{MA : B}{B}. \tag{3.6}$$

Default (3.4), as well as (3.5), can be applied if  $A$  is known to be true, whilst the application of (3.6) requires  $A$  to be a default assumption only. In the standard default logic  $\mathbf{DL}$ , both these rules have the same default representation, however, as classical two-valued logic  $\mathbf{PL}$  lacks the possibility to distinguish between certainty and possibility. Hence, in  $\mathbf{DL}$ , sometimes the derivation of undesired conclusions may be forced. This can be illustrated as follows:

**Example 3** ([19]) Consider the following two statements:

- (i) Normally, a person may be assumed honest.
- (ii) If a person is known to be honest, then normally he or she can be safely lent money.

Both in **DL** and **DL<sub>3</sub>**, these statements can be represented by the following defaults:

$$\frac{\textit{person} : \textit{honest}}{\textit{honest}} \quad \text{and} \quad \frac{\textit{honest} : \textit{lent\_money}}{\textit{lent\_money}}.$$

Given an arbitrary person, say Jason, in **DL** we infer that he can be safely lent money. However, arguably this conclusion is not acceptable. In **DL<sub>3</sub>**, on the other hand, this conclusion cannot be drawn: For Jason, the default assumption obtained by applying the first default is now too weak to make the second default applicable. Thus, we can now only conclude that the considered person may be honest—something we intuitively would expect.  $\square$

Using the representation (3.6), the transitivity of defaults may be permitted, as shown next.

**Example 4** Consider now the following information:

- (i) Teenagers usually go to school.
- (ii) Typically, schoolchildren are not employed.

Given a particular teenager, we wish to conclude that he or she is normally not employed. In **DL<sub>3</sub>** we can represent the above statements by

$$\frac{\textit{teenager} : \textit{schoolchild}}{\textit{schoolchild}} \quad \text{and} \quad \frac{M\textit{schoolchild} : \neg\textit{employed}}{\neg\textit{employed}}.$$

Clearly,  $M\neg\textit{employed}$  can be derived.  $\square$

In standard default logic, many default rules, which considered in isolation, are naturally expressed by normal defaults, but when they are used in a wider context, then they must be reformulated in order to avoid unintuitive results. The problem is that in such cases the application of some defaults needs to be blocked. The next example shows that in **DL<sub>3</sub>**, employing defaults of form (3.4) may be useful to block the transitivity of default rules in a straightforward way.

**Example 5** ([53]) Consider the following situation:

- Typically, high school dropouts are adults.

- Typically, adults are employed.

Since high-school dropouts are atypical adults with respect to employment, the transitive inference from being a dropout to concluding being adult and then further deriving being employed is unjustified. In standard default logic, a common way of suppressing unwanted transitive conclusions is to employ so-called *semi-normal defaults*, which are like normal defaults but having an additional exception condition. In our case, this would be represented in the following way:

$$\frac{\text{dropout} : \text{adult}}{\text{adult}} \quad \text{and} \quad \frac{\text{adult} : \text{employed} \wedge \neg \text{dropout}}{\text{employed}}.$$

However, this remedy is somewhat unsatisfactory as it requires every default with a possibly large number of conceivable exceptions, each time a new default is added, to be revised, which is arguably ad hoc.

In  $\mathbf{DL}_3$ , however, the above statements can be represented simply by

$$\frac{\text{dropout} : \text{adult}}{\text{adult}} \quad \text{and} \quad \frac{\text{adult} : \text{employed}}{\text{employed}}$$

since, as can be easily verified, only the first default is applicable for a dropout.  $\square$

Consider a default rule of the form

$$\frac{A : B}{B}, \tag{3.7}$$

whose application leads to the conclusion  $MB$ . Now, as we know, in  $\mathbf{L}_3$ , the formula  $MLB$  is strongly equivalent to  $LB$ , so we may always obtain a stronger conclusion, viz.  $LB$ , provided that default (3.7) is rewritten in the form

$$\frac{A : B}{LB},$$

or, equivalently, to

$$\frac{A : LB}{LB}.$$

The possibility to distinguish in this way between *weak* and *strong default conclusions* gives the formal means to separately represent rules expressing *causal rules* (“expectation-evoking rules”) and *evidential rules* (“explanation-evoking rules”) [45]. An example of the first kind of rules is “fire usually causes smoke” whilst “smoke usually suggests fire” is an instance of the second kind. As argued, e.g., by Pearl [45], an evidential rule should not be applied if its prerequisite is derived by applying at least one causal rule. To illustrate this, consider the following example:

**Example 6 ([45])** Consider the default rules  $d_1 = (P : Q/Q)$  and  $d_2 = (Q : R/R)$ , where  $P$ ,  $Q$ , and  $R$  stand for the following propositions:

- $P$ : “Tony recites passages from Shakespeare.”
- $Q$ : “Tony can read and write.”
- $R$ : “Tony is over seven years old.”

Obviously, common sense suggests that, given  $P$ , there are perfect reasons to apply both defaults to infer that Tony is over seven years old. Suppose now that we add the default rule

$$d_3 = \frac{S : Q}{Q},$$

where  $S$  stands for “Tony is a child prodigy”. Given  $S$ , it is reasonable to infer that Tony can read and write, but the inference that Tony is over seven years old seems to be unjustified.

Like in Example 5 above, in standard default logic one would use instead of default  $d_2$  the semi-normal default

$$d'_2 = \frac{Q : R \wedge \neg S}{R}.$$

However, as we argued above, this is somewhat unsatisfactory. Now, in  $\mathbf{DL}_3$ , on the other hand, this can easily be accommodated by using the defaults

$$\frac{P : LQ}{Q} \quad \text{and} \quad \frac{Q : LR}{LR}$$

instead of  $d_1$  and  $d_2$ , and

$$\frac{MS : Q}{Q}$$

instead of  $d_3$ . □

To conclude our discussion on comparing  $\mathbf{DL}$  and  $\mathbf{DL}_3$ , consider the following example illustrating a situation with incoherent default-rule applications.

**Example 7 ([35])** Consider the default theory  $T = \langle W, D \rangle$ , where

$$W = \{Summer, \neg Sun\_Shining\} \quad \text{and} \quad D = \left\{ \frac{Summer : \neg Rain}{Sun\_Shining} \right\}.$$

The only default of this theory is inapplicable since  $W \vdash_3 \neg L Sun\_Shining$ . Hence,  $T$  has one extension,  $E = Th_3(W)$ . However,  $T$  has no extension in Reiter’s default logic due to the weaker consistency check which yields a vicious circle where the application of the default violates its justification for applying it. □

### 3.2.3 Characterisations of Extensions

We now discuss pendants of the characterisations given in Section 3.1.2 for **DL** for the case of **DL<sub>3</sub>**.

To begin with, the semi-recursive characterisation of Theorem 11 reads now as follows:

**Theorem 14** *Let  $T = \langle W, D \rangle$  be a default theory in **DL<sub>3</sub>** and  $E$  a set of formulas of **L<sub>3</sub>**. Define a sequence of sets of formulas as follows:*

$$\begin{aligned} E_0 &:= W; \text{ and} \\ E_{i+1} &:= \text{Th}_3(E_i) \cup \{MC \mid (A : B_1, \dots, B_n/C) \in D, E_i \vdash_3 A, \\ &\quad \{\neg B_1, \dots, \neg B_n, \neg LC\} \cap E = \emptyset\}, \text{ for } i \geq 0. \end{aligned}$$

*Then,  $E$  is an extension of  $T$  iff  $E = \bigcup_{i \geq 0} E_i$ .*

For a proof, see Radzikowska [50].

We next adapt the characterisation of Marek and Truszczyński [38] of the fixed-point operator  $\Gamma_T$  (Theorem 12) to the three-valued default-logic case. We start with adapting the notions of an active default and of a reduct (cf. Definitions 14 and 15).

**Definition 19** Let  $E$  be a set of formulas. A default  $(A : B_1, \dots, B_n/C)$  is **DL<sub>3</sub>-active** in  $E$  iff  $E \vdash_3 A$  and  $\{\neg B_1, \dots, \neg B_n, \neg LC\} \cap E = \emptyset$ .  $\square$

**Definition 20** Let  $D$  be a set of defaults and  $E$  a set of formulas. The **DL<sub>3</sub>-reduct** of  $D$  with respect to  $E$ , denoted by  $\mathcal{R}^*(D, E)$ , is the set consisting of the following inference rules:

$$\mathcal{R}^*(D, E) := \left\{ \frac{A}{MC} \mid \frac{A : B_1, \dots, B_n}{C} \in D \text{ and } \{\neg B_1, \dots, \neg B_n, \neg LC\} \cap E = \emptyset \right\}.$$

An inference rule  $A/MC$  is called **DL<sub>3</sub>-residue** of a default  $(A : B_1, \dots, B_n/C)$ .  $\square$

Whenever it is clear from the context, we will allow ourselves to drop the prefix “**DL<sub>3</sub>-**” in “**DL<sub>3</sub>-active**”, “**DL<sub>3</sub>-reduct**”, and “**DL<sub>3</sub>-residue**” to ease notation.

For a set  $R$  of inference rules, let  $\vdash_3^R$  be the inference relation obtained from  $\vdash_3$  by augmenting the postulates of the Hilbert-type calculus  $\mathbf{H}_3$  underlying  $\vdash_3$  with the inference rules from  $R$ . The corresponding deductive closure operator for  $\vdash_3^R$  is given by

$$\text{Th}_3^R(W) := \{A \mid W \vdash_3^R A\}.$$

Clearly,  $\text{Th}_3^\emptyset(W) = \text{Th}_3(W)$ .

We then obtain the analogue of Theorem 12, characterising the operator  $\Lambda_T$ . The proof of it is an easy adaption of the proof for the case of **DL**.

**Theorem 15** Let  $T = \langle W, D \rangle$  be a three-valued default theory,  $E$  a set of formulas of  $\mathbf{L}_3$ , and  $\mathcal{R}^*(D, E)$  the  $\mathbf{DL}_3$ -reduct of  $D$  with respect to  $E$ .

Then,

$$\Lambda_T(E) = \text{Th}_3^{\mathcal{R}^*(D, E)}(W).$$

**Corollary 2** Let  $T = \langle W, D \rangle$  be a three-valued default theory. Then, a set  $E$  of formulas is an extension of  $T$  iff

$$\text{Th}_3^{\mathcal{R}^*(D, E)}(W) = E.$$

The concept of a generating default in the context of  $\mathbf{DL}_3$  is defined similarly to the case of standard default logic, where the activeness of a default of course is understood in the sense of  $\mathbf{DL}_3$ . More formally, given a three-valued default theory  $T$  and a set  $E$  of formulas, the set of generating defaults is given by

$$\text{GD}(E, T) := \{d \in D \mid d \text{ is } \mathbf{DL}_3\text{-active in } E\}.$$

Moreover, for a set  $D$  of defaults, let us define

$$\text{CONS}^*(D) = \{MC \mid (A : B_1, \dots, B_n / C) \in D\}.$$

We then obtain:

**Theorem 16** If  $E$  be an extension of a three-valued default theory  $T = \langle W, D \rangle$ , then

$$E = \text{Th}_3(W \cup \text{CONS}^*(\text{GD}(E, T))).$$

The proof is a straightforward adaption of the proof for the case of  $\mathbf{DL}$ , as given by Reiter [51] or Łukasiewicz [35].

As for the case of  $\mathbf{DL}$ , we again obtain from the above result that all candidates for being extensions of a given three-valued default theory  $T = \langle W, D \rangle$  are given by the collection of all sets of the form  $\text{Th}_2(W \cup C)$ , where  $C \subseteq \text{CONS}^*(D)$ .

**Example 8** Reconsider the default theory  $T = \langle W, D \rangle$  from Example 2 dealing with the Nixon diamond where

$$W = \{Q, R\} \quad \text{and} \quad D = \left\{ \frac{Q : P}{P}, \frac{R : \neg P}{\neg P} \right\}.$$

As shown before,  $T$  has two extensions in  $\mathbf{DL}$ , viz.

$$E := \text{Th}_2(\{Q, R, P\}) \quad \text{and} \quad E' := \text{Th}_2(\{Q, R, \neg P\}).$$

For  $\mathbf{DL}_3$ , we similarly obtain two extensions, viz.

$$F := \text{Th}_3(\{Q, R, MP\}) \quad \text{and} \quad F' := \text{Th}_3(\{Q, R, M\neg P\}).$$

□

### 3.3 Disjunctive Default Logic

We now discuss the basics of disjunctive default logic, introduced by Gelfond, Lifschitz, Przymusińska, and Truszczyński [22], henceforth referred to as **DL<sub>D</sub>**.

The main motivation for introducing disjunctive default logics was to address a difficulty encountered when using defaults in the presence of disjunctive information, a problem which was first observed by David Poole [48]. More specifically, the difficulty lies in the difference between a default theory having two extensions, one containing a formula  $A$  and the other a formula  $B$ , and a theory with a single extension, containing the disjunction  $A \vee B$ . This problem was also noted by Lin and Shoham [28], who gave an example of a theory in a modal-logic language, containing disjunctive information, and observed that no default theory exists which corresponds to this theory.

Another nice feature of disjunctive default logic is that it provides a one-to-one correspondence between answer-sets of disjunctive logic programs [21] and extensions of a corresponding disjunctive default theory. Such a correspondence does likewise not directly hold for standard default logic—and again the key problem lies in the presence of disjunctive information. More specifically, viewing  $P \vee Q$  as a rule in a logic program under the answer-set semantics, the default naturally corresponding to this rule would be the default rule

$$d = \frac{\top}{P \vee Q}.$$

Now, while the program consisting of the single rule  $P \vee Q$  has two answer sets, viz.  $\{P\}$  and  $\{Q\}$ , the default theory  $\langle \emptyset, \{d\} \rangle$  has only one extension,  $\text{Th}_2(\{P \vee Q\})$ . As long as only programs without disjunctions are considered, such a natural translation of program rules into defaults gives rise to a one-to-one correspondence between answer sets of the given program and the extensions of its translation.

#### 3.3.1 Disjunctive Default Theories and their Extensions

**Definition 21** Let  $A, B_1, \dots, B_n, C_1, \dots, C_m$  be formulas from **PL**. A *disjunctive default rule*, or simply a *disjunctive default*,  $d$ , is an expression of the form

$$\frac{A : B_1, \dots, B_n}{C_1 | \dots | C_m},$$

where  $A$  is the *prerequisite*,  $B_1, \dots, B_n$  are the *justifications*, and  $C_1, \dots, C_m$  are the *consequents* of  $d$ .  $\square$

Following Baumgartner and Gottlob [5], we refer to the symbol “|” as *effective disjunction*.

The intuitive meaning of such a default is:

if  $A$  is believed and  $B_1, \dots, B_n$  are consistent with what is believed, then one of  $C_1, \dots, C_m$  is asserted.



Similar to the previous conventions, if the prerequisite of a default  $d$  is  $\top$ , then we will omit it from  $d$ . If, additionally,  $d$  has no justifications, then  $d$  is simply written as

$$C_1 | \cdots | C_m,$$

where  $C_1, \dots, C_m$  are the consequents of  $d$ . For convenience, disjunctive defaults will also be written in the form  $(A : B_1, \dots, B_n / C_1 | \cdots | C_m)$ .

**Definition 22** A *disjunctive default theory*,  $T$ , is a pair  $\langle W, D \rangle$ , where  $W$  is a set of formulas of **PL** (referred to as the *premises* of  $T$ ) and  $D$  is a set of disjunctive defaults.  $\square$

Intuitively, given a disjunctive default theory  $T = \langle W, D \rangle$ ,  $W$  represents certain, yet incomplete information about the world whilst  $D$  allows to extend certain knowledge by plausible conclusions.

For defining extensions of disjunctive default theories, we need some further notation: Let us call a set  $S$  of formulas to be *closed under propositional consequence* if, whenever  $S \vdash_2 A$ , then  $A \in S$ . Clearly, the deductive closure of a set  $S$ ,  $\text{Th}_2(S)$ , is the smallest set of formulas closed under propositional consequence containing  $S$ .

Moreover, for a family  $F$  of sets,  $\min(F)$  denotes the minimal elements of  $F$ , where minimality is defined with respect to set inclusion, i.e.,

$$\min(F) = \{X \mid X \in F \text{ and there is no } Z \in F \text{ such that } Z \subset X\}.$$

**Definition 23** Let  $T = \langle W, D \rangle$  be a disjunctive default theory.

- (i) Given a set  $S$  of formulas of **PL**, let  $Cl_T(S)$  be the collection of all sets  $K$  satisfying the following conditions:
  - (a)  $K = \text{Th}_2(K)$ ,
  - (b)  $W \subseteq K$ , and
  - (c) if  $(A : B_1, \dots, B_n / C_1 | \cdots | C_m) \in D$ ,  $A \in K$  and  $\{\neg B_1, \dots, \neg B_n\} \cap S = \emptyset$ , then  $C_i \in K$ , for some  $i \in \{1, \dots, m\}$ .

Moreover, let  $\Delta_T(S) = \min(Cl_T(S))$ , i.e.,  $\Delta_T(S)$  consists of all minimal sets satisfying conditions (a)-(c).

- (ii) A set  $E$  of formulas of **PL** is an *extension* of  $T$  if  $E \in \Delta_T(E)$ .  $\square$

The notion of a brave and a skeptical consequence given a disjunctive default theory is defined as before *mutatis mutandis*.

Let us now discuss some examples showing the differences between disjunctive default logic and standard default logic.

**Example 9 ([51])** Consider the default theory  $T = \langle W, D \rangle$  for

$$W = \{P \vee Q\} \quad \text{and} \quad D = \left\{ \frac{P : R}{R}, \frac{Q : S}{S} \right\},$$

where  $P$ ,  $Q$ ,  $R$ , and  $S$  are atomic formulas. Intuitively, given the disjunctive information  $P \vee Q$ , we would expect to derive  $R \vee S$ , because, in case  $P$  holds, we could apply the first default, and in case  $Q$  holds, we could accordingly apply the second default. However, in **DL**, neither of the two defaults is applicable and the single extension of  $T$  is  $\text{Th}_2(W)$ .

Now, in disjunctive default logic, we can represent the information expressed by  $T$  in terms of a disjunctive default theory  $T'$  containing the three defaults

$$P|Q, \quad \frac{P : R}{R}, \quad \text{and} \quad \frac{Q : S}{S}.$$

In contrast to the situation in **DL**,  $T'$  possesses two extensions in **DL<sub>D</sub>**, viz.  $\text{Th}_2(\{P, R\})$  and  $\text{Th}_2(\{Q, S\})$ , and  $R \vee S$  is contained in both, which is in accordance to our expectations.  $\square$

We next discuss the example by Poole [48].

**Example 10** Let us assume the following commonsense information: By default, a person's left arm is usable, the exception being when it is broken, and similarly for the right arm.

In standard default logic, we can express this by the following two prerequisite-free semi-normal defaults:

$$d_1 := \frac{: lh\_usable \wedge \neg lh\_broken}{lh\_usable} \quad \text{and} \quad d_2 := \frac{: rh\_usable \wedge \neg rh\_broken}{rh\_usable},$$

where “ $lh$ ” and “ $rh$ ” refer to the left and right hand, respectively.

If there is no further information about one's hands, then one can conclude that both hands are usable. Indeed, the default theory  $T = \langle \emptyset, \{d_1, d_2\} \rangle$  has a single extension in **DL**, containing both  $lh\_usable$  and  $rh\_usable$ .

However, if it is now known that the left arm is broken, i.e.,  $lh\_broken$  is asserted, then the application of  $d_1$  is blocked and the extended default theory

$$T' = \langle \{lh\_broken\}, \{d_1, d_2\} \rangle$$

has again one extension, containing  $rh\_usable$ .

But let us assume now that we only know that one arm is broken, but we do not remember exactly which one. So, what we can assert now is the formula

$$lh\_broken \vee rh\_broken.$$

Considering now the extensions of the default theory

$$T'' = \langle \{lh\_broken \vee rh\_broken\}, \{d_1, d_2\} \rangle,$$

this default theory has still one extension, but unfortunately it contains both  $lh\_usable$  and  $rh\_usable$ , which is contrary to our intuition.

Using  $\mathbf{DL}_D$ , on the other hand, we can represent the information of  $T''$  by a disjunctive default theory containing

$$lh\_broken|rh\_broken,$$

together with the two defaults  $d_1$  and  $d_2$ . The resulting theory has two extensions, viz.

$$\text{Th}_2(\{lh\_broken, rh\_usable\}) \quad \text{and} \quad \text{Th}_2(\{rh\_broken, lh\_usable\}),$$

both containing

$$lh\_usable \vee rh\_usable,$$

which corresponds with our intuition.

Note that the difference between a formula  $A \vee B$  and a disjunctive default  $A|B$  amounts to the difference between the assertions “ $A$  or  $B$  is known” and “ $A$  is known or  $B$  is known”.  $\square$

### 3.3.2 Reducts for Disjunctive Defaults

Extensions in disjunctive default logic can be characterised in terms of a reduct similar to standard and three-valued default logic.

To this end, we introduce the following terminology: By a *disjunctive inference rule*, or simply a *disjunctive rule*,  $r$ , we understand an expression of the form

$$\frac{A}{C_1 | \dots | C_m}. \quad (3.8)$$

We say that a set  $S$  of formulas is *closed under  $r$*  if, whenever  $A \in S$ , then  $C_i \in S$ , for some  $i \in \{1, \dots, m\}$ . Moreover, for a set  $R$  of disjunctive rules, we say that  $S$  is closed under  $R$  if  $S$  is closed under each  $r \in R$ .

**Definition 24** Let  $D$  be a set of disjunctive defaults and  $E$  a set of formulas. The  $\mathbf{DL}_D$ -*reduct of  $D$  with respect to  $E$* , denoted by  $\mathcal{R}^\circ(D, E)$ , is the set consisting of the following disjunctive inference rules:

$$\mathcal{R}^\circ(D, E) := \left\{ \frac{A}{C_1 | \dots | C_m} \mid \frac{A : B_1, \dots, B_n}{C_1 | \dots | C_m} \in D \text{ and } \{\neg B_1, \dots, \neg B_n\} \cap E = \emptyset \right\}.$$

A disjunctive rule

$$\frac{A}{C_1 | \dots | C_m}$$

is called  $\mathbf{DL}_D$ -*residue* of a default

$$\frac{A : B_1, \dots, B_n}{C_1 | \dots | C_m}.$$

□

We again allow ourselves to drop the prefix “ $\mathbf{DL}_D$ -” from “ $\mathbf{DL}_D$ -reduct” and “ $\mathbf{DL}_D$ -residue” if no ambiguity can arise.

Towards our characterisation of extensions of disjunctive default theories, we introduce the following notation:

**Definition 25** For a set  $W$  of formulas and a set  $R$  of disjunctive rules, let  $C^R(W)$  be the collection of all sets which

- (i) are closed under propositional consequence,
- (ii) contain  $W$ , and
- (iii) are closed under  $R$ .

Furthermore, define  $Cn^R(W) := \min(C^R(W))$ , i.e.,  $Cn^R(W)$  contains all minimal sets satisfying (i)–(iii). □

Note that, for a disjunctive default theory  $T = \langle W, D \rangle$  and a set  $E$  of formulas, we obviously have that

$$Cl_T(E) = C^{\mathcal{R}^\circ(D,E)}(W) \quad \text{and} \quad \Delta_T(E) = Cn^{\mathcal{R}^\circ(D,E)}(W).$$

From this, the following result is immediate:

**Theorem 17** *Let  $T = \langle W, D \rangle$  be a disjunctive default theory.*

*Then,  $E$  is an extension of  $T$  iff  $E \in Cn^{\mathcal{R}^\circ(D,E)}(W)$ .*

Note furthermore that, if  $T = \langle W, D \rangle$  is a standard default theory, i.e., if  $D$  contains no proper disjunctive defaults, then clearly  $\mathcal{R}^\circ(D, E) = D_E$ , for any set  $E$  of formulas, and moreover

$$\Delta_T(E) = \{\Gamma_T(E)\} \quad \text{and} \quad Cn^{\mathcal{R}^\circ(D,E)}(W) = \{\text{Th}_2^{D_E}(W)\}.$$

# Sequent-Type Calculi for Brave Default Reasoning

*“To achieve great things, two things are needed:  
a plan, and not quite enough time.”*

Leonard Bernstein

We now introduce our sequent calculi for brave reasoning in  $\mathbf{DL}_3$  and  $\mathbf{DL}_D$ . Our calculi adapt the approach of Bonatti [13, 12], originally defined for standard default logic  $\mathbf{DL}$ , for the considered default-logic variants.

Analogous to Bonatti’s system, our calculi comprise three kinds of sequents each:

- (i) assertional sequents for axiomatising validity in the respective underlying monotonic base logic,
- (ii) *anti-sequents* for axiomatising *invalidity* for the underlying monotonic logics, i.e., non-theorems of these logics, taking care of the consistency check of defaults, and
- (iii) proper default sequents.

Although it would be possible to use just one kind of sequents, this would be at the expense of losing clarity of the sequents’ structure. As well, the current separation of types of sequents also reflects the interactions between the underlying monotonic proof machinery the nonmonotonic inferences in a much clearer manner.

The distinguishing feature of Bonatti’s approach is the use of a complementary calculus for axiomatising non-tautologies, which makes this approach particularly elegant. However,

a sound and complete axiomatisation of non-theorems is only possible for logics which are decidable or at least where the set of non-theorems is semi-decidable. Hence, Bonatti considered only propositional versions of the nonmonotonic logics for which he developed sequent calculi. The same applies for the nonmonotonic reasoning calculi introduced subsequently by Bonatti and Olivetti [14].

The field of complementary calculi is less studied than other areas of logic but nevertheless interesting. The history of it goes back to Aristotle who not only analysed correct reasoning in his system of syllogisms but studied also invalid arguments, referred to as *fallacies*, in the last part of the Organon, entitled *De Sophisticis Elenchis* (“Sophistical Refutations”), where, in particular, he rejects arguments by reducing them to other already rejected ones. The first usage of the term “rejection” in modern logic was done by Jan Łukasiewicz in his 1921 paper *Logika dwuwartościowa* (“Two-valued logic”) in which he states that by doing so he follows Brentano [30]. An axiomatic treatment of rejection was then discussed in his treatment of Aristotle’s syllogistic [32, 33] where he introduced a Hilbert-type rejection system. This was then further elaborated by his student Jerzy Śłupecki [63] and eventually extended to a theory of rejected propositions [65, 72, 15, 66, 67].<sup>1</sup> Furthermore, complementary calculi were not only studied for classical logic [61, 69, 11] but also for different types of logics, like intuitionistic logic [58, 20, 60], modal logics [24, 62], many-valued logics [16, 59, 43, 10], and others [6].

In what follows, we first introduce our calculus for three-valued default logic, which we denote by  $B_3$ , and afterwards the corresponding calculus for disjunctive default logic, denoted by  $B_D$ .

## 4.1 A Sequent Calculus for Three-Valued Default Logic

As pointed out above, and following the general design of the approach of Bonatti [13, 12], the calculus  $B_3$  we are now going to introduce involves three kinds of sequents, viz. assertional sequents for axiomatising validity in  $\mathbf{L}_3$ , anti-sequents for axiomatising non-tautologies of  $\mathbf{L}_3$ , and special default sequents representing brave reasoning in  $\mathbf{DL}$ .

We start with laying down the postulates of  $B_3$  and then, in Section 4.1.2, we show soundness and completeness.

### 4.1.1 Postulates of the Calculus

As far as sequent-type calculi for three-valued (monotonic) logics are concerned—or, more generally, many-valued logics—, different techniques have been discussed in the literature [17, 2, 74, 3, 8, 25]. Here, we use an approach due to Rousseau [56], which is a natural generalisation for many-valued logics of the classical two-sided sequent formulation as pioneered by Gentzen [23]. In Rousseau’s approach, a sequent for a

<sup>1</sup>We refer to the paper by Wybraniec-Skardowska [73] for an excellent survey on the development of refutation systems.

$$\begin{array}{c}
 \frac{\Gamma \mid \Delta \mid \Pi, A \quad \Gamma, B \mid \Delta \mid \Pi}{\Gamma, A \supset B \mid \Delta \mid \Pi} (\supset : \mathbf{f}) \\
 \\
 \frac{\Gamma \mid \Delta, A, B \mid \Pi \quad \Gamma, B \mid \Delta \mid \Pi, A}{\Gamma \mid \Delta, A \supset B \mid \Pi} (\supset : \mathbf{u}) \\
 \\
 \frac{\Gamma, A \mid \Delta, A \mid \Pi, B \quad \Gamma, A \mid \Delta, B \mid \Pi, B}{\Gamma \mid \Delta \mid \Pi, A \supset B} (\supset : \mathbf{t}) \\
 \\
 \frac{\Gamma \mid \Delta \mid \Pi, A}{\Gamma, \neg A \mid \Delta \mid \Pi} (\neg : \mathbf{f}) \quad \frac{\Gamma \mid \Delta, A \mid \Pi}{\Gamma \mid \Delta, \neg A \mid \Pi} (\neg : \mathbf{u}) \quad \frac{\Gamma, A \mid \Delta \mid \Pi}{\Gamma \mid \Delta \mid \Pi, \neg A} (\neg : \mathbf{t}) \\
 \\
 \frac{\Gamma \mid \Delta \mid \Pi}{\Gamma, A \mid \Delta \mid \Pi} (w : \mathbf{f}) \quad \frac{\Gamma \mid \Delta \mid \Pi}{\Gamma \mid \Delta, A \mid \Pi} (w : \mathbf{u}) \quad \frac{\Gamma \mid \Delta \mid \Pi}{\Gamma \mid \Delta \mid \Pi, A} (w : \mathbf{t})
 \end{array}$$

 Figure 4.1: Rules of the sequent calculus  $\mathbf{SL}_3$ .

three-valued logic is a triple of sets of formulas where each component of the sequent represents one of the three truth values.

### A Sequent Calculus for $\mathbf{L}_3$

Formally, we introduce sequents for  $\mathbf{L}_3$  as follows:

#### Definition 26

- (i) A (*three-valued*) *sequent* is a triple of the form  $\Gamma_1 \mid \Gamma_2 \mid \Gamma_3$ , where each  $\Gamma_i$  ( $i \in \{1, 2, 3\}$ ) is a finite set of formulas, called *component* of the sequent.
- (ii) For an interpretation  $m$ , a sequent  $\Gamma_1 \mid \Gamma_2 \mid \Gamma_3$  is *true under  $m$*  if, for at least one  $i \in \{1, 2, 3\}$ ,  $\Gamma_i$  contains some formula  $A$  such that  $V^m(A) = v_i$ , where  $v_1 = \mathbf{f}$ ,  $v_2 = \mathbf{u}$ , and  $v_3 = \mathbf{t}$ . Furthermore, a sequent is *valid* if it is true under each interpretation.  $\square$

Note that a standard classical sequent in the sense of Gentzen [23],  $\Gamma \vdash \Delta$ , corresponds to a pair  $\Gamma \mid \Delta$  under the usual two-valued semantics of  $\mathbf{PL}$ .

As customary for sequents, we write sequent components comprised of a singleton set  $\{A\}$  simply as “ $A$ ” and similarly  $\Gamma \cup \{A\}$  is written as “ $\Gamma, A$ ”.

For obtaining the postulates of a many-valued logic in Rousseau’s approach, the conditions of the logical connectives of a given logic are encoded in two-valued logic by means of a so-called *partial normal form* [55] and expressed by suitable inference rules.

The calculus we employ for  $\mathbf{L}_3$ , which we denote by  $\mathbf{S}\mathbf{L}_3$ , is taken from Zach [74], which is obtained from a systematic construction of sequent-style calculi for many-valued logics and by applying some optimisations of the corresponding partial normal forms.

The postulates of  $\mathbf{S}\mathbf{L}_3$  are as follows:

- (i) axioms of  $\mathbf{S}\mathbf{L}_3$  consist of sequents of the form  $A \mid A \mid A$ , where  $A$  is a formula, and
- (ii) the inference rules of  $\mathbf{S}\mathbf{L}_3$  are comprised of all rules depicted in Figure 4.1.

Note that from the inference rules of  $\mathbf{S}\mathbf{L}_3$  we can easily obtain derived rules for the defined connectives of  $\mathbf{L}_3$ . Furthermore, the last three rules in Figure 4.1 are also referred to as *weakening rules*.

Soundness and completeness of  $\mathbf{S}\mathbf{L}_3$  follows directly from the method described by Zach [74]:

**Theorem 18** *A sequent  $\Gamma \mid \Delta \mid \Pi$  is valid iff it is provable in  $\mathbf{S}\mathbf{L}_3$ .*

Note that sequents in the style of Rousseau are *truth functional* rather than formalising entailment directly, but, as follows directly from a general result for many-valued logics shown by Zach [74], the latter can be expressed simply as follows:

**Theorem 19** *For a theory  $T$  and a formula  $A$ ,  $T \vdash_3 A$  iff the sequent  $T \mid T \mid A$  is provable in  $\mathbf{S}\mathbf{L}_3$ .*

### An Anti-Sequent Calculus for $\mathbf{L}_3$

As for axiomatising non-theorems of  $\mathbf{L}_3$ , a systematic construction of refutation calculi for many-valued logics has been developed by Bogojeski [10] by adapting the approach of Zach [74]. The refutation calculus we introduce now for axiomatising invalid sequents in  $\mathbf{L}_3$ , denoted by  $\mathbf{R}\mathbf{L}_3$ , is taken from Bogojeski [10].

#### Definition 27

- (i) A (*three-valued*) *anti-sequent* is a triple of form  $\Gamma_1 \uparrow \Gamma_2 \uparrow \Gamma_3$ , where each  $\Gamma_i$  ( $i \in \{1, 2, 3\}$ ) is a finite set of formulas, called *component* of the anti-sequent.
- (ii) For an interpretation  $m$ , an anti-sequent  $\Gamma_1 \uparrow \Gamma_2 \uparrow \Gamma_3$  is *refuted by  $m$* , or  *$m$  refutes  $\Gamma_1 \uparrow \Gamma_2 \uparrow \Gamma_3$* , if, for every  $i \in \{1, 2, 3\}$  and every formula  $A \in \Gamma_i$ ,  $V^m(A) \neq v_i$ , where  $v_i$  is defined as in Definition 26.
- (iii) If  $m$  refutes  $\Gamma_1 \uparrow \Gamma_2 \uparrow \Gamma_3$ , then  $m$  is also said to be a *countermodel* of  $\Gamma_1 \uparrow \Gamma_2 \uparrow \Gamma_3$ . An anti-sequent  $\Gamma_1 \uparrow \Gamma_2 \uparrow \Gamma_3$  is *refutable*, if there is at least one interpretation that refutes  $\Gamma_1 \uparrow \Gamma_2 \uparrow \Gamma_3$ . □



$$\begin{array}{c}
 \frac{\Gamma \uparrow \Delta \uparrow \Pi, A}{\Gamma, A \supset B \uparrow \Delta \uparrow \Pi} (\supset : \mathbf{f}^1)^r \qquad \frac{\Gamma, B \uparrow \Delta \uparrow \Pi}{\Gamma, A \supset B \uparrow \Delta \uparrow \Pi} (\supset : \mathbf{f}^2)^r \\
 \\
 \frac{\Gamma \uparrow \Delta, A, B \uparrow \Pi}{\Gamma \uparrow \Delta, A \supset B \uparrow \Pi} (\supset : \mathbf{u}^1)^r \qquad \frac{\Gamma, B \uparrow \Delta \uparrow \Pi, A}{\Gamma \uparrow \Delta, A \supset B \uparrow \Pi} (\supset : \mathbf{u}^2)^r \\
 \\
 \frac{\Gamma, A \uparrow \Delta, A \uparrow \Pi, B}{\Gamma \uparrow \Delta \uparrow \Pi, A \supset B} (\supset : \mathbf{t}^1)^r \qquad \frac{\Gamma, A \uparrow \Delta, B \uparrow \Pi, B}{\Gamma \uparrow \Delta \uparrow \Pi, A \supset B} (\supset : \mathbf{t}^2)^r \\
 \\
 \frac{\Gamma \uparrow \Delta \uparrow \Pi, A}{\Gamma, \neg A \uparrow \Delta \uparrow \Pi} (\neg : \mathbf{f})^r \qquad \frac{\Gamma \uparrow \Delta, A \uparrow \Pi}{\Gamma \uparrow \Delta, \neg A \uparrow \Pi} (\neg : \mathbf{u})^r \qquad \frac{\Gamma, A \uparrow \Delta \uparrow \Pi}{\Gamma \uparrow \Delta \uparrow \Pi, \neg A} (\neg : \mathbf{t})^r \\
 \\
 \frac{\Gamma, A \uparrow \Delta \uparrow \Pi}{\Gamma \uparrow \Delta \uparrow \Pi} (w : \mathbf{f})^r \qquad \frac{\Gamma \uparrow \Delta, A \uparrow \Pi}{\Gamma \uparrow \Delta \uparrow \Pi} (w : \mathbf{u})^r \qquad \frac{\Gamma \uparrow \Delta \uparrow \Pi, A}{\Gamma \uparrow \Delta \uparrow \Pi} (w : \mathbf{t})^r
 \end{array}$$

 Figure 4.2: Rules of the anti-sequent calculus  $\mathbf{R}\mathbf{L}_3$ .

Clearly, an anti-sequent  $\Gamma_1 \uparrow \Gamma_2 \uparrow \Gamma_3$  is refutable iff the corresponding sequent  $\Gamma_1 \mid \Gamma_2 \mid \Gamma_3$  is not valid.

The postulates of  $\mathbf{R}\mathbf{L}_3$  are as follows:

- (i) the axioms of  $\mathbf{R}\mathbf{L}_3$  are anti-sequents whose components are sets of propositional constants such that no constant appears in all components, and
- (ii) the inference rules of  $\mathbf{R}\mathbf{L}_3$  are those given in Figure 4.2.

Note that, in contrast to  $\mathbf{S}\mathbf{L}_3$ , the inference rules of  $\mathbf{R}\mathbf{L}_3$  have only single premisses. Indeed, this is a general pattern in sequent-style rejection calculi: if an inference rule for standard (assertional) sequents for a connective has  $n$  premisses, then there are usually  $n$  corresponding unary inference rules in the associated rejection calculus. Intuitively, what is exhaustive search in a standard sequent calculus becomes nondeterminism in a rejection calculus.

Again, soundness and completeness of  $\mathbf{R}\mathbf{L}_3$  follow from the systematic construction as described by Bogojeski [10]. Likewise, non-entailment in  $\mathbf{L}_3$  is expressed similarly as for  $\mathbf{S}\mathbf{L}_3$ .

**Theorem 20** *An anti-sequent  $\Gamma \uparrow \Delta \uparrow \Pi$  is refutable iff it is provable in  $\mathbf{R}\mathbf{L}_3$ .*

**Theorem 21** *For a theory  $T$  and a formula  $A$ ,  $T \not\vdash_3 A$  iff  $T \uparrow T \uparrow A$  is provable in  $\mathbf{R}\mathbf{L}_3$ .*

$$\begin{array}{c}
 \frac{\Gamma \mid \Gamma \mid A}{\Gamma; \emptyset \Rightarrow A; \emptyset} \quad (l_1) \qquad \frac{\Gamma \uparrow \Gamma \uparrow A}{\Gamma; \emptyset \Rightarrow \emptyset; A} \quad (l_2) \\
 \\
 \frac{\Gamma; \emptyset \Rightarrow \Sigma_1; \Theta_1 \quad \Gamma; \emptyset \Rightarrow \Sigma_2; \Theta_2}{\Gamma; \emptyset \Rightarrow \Sigma_1, \Sigma_2; \Theta_1, \Theta_2} \quad (mu) \\
 \\
 \frac{\Gamma; \Delta \Rightarrow \Sigma; \Theta, A}{\Gamma; \Delta, (A : B_1, \dots, B_n / C) \Rightarrow \Sigma; \Theta} \quad (d_1) \qquad \frac{\Gamma; \Delta \Rightarrow \Sigma, \neg B; \Theta}{\Gamma; \Delta, (A : \dots, B, \dots / C) \Rightarrow \Sigma; \Theta} \quad (d_2) \\
 \\
 \frac{\Gamma; \Delta \Rightarrow \Sigma, \neg LC; \Theta}{\Gamma; \Delta, (A : B_1, \dots, B_n / C) \Rightarrow \Sigma; \Theta} \quad (d_3) \\
 \\
 \frac{\Gamma; \emptyset \Rightarrow A; \emptyset \quad \Gamma, MC; \Delta \Rightarrow \Sigma; \Theta, \neg B_1, \dots, \neg B_n, \neg LC}{\Gamma; \Delta, (A : B_1, \dots, B_n / C) \Rightarrow \Sigma; \Theta} \quad (d_4)
 \end{array}$$

 Figure 4.3: Rules for default sequents of the calculus  $\mathcal{B}_3$ .

### The Default-Sequent Calculus $\mathcal{B}_3$

We are now in a position to specify our calculus  $\mathcal{B}_3$  for brave reasoning in  $\mathbf{DL}_3$ .

#### Definition 28

- (i) A (*brave*) *default sequent* is an ordered quadruple of the form  $\Gamma; \Delta \Rightarrow \Sigma; \Theta$ , where  $\Gamma$ ,  $\Sigma$ , and  $\Theta$  are finite sets of formulas and  $\Delta$  is a finite set of defaults.
- (ii) A default sequent  $\Gamma; \Delta \Rightarrow \Sigma; \Theta$  is *true* if there is an extension  $E$  of the default theory  $\langle \Gamma, \Delta \rangle$  such that  $\Sigma \subseteq E$  and  $\Theta \cap E = \emptyset$ ;  $E$  is called a *witness* of  $\Gamma; \Delta \Rightarrow \Sigma; \Theta$ .  $\square$

The default sequent calculus  $\mathcal{B}_3$  consists of three-valued sequents, anti-sequents, and default sequents. It incorporates the systems  $\mathbf{S}\mathcal{L}_3$  for three-valued sequents and  $\mathbf{R}\mathcal{L}_3$  for anti-sequents. Additionally, it has axioms of the form

$$\Gamma; \emptyset \Rightarrow \emptyset; \emptyset,$$

where  $\Gamma$  is a finite set of formulas, and the inference rules as depicted in Figure 4.3.

The informal meaning of the inference rules for the default sequents is the following:

- First of all, rules  $(l_1)$  and  $(l_2)$  combine three-valued sequents and anti-sequents with default sequents, respectively.
- Rule  $(mu)$  is the rule of “monotonic union”—it allows the joining of information in case that no default is present.

- Rules  $(d_1)$ – $(d_4)$  are the default introduction rules: rules  $(d_1)$ ,  $(d_2)$ , and  $(d_3)$  take care of introducing non-active defaults, whilst rule  $(d_4)$  allows to introduce an active default.

Let us give an example to illustrate the functioning of the calculus.

**Example 11** Consider the default theory  $T = \langle W, D \rangle$  from Example 7, where

$$W = \{Summer, \neg Sun\_Shining\} \quad \text{and} \quad D = \left\{ \frac{Summer : \neg Rain}{Sun\_Shining} \right\}.$$

As we saw, the single default of this theory is inapplicable since  $W \vdash_3 \neg L Sun\_Shining$  and  $E = Th_3(W)$  is therefore the only extension of  $T$ . Consequently,  $Sun\_Shining \notin E$  also holds. Hence, the default sequent

$$Summer, \neg Sun\_Shining; \frac{Summer : \neg Rain}{Sun\_Shining} \Rightarrow \neg L Sun\_Shining; Sun\_Shining$$

is true. We will give a proof of this sequent in  $B_3$ .

The proof of this sequent, depicted below and denoted by  $\beta$ , uses the proof  $\alpha$  as subpart. For brevity, we will use “ $S$ ” for “ $Summer$ ”, “ $R$ ” for “ $Rain$ ”, and “ $H$ ” for “ $Sun\_Shining$ ”.

- Proof  $\alpha$ :

$$\frac{\frac{\frac{\frac{\neg H \mid \neg H \mid \neg H}{\neg H \mid S, \neg H \mid \neg H} (w : \mathbf{u})}{\neg H, H \mid S, \neg H \mid \neg H} (w : \mathbf{f})}{\neg H, H \mid S, \neg H, H \mid \neg H} (w : \mathbf{u})}{S, \neg H, H \mid S, \neg H, H \mid \neg H} (w : \mathbf{f}) \quad \frac{\frac{\frac{\neg H \mid \neg H \mid \neg H}{\neg H \mid S, \neg H \mid \neg H} (w : \mathbf{u})}{\neg H, H \mid S, \neg H \mid \neg H} (w : \mathbf{f})}{S, \neg H, H \mid S, \neg H \mid \neg H} (w : \mathbf{f})}{S, \neg H \mid S, \neg H \mid H \supset \neg H} (\supset : \mathbf{t})}{S, \neg H, \neg(H \supset \neg H) \mid S, \neg H \mid \emptyset} (\neg : \mathbf{f})}{S, \neg H \mid S, \neg H \mid \neg \neg(H \supset \neg H)} (\neg : \mathbf{t})}{S, \neg H; \emptyset \Rightarrow \neg LH; \emptyset} (l_1), \text{ definition of L}$$

- Proof  $\beta$ :

$$\frac{\frac{\frac{\frac{S \uparrow S, H \uparrow H}{S \uparrow S, \neg H \uparrow H} (\neg : \mathbf{u})^r}{S, \neg H \uparrow S, \neg H \uparrow H} (\neg : \mathbf{f})^r}{S, \neg H; \emptyset \Rightarrow \emptyset; H} (l_2)}{S, \neg H; \emptyset \Rightarrow \neg LH; H} \alpha}{S, \neg H; (S : \neg R/H) \Rightarrow \neg LH; H} (d_3) \quad (mu)$$

□

### 4.1.2 Adequacy of the Calculus

We now show soundness and completeness of  $\mathbf{B}_3$ . To this end, we need some auxiliary results first dealing with properties of extensions with respect to active and non-active defaults.

#### Preparatory Properties

We start with two obvious results whose proofs are straightforward.

**Lemma 1** *Let  $R, R'$  be sets of inference rules, and  $W, W'$  sets of formulas. Then, the following properties hold:*

- (i)  $W \subseteq \text{Th}_3^R(W)$ ;
- (ii)  $\text{Th}_3^R(W) = \text{Th}_3^R(\text{Th}_3^R(W))$ ;
- (iii) if  $R \subseteq R'$ , then  $\text{Th}_3^R(W) \subseteq \text{Th}_3^{R'}(W)$ ; and
- (iv) if  $W \subseteq W'$ , then  $\text{Th}_3^R(W) \subseteq \text{Th}_3^R(W')$ .

**Lemma 2** *Let  $A$  and  $B$  be formulas,  $W$  a set of formulas, and  $R$  a set of inference rules. Then:*

- (i) if  $A \notin \text{Th}_3^R(W)$ , then  $\text{Th}_3^R(W) = \text{Th}_3^{R \cup \{A/B\}}(W)$ ;
- (ii) if  $A \in \text{Th}_3^{R \cup \{A/B\}}(W)$ , then  $\text{Th}_3^{R \cup \{A/B\}}(W) = \text{Th}_3^R(W \cup \{B\})$ .

Recall our notation  $\mathfrak{p}(d)$ ,  $\mathfrak{j}(d)$ , and  $\mathfrak{c}(d)$ , where  $d$  is a default, which we introduced in Section 3.1.1 for standard default logic  $\mathbf{DL}$ . We adapt this notation for  $\mathbf{DL}_3$  as follows: let us now write

$$\mathfrak{j}^*(d) := \{B_1, \dots, B_n, LC\} \quad \text{and} \quad \mathfrak{c}^*(d) := MC$$

for a default  $d = (A : B_1, \dots, B_n / C)$  (we retain the notation  $\mathfrak{p}(d) = A$ ). Recall also that, for a set  $S$  of formulas,  $\neg S$  stands for  $\{\neg A \mid A \in S\}$ .

**Theorem 22** *Let  $T = \langle W, D \rangle$  be a default theory,  $E$  a set of formulas, and  $d$  a default not active in  $E$ . Then,  $E$  is an extension of  $\langle W, D \rangle$  iff  $E$  is an extension of  $\langle W, D \cup \{d\} \rangle$ .*

*Proof.* If  $\neg \mathfrak{j}^*(d) \cap E \neq \emptyset$ , then  $\mathcal{R}^*(D \cup \{d\}, E) = \mathcal{R}^*(D, E)$ . So,

$$\text{Th}_3^{\mathcal{R}^*(D \cup \{d\}, E)}(W) = \text{Th}_3^{\mathcal{R}^*(D, E)}(W)$$

and the statement of the lemma holds quite trivially by Corollary 2.

For the rest of the proof, assume thus  $\neg j^*(d) \cap E = \emptyset$ . Since  $d$  is not active in  $E$ ,  $E \not\vdash_3 p(d)$  must then hold. Furthermore,  $\mathcal{R}^*(D \cup \{d\}, E) = \mathcal{R}^*(D, E) \cup \{p(d)/c^*(d)\}$  holds.

Suppose  $E$  is an extension of  $T = \langle W, D \rangle$ , i.e.,  $E = \text{Th}_3^{\mathcal{R}^*(D, E)}(W)$ . Since  $E \not\vdash_3 p(d)$  and  $E$  is deductively closed, we obtain  $p(d) \notin E$ , and so  $p(d) \notin \text{Th}_3^{\mathcal{R}^*(D, E)}(W)$ . By Lemma 2(i),

$$\text{Th}_3^{\mathcal{R}^*(D, E)}(W) = \text{Th}_3^{\mathcal{R}^*(D, E) \cup \{p(d)/c^*(d)\}}(W).$$

But

$$\mathcal{R}^*(D, E) \cup \{p(d)/c^*(d)\} = \mathcal{R}^*(D \cup \{d\}, E),$$

hence

$$\text{Th}_3^{\mathcal{R}^*(D, E)}(W) = \text{Th}_3^{\mathcal{R}^*(D \cup \{d\}, E)}(W).$$

Since  $E = \text{Th}_3^{\mathcal{R}^*(D, E)}(W)$ , we obtain  $E = \text{Th}_3^{\mathcal{R}^*(D \cup \{d\}, E)}(W)$  and  $E$  is extension of  $\langle W, D \cup \{d\} \rangle$ .

This proves the “only if” direction; the “if” direction follows by essentially the same arguments, but employing additionally Lemma 1(iii).  $\square$

**Theorem 23** *Let  $E$  be a set of formulas and  $d$  a default. If  $E$  is an extension of  $\langle W, D \cup \{d\} \rangle$  and  $d$  is active in  $E$ , then  $E$  is an extension of  $\langle W \cup \{c^*(d)\}, D \rangle$ .*

*Proof.* Suppose  $E$  is an extension of  $\langle W, D \cup \{d\} \rangle$  and  $d$  is active in  $E$ . Then,

$$E = \text{Th}_3^{\mathcal{R}^*(D \cup \{d\}, E)}(W)$$

and, since  $d$  is active in  $E$ ,  $\neg j^*(d) \cap E = \emptyset$ . Therefore,

$$\mathcal{R}^*(D \cup \{d\}, E) = \mathcal{R}^*(D, E) \cup \{p(d)/c^*(d)\}$$

and thus

$$E = \text{Th}_3^{\mathcal{R}^*(D, E) \cup \{p(d)/c^*(d)\}}(W).$$

But  $E \vdash_3 p(d)$  also holds (since  $d$  is active in  $E$ ), and so

$$p(d) \in \text{Th}_3^{\mathcal{R}^*(D, E) \cup \{p(d)/c^*(d)\}}(W).$$

Therefore, by Lemma 2(ii),

$$\text{Th}_3^{\mathcal{R}^*(D, E) \cup \{p(d)/c^*(d)\}}(W) = \text{Th}_3^{\mathcal{R}^*(D, E)}(W \cup \{c^*(d)\}).$$

Thus,  $E = \text{Th}_3^{\mathcal{R}^*(D, E)}(W \cup \{c^*(d)\})$ , and so  $E$  is an extension of  $\langle W \cup \{c^*(d)\}, D \rangle$ .  $\square$

**Theorem 24** *Let  $E$  be a set of formulas and  $d$  a default. If (i)  $E$  is an extension of the default theory  $\langle W \cup \{c^*(d)\}, D \rangle$ , (ii)  $W \vdash_3 p(d)$ , and (iii)  $\neg j^*(d) \cap E = \emptyset$ , then  $E$  is an extension of  $\langle W, D \cup \{d\} \rangle$ .*

*Proof.* Assume that the preconditions of the theorem hold. Since  $E$  is an extension of  $\langle W \cup \{c^*(d)\}, D \rangle$ ,

$$E = \text{Th}_3^{\mathcal{R}^*(D,E)}(W \cup \{c^*(d)\}).$$

Furthermore, by the hypothesis  $W \vdash_3 p(d)$ , we have

$$p(d) \in \text{Th}_3^{\mathcal{R}^*(D,E) \cup \{p(d)/c^*(d)\}}(W).$$

We thus get

$$\text{Th}_3^{\mathcal{R}^*(D,E) \cup \{p(d)/c^*(d)\}}(W) = \text{Th}_3^{\mathcal{R}^*(D,E)}(W \cup \{c^*(d)\})$$

in view of Lemma 2(ii), and therefore

$$E = \text{Th}_3^{\mathcal{R}^*(D,E) \cup \{p(d)/c^*(d)\}}(W).$$

By observing that the assumption  $\neg j^*(d) \cap E = \emptyset$  implies

$$\mathcal{R}^*(D, E) \cup \{p(d)/c^*(d)\} = \mathcal{R}^*(D \cup \{d\}, E),$$

the result follows.  $\square$

### Soundness and Completeness

We are now in a position to prove soundness and completeness of  $\mathcal{B}_3$ .

**Theorem 25 (Soundness)** *If  $\Gamma; \Delta \Rightarrow \Sigma; \Theta$  is provable in  $\mathcal{B}_3$ , then it is true.*

*Proof.* We show that all axioms are true, and that the conclusions of all inference rules are true whenever its premisses are true (resp., valid or refutable in case of rules  $(l_1)$  and  $(l_2)$ ).

First of all, an axiom  $\Gamma; \emptyset \Rightarrow \emptyset; \emptyset$  is trivially true, because  $\text{Th}_3(\Gamma)$  is the unique extension of the default theory  $\langle \Gamma, \emptyset \rangle$  and hence the unique witness of  $\Gamma; \emptyset \Rightarrow \emptyset; \emptyset$ .

Suppose  $\Gamma \mid \Gamma \mid A$  is the premiss of rule  $(l_1)$  and assume it is valid. Hence,  $\Gamma \vdash_3 A$  and therefore  $A \in \text{Th}_3(\Gamma)$ . But  $\text{Th}_3(\Gamma)$  is the unique extension of  $\langle \Gamma, \emptyset \rangle$ , so  $\text{Th}_3(\Gamma)$  is the unique witness of  $\Gamma; \emptyset \Rightarrow A; \emptyset$ . Likewise, if the premiss  $\Gamma \dagger \Gamma \dagger A$  of rule  $(l_2)$  is refutable, then  $A \notin \text{Th}_3(\Gamma)$ , and therefore  $\text{Th}_3(\Gamma)$  is the (unique) witness of  $\Gamma; \emptyset \Rightarrow \emptyset; A$ .

If the two premisses  $\Gamma; \emptyset \Rightarrow \Sigma_1; \Theta_1$  and  $\Gamma; \emptyset \Rightarrow \Sigma_2; \Theta_2$  of rule  $(mu)$  are true, then they must have the same witness  $E = \text{Th}_3(\Gamma)$ . Hence,  $E$  is also the (unique) witness of  $\Gamma; \emptyset \Rightarrow \Sigma_1, \Sigma_2; \Theta_1, \Theta_2$ .

As for the soundness of the rules  $d_1$ ,  $d_2$ , and  $d_3$ , we only show the case for  $d_3$ ; the other two are similar. Let  $E$  be a witness of  $\Gamma; \Delta \Rightarrow \Sigma, \neg LC; \Theta$ . Then,  $E$  is an extension of  $\langle \Gamma, \Delta \rangle$ ,  $\Sigma \cup \{\neg LC\} \subseteq E$ , and  $\Theta \cap E = \emptyset$ . So,  $\neg LC \in E$  and thus the default  $(A : B_1, \dots, B_n / C)$  is not active in  $E$ . By Theorem 22, it follows that  $E$  is an extension

of  $\langle \Gamma, \Delta \cup \{(A : B_1, \dots, B_n/C)\} \rangle$ . Moreover, since  $\Sigma \subseteq E$  and  $\Theta \cap E = \emptyset$ ,  $E$  is a witness of  $\Gamma; \Delta, (A : B_1, \dots, B_n/C) \Rightarrow \Sigma; \Theta$ .

Finally, assume that the premisses of rule  $(d_4)$  are true. Let  $E_1$  be a witness of

$$\Gamma; \emptyset \Rightarrow A; \emptyset$$

and  $E_2$  a witness of

$$\Gamma, MC; \Delta \Rightarrow \Sigma; \Theta, \neg B_1, \dots, \neg B_n, \neg LC.$$

Thus,  $E_2$  is an extension of  $\langle \Gamma \cup \{MC\}, \Delta \rangle$  and  $\{\neg B_1, \dots, \neg B_n, \neg LC\} \cap E_2 = \emptyset$ . So,  $E_1$  is an extension of  $\langle \Gamma, \emptyset \rangle$  with  $A \in E_1$ , and therefore  $\Gamma \vdash_3 A$ . Hence, by Theorem 24,  $E_2$  is an extension of

$$\langle \Gamma, \Delta \cup \{(A : B_1, \dots, B_n/C)\} \rangle.$$

Clearly,  $\Sigma \subseteq E_2$  and  $\Theta \cap E_2 = \emptyset$ , so  $E_2$  is a witness of

$$\Gamma; \Delta, (A : B_1, \dots, B_n/C) \Rightarrow \Sigma; \Theta.$$

□

**Theorem 26 (Completeness)** *If  $\Gamma; \Delta \Rightarrow \Sigma; \Theta$  is true, then it is provable in  $B_3$ .*

*Proof.* Suppose  $S = \Gamma; \Delta \Rightarrow \Sigma; \Theta$  is true, with  $E$  as its witness. The proof proceeds by induction on the cardinality  $|\Delta|$  of  $\Delta$ .

**INDUCTION BASE.** Assume  $|\Delta| = 0$ . If  $\Sigma = \Theta = \emptyset$ , then  $S$  is an axiom and hence provable in  $B_3$ . So suppose that either  $\Sigma \neq \emptyset$  or  $\Theta \neq \emptyset$ . Since  $\text{Th}_3(\Gamma)$  is the unique extension of  $\langle \Gamma, \emptyset \rangle$ , we have  $E = \text{Th}_3(\Gamma)$ . Furthermore,  $\Sigma \subseteq E$  and  $\Theta \cap E = \emptyset$ . It follows that for any  $A \in \Sigma$ , the sequent  $\Gamma \mid \Gamma \mid A$  is provable in  $\mathbf{S}\mathbf{L}_3$ , and for any  $B \in \Theta$ , the anti-sequent  $\Gamma \nmid \Gamma \nmid B$  is provable in  $\mathbf{R}\mathbf{L}_3$ . Repeated applications of rules  $(l_1)$ ,  $(l_2)$ , and  $(mu)$  yield a proof of  $S$  in  $B_3$ .

**INDUCTION STEP.** Assume  $|\Delta| > 0$ , and let the statement hold for all default sequents  $\Gamma'; \Delta' \Rightarrow \Sigma'; \Theta'$  such that  $|\Delta'| < |\Delta|$ . We distinguish two cases: (i) there is some default in  $\Delta$  which is active in  $E$ , or (ii) none of the defaults in  $\Delta$  is active in  $E$ .

If (i) holds, then there must be some default  $d = (A : B_1, \dots, B_n/C)$  in  $\Delta$  such that  $d$  is active in  $E$  and  $\Gamma \vdash_3 A$ . Consider  $\Delta_0 := \Delta \setminus \{d\}$ . Then,  $|\Delta_0| = |\Delta| - 1$  and  $\Delta_0 \cup \{d\} = \Delta$ . By Theorem 23,  $E$  is an extension of  $\langle \Gamma \cup \{MC\}, \Delta_0 \rangle$ . Since  $d$  is active in  $E$ ,  $\{\neg B_1, \dots, \neg B_n, \neg LC\} \cap E = \emptyset$ ; and since  $E$  is a witness of  $S = \Gamma; \Delta \Rightarrow \Sigma; \Theta$ ,  $\Sigma \subseteq E$  and  $\Theta \cap E = \emptyset$ . So,  $E$  is a witness of

$$S' = \Gamma, MC; \Delta_0 \Rightarrow \Sigma; \Theta, \neg B_1, \dots, \neg B_n, \neg LC.$$

Since  $|\Delta_0| < |\Delta|$ , by induction hypothesis there is some proof  $\alpha$  in  $\mathbb{B}_3$  of  $S'$ . Furthermore,  $\Gamma \vdash_3 A$ , so there is some proof  $\beta$  of the sequent  $\Gamma \mid \Gamma \mid A$  in  $\mathbf{St}_3$ . The following figure is a proof of  $S$  in  $\mathbb{B}_3$ :<sup>2</sup>

$$\frac{\frac{\Gamma \mid \Gamma \mid A}{\Gamma; \emptyset \Rightarrow A; \emptyset} \text{ (l}_1\text{)} \quad \Gamma, MC; \Delta_0 \Rightarrow \Sigma; \Theta, \neg B_1, \dots, \neg B_n, \neg LC \text{ (d}_4\text{)}}{\Gamma; \Delta \Rightarrow \Sigma; \Theta}$$

Now assume that (ii) holds, i.e., no default in  $\Delta$  is active in  $E$ . Since  $|\Delta| > 0$ , there is some default  $d = (A : B_1, \dots, B_n / C)$  in  $\Delta$  such that  $\Delta = \Delta_0 \cup \{d\}$  with  $\Delta_0 := \Delta \setminus \{d\}$ . Since  $d$  is not active in  $E$ , according to Theorem 22,  $E$  is an extension of  $\langle \Gamma, \Delta_0 \rangle$ . Furthermore, either

- $E \not\vdash_3 A$ ,
- there is some  $B_{i_0} \in \{B_1, \dots, B_n\}$  such that  $\neg B_{i_0} \in E$ , or
- $\neg LC \in E$ .

Consequently,  $E$  is either a witness of

- $\Gamma; \Delta_0 \Rightarrow \Sigma; \Theta, A$ ,
- $\Gamma; \Delta_0 \Rightarrow \Sigma, \neg B_{i_0}; \Theta$ , or
- $\Gamma; \Delta_0 \Rightarrow \Sigma, \neg LC; \Theta$ .

Since  $|\Delta_0| < |\Delta|$ , by induction hypothesis there is either

- a proof  $\alpha$  in  $\mathbb{B}_3$  of  $\Gamma; \Delta_0 \Rightarrow \Sigma; \Theta, A$ ,
- a proof  $\beta$  in  $\mathbb{B}_3$  of  $\Gamma; \Delta_0 \Rightarrow \Sigma, \neg B_{i_0}; \Theta$ , or
- a proof  $\gamma$  in  $\mathbb{B}_3$  of  $\Gamma; \Delta_0 \Rightarrow \Sigma, \neg LC; \Theta$ .

Therefore, one of the three figures below constitutes a proof of  $S$ :<sup>3</sup>

$$\frac{\Gamma; \Delta_0 \xRightarrow{\alpha} \Sigma; \Theta, A}{\Gamma; \Delta \Rightarrow \Sigma; \Theta} \text{ (d}_1\text{)} \quad \frac{\Gamma; \Delta_0 \xRightarrow{\beta} \Sigma, \neg B_{i_0}; \Theta}{\Gamma; \Delta \Rightarrow \Sigma; \Theta} \text{ (d}_2\text{)} \quad \frac{\Gamma; \Delta_0 \xRightarrow{\gamma} \Sigma, \neg LC; \Theta}{\Gamma; \Delta \Rightarrow \Sigma; \Theta} \text{ (d}_3\text{)}$$

□

<sup>2</sup>Note that in this figure, the endsequents of  $\alpha$  and  $\beta$  have been displayed explicitly for better clarity.

<sup>3</sup>Again, the respective endsequents of  $\alpha$ ,  $\beta$ , and  $\gamma$  are explicitly shown.



## 4.2 A Sequent Calculus for Disjunctive Default Logic

We now introduce our sequent calculus for brave reasoning for disjunctive default logic which we denote by  $\mathbf{B}_D$ . Again, the calculus will comprise three kinds of sequents:

- (i) sequents for expressing validity in  $\mathbf{PL}$ ,
- (ii) anti-sequents for expressing non-tautologies, and
- (iii) special default inference rules reflecting brave reasoning in  $\mathbf{DL}_D$ .

As sequents for propositional logic, we use standard two-sided sequents in the sense of Gentzen [23] and a corresponding calculus,  $\mathbf{LK}$ , which is a slight simplification of the one originally introduced by Gentzen. As a calculus for anti-sequents, we use the one due to Bonatti [11] which he introduced in connection of his calculus for brave reasoning for standard default logic [13, 12]; we will denote this calculus by  $\mathbf{LK}^r$ .

### 4.2.1 Postulates of the Calculus

We start with defining the sequent calculus  $\mathbf{LK}$  for classical sequents.

#### The Sequent Calculus $\mathbf{LK}$

##### Definition 29

- (i) A (*classical*) *sequent*  $S$  is an ordered pair of the form  $\Gamma \rightarrow \Sigma$ , where  $\Gamma$  and  $\Sigma$  are finite sets of formulas.  $\Gamma$  is the *antecedent* of  $S$  and  $\Sigma$  is the *succedent* of  $S$ .
- (ii) For a two-valued interpretation  $I$ , a sequent  $\Gamma \rightarrow \Delta$  is *true under*  $I$  if, whenever all formulas in  $\Gamma$  are true under  $I$ , then at least one formula in  $\Delta$  is true under  $I$ . Furthermore, a sequent is *valid* if it is true under each interpretation.  $\square$

As customary for sequents, we write sequents of the form  $\Gamma \cup \{A\} \rightarrow \Delta$  simply as “ $\Gamma, A \rightarrow \Delta$ ”, and if the antecedent or succedent of a sequent is the empty set, then it is omitted from the sequent.

The postulates of  $\mathbf{LK}$  are as follows:

- (i) axioms of  $\mathbf{LK}$  are sequents of the form
  - (a)  $\rightarrow \top$ ,
  - (b)  $\perp \rightarrow$ , and
  - (c)  $A \rightarrow A$ , and
- (ii) the inference rules of  $\mathbf{LK}$  are those given in Figure 4.4.

$$\begin{array}{c}
 \frac{\Gamma \rightarrow \Sigma, A \quad \Lambda, B \rightarrow \Pi}{\Gamma, \Lambda, (A \supset B) \rightarrow \Sigma, \Pi} (\supset \rightarrow) \qquad \frac{\Gamma, A \rightarrow \Sigma, B}{\Gamma \rightarrow \Sigma, (A \supset B)} (\rightarrow \supset) \\
 \\
 \frac{\Gamma \rightarrow \Sigma, A}{\Gamma, \neg A \rightarrow \Sigma} (\neg \rightarrow) \qquad \frac{\Gamma, A \rightarrow \Sigma}{\Gamma \rightarrow \Sigma, \neg A} (\rightarrow \neg) \\
 \\
 \frac{\Gamma \rightarrow \Sigma}{\Gamma, A \rightarrow \Sigma} (wl) \qquad \frac{\Sigma \rightarrow \Gamma}{\Sigma \rightarrow \Gamma, A} (wr)
 \end{array}$$

Figure 4.4: Rules of the sequent calculus LK.

Note that the last two rules in Figure 4.4 are referred to as *weakening rules*. Moreover, from the rules of LK, we can easily obtain derived rules for the defined connectives  $\wedge$ ,  $\vee$ , and  $\equiv$ . For instance, the derived rules for  $\wedge$  and  $\vee$  are as follows:

$$\begin{array}{c}
 \frac{\Gamma, A \rightarrow \Sigma}{\Gamma, (A \wedge B) \rightarrow \Sigma} (\wedge \rightarrow)_1 \qquad \frac{\Gamma, B \rightarrow \Sigma}{\Gamma, (A \wedge B) \rightarrow \Sigma} (\wedge \rightarrow)_2 \\
 \\
 \frac{\Gamma \rightarrow \Sigma, A \quad \Lambda \rightarrow \Pi, B}{\Gamma, \Lambda \rightarrow \Sigma, \Pi, (A \wedge B)} (\rightarrow \wedge) \qquad \frac{\Gamma, A \rightarrow \Sigma \quad \Lambda, B \rightarrow \Pi}{\Gamma, \Lambda, (A \vee B) \rightarrow \Sigma, \Pi} (\vee \rightarrow) \\
 \\
 \frac{\Gamma \rightarrow \Sigma, A}{\Gamma \rightarrow \Sigma, (A \vee B)} (\rightarrow \vee)_1 \qquad \frac{\Gamma \rightarrow \Sigma, B}{\Gamma \rightarrow \Sigma, (A \vee B)} (\rightarrow \vee)_2
 \end{array}$$

Soundness and completeness of LK is well known:

**Theorem 27** *A sequent  $\Gamma \rightarrow \Sigma$  is valid iff it is provable in LK.*

In particular, the following relation follows immediately:

**Corollary 3** *For every formula  $A$ ,*

$$\models_2 A \text{ iff the sequent } \rightarrow A \text{ is provable in LK.}$$

### The Anti-Sequent Calculus LK<sup>r</sup>

Now we introduce our complementary calculus LK<sup>r</sup> for axiomatising invalidity in propositional logic.

#### Definition 30

- (i) An *anti-sequent* is an ordered pair of the form  $\Gamma \not\rightarrow \Theta$ , where  $\Gamma$  and  $\Theta$  are finite sequences of formulas.

$$\begin{array}{c}
 \frac{\Gamma \dashv\vdash \Theta, A}{\Gamma, (A \supset B) \dashv\vdash \Theta} (\supset \dashv\vdash)_1^r \qquad \frac{\Gamma, B \dashv\vdash \Theta}{\Gamma, (A \supset B) \dashv\vdash \Theta} (\supset \dashv\vdash)_2^r \\
 \\
 \frac{\Gamma, A \dashv\vdash \Theta, B}{\Gamma \dashv\vdash \Theta, (A \supset B)} (\dashv\vdash \supset)^r \\
 \\
 \frac{\Gamma \dashv\vdash \Theta, A}{\Gamma, \neg A \dashv\vdash \Theta} (\neg \dashv\vdash)^r \qquad \frac{\Gamma, A \dashv\vdash \Theta}{\Gamma \dashv\vdash \Theta, \neg A} (\dashv\vdash \neg)^r
 \end{array}$$

 Figure 4.5: Rules of the anti-sequent calculus  $LK^r$ .

- (ii) For a two-valued interpretation  $I$ , an anti-sequent  $\Gamma \dashv\vdash \Theta$  is *refuted by  $I$* , or  $I$  *refutes*  $\Gamma \dashv\vdash \Theta$ , if every formula in  $\Gamma$  is true under  $I$  and every formula in  $\Theta$  is false under  $I$ . If  $I$  refutes  $\Gamma \dashv\vdash \Theta$ , then  $I$  is also said to be a *countermodel* of  $\Gamma \dashv\vdash \Theta$ . An anti-sequent  $\Gamma \dashv\vdash \Theta$  is *refutable* if there is at least one interpretation that refutes  $\Gamma \dashv\vdash \Theta$ .  $\square$

Hence, the anti-sequent  $\Gamma \dashv\vdash \Theta$  is refutable iff the classical sequent  $\Gamma \rightarrow \Theta$  is invalid.

In accordance to the convention for classical sequents, we write “ $\dashv\vdash \Theta$ ” and “ $\Gamma \dashv\vdash$ ” whenever  $\Gamma$  or  $\Theta$  is the empty set.

The postulates of  $LK^r$  are as follows:

- (i) The axioms of  $LK^r$  are anti-sequents of the form  $\Phi \dashv\vdash \Psi$ , where  $\Phi$  and  $\Psi$  are disjoint finite sets of atomic formulas such that  $\perp \notin \Phi$  and  $\top \notin \Psi$ , and
- (ii) the inference rules of  $LK^r$  are those depicted in Figure 4.5.

Note that, following the general pattern of complementary calculi, the inference rules of  $LK^r$  have only single premisses.

We again can obtain corresponding derived rules for the defined connectives; here are the ones for  $\wedge$  and  $\vee$ :

$$\begin{array}{c}
 \frac{\Gamma, A, B \dashv\vdash \Theta}{\Gamma, (A \wedge B) \dashv\vdash \Theta} (\wedge \dashv\vdash)^r \\
 \\
 \frac{\Gamma \dashv\vdash \Theta, A}{\Gamma \dashv\vdash \Theta, (A \wedge B)} (\dashv\vdash \wedge)_1^r \qquad \frac{\Gamma \dashv\vdash \Theta, B}{\Gamma \dashv\vdash \Theta, (A \wedge B)} (\dashv\vdash \wedge)_2^r \\
 \\
 \frac{\Gamma, A \dashv\vdash \Theta}{\Gamma, (A \vee B) \dashv\vdash \Theta} (\vee \dashv\vdash)_1^r \qquad \frac{\Gamma, B \dashv\vdash \Theta}{\Gamma, (A \vee B) \dashv\vdash \Theta} (\vee \dashv\vdash)_2^r
 \end{array}$$

$$\frac{\Gamma \not\rightarrow \Theta, A, B}{\Gamma \not\rightarrow \Theta, (A \vee B)} (\not\rightarrow \vee)^r$$

Soundness and completeness for  $LK^r$  was shown by Bonatti [11] (and, independently, by Goranko [24]):

**Theorem 28** *An anti-sequent  $\Gamma \not\rightarrow \Theta$  is refutable iff it is provable in  $LK^r$ .*

For formulas, we have then the following immediate corollary:

**Corollary 4** *For every formula  $A$ ,*

$$\not\models_2 A \text{ iff the anti-sequent } \not\rightarrow A \text{ is provable in } LK^r.$$

### The Default-Sequent Calculus $B_D$

We are now in a position to specify our calculus  $B_D$  for brave reasoning in disjunctive default logic.

#### Definition 31

- (i) By a (*brave*) *disjunctive default sequent* we understand an ordered quadruple of the form  $\Gamma; \Delta \Rightarrow \Sigma; \Theta$ , where  $\Gamma$ ,  $\Sigma$ , and  $\Theta$  are finite sets of formulas and  $\Delta$  is a finite set of disjunctive defaults.
- (ii) A disjunctive default sequent  $\Gamma; \Delta \Rightarrow \Sigma; \Theta$  is *true* iff there is an extension  $E$  of the disjunctive default theory  $\langle \Gamma, \Delta \rangle$  such that  $\Sigma \subseteq E$  and  $\Theta \cap E = \emptyset$ ;  $E$  is called a *witness* of  $\Gamma; \Delta \Rightarrow \Sigma; \Theta$ .  $\square$

The default sequent calculus  $B_D$  consists of sequents, anti-sequents, and default sequents. It incorporates the systems  $LK$  for sequents and  $LK^r$  for anti-sequents. Additionally, it has axioms of the form

$$\Gamma; \emptyset \Rightarrow \emptyset; \emptyset,$$

where  $\Gamma$  is a finite set of formulas, and the inference rules as depicted in Figure 4.6.

The informal meaning of the nonmonotonic inference rules is similar to the meaning of the rules in  $B_3$ :

- Rules  $(l_1)^\circ$  and  $(l_2)^\circ$  combine classical sequents and anti-sequents with disjunctive default sequents, respectively.
- Rule  $(mu)^\circ$  again allows the joining of information in case that no default is present.
- Rules  $(d_1)^\circ$ ,  $(d_2)^\circ$ , and  $(d_3)^\circ$  are the default introduction rules: rules  $(d_1)^\circ$  and  $(d_2)^\circ$  take care of introducing non-active defaults, whilst rule  $(d_3)^\circ$  allows to introduce an active default.

$$\begin{array}{c}
 \frac{\Gamma \rightarrow A}{\Gamma; \emptyset \Rightarrow A; \emptyset} (l_1)^\circ \qquad \frac{\Gamma \not\rightarrow A}{\Gamma; \emptyset \Rightarrow \emptyset; A} (l_2)^\circ \\
 \\
 \frac{\Gamma; \emptyset \Rightarrow \Sigma_1; \Theta_1 \quad \Gamma; \emptyset \Rightarrow \Sigma_2; \Theta_2}{\Gamma; \emptyset \Rightarrow \Sigma_1, \Sigma_2; \Theta_1, \Theta_2} (mu)^\circ \\
 \\
 \frac{\Gamma; \Delta \Rightarrow \Sigma; \Theta, A}{\Gamma; \Delta, (A : B_1, \dots, B_n / C_1 | \dots | C_m) \Rightarrow \Sigma; \Theta} (d_1)^\circ \\
 \\
 \frac{\Gamma; \Delta \Rightarrow \Sigma, \neg B; \Theta}{\Gamma; \Delta, (A : \dots, B, \dots / C_1 | \dots | C_m) \Rightarrow \Sigma; \Theta} (d_2)^\circ \\
 \\
 \frac{\Gamma; \emptyset \Rightarrow A; \emptyset \quad \Gamma, C_i; \Delta \Rightarrow \Sigma; \Theta, \neg B_1, \dots, \neg B_n}{\Gamma; \Delta, (A : B_1, \dots, B_n / C_1 | \dots | C_i | \dots | C_m) \Rightarrow \Sigma; \Theta} (d_3)^\circ
 \end{array}$$

 Figure 4.6: Additional rules of the calculus  $\mathbf{B}_D$ .

### 4.2.2 Adequacy of the Calculus

Soundness and completeness of  $\mathbf{B}_D$  can be shown by similar arguments as in the case of  $\mathbf{B}_3$ . We sketch the relevant details.

First of all, we need the following pendant to activeness as defined earlier:

**Definition 32** Let  $E$  be a set of formulas. A disjunctive default

$$\frac{A : B_1, \dots, B_n}{C_1 | \dots | C_m}$$

is *active* in  $E$  iff  $E \vdash A$  and  $\{\neg B_1, \dots, \neg B_n\} \cap E = \emptyset$ .  $\square$

We again employ our notation  $\mathfrak{p}(d)$  and  $\mathfrak{j}(d)$  for a default  $d$  as in case of  $\mathbf{DL}$ , but now we define

$$\mathfrak{c}^\circ(d) := \{C_1, \dots, C_m\},$$

for  $d = (A : B_1, \dots, B_n / C_1 | \dots | C_m)$ .

We obtain the following results corresponding to Lemma 2 and Theorems 22, 23, and 24:

**Lemma 3** Let  $r = A/B_1 | \dots | B_n$  be a disjunctive inference rule,  $W$  and  $E$  sets of formulas, and  $R$  a set of disjunctive inference rules. Then:

- (i) if  $A \notin E$  and  $E \in \text{Cn}^R(W)$ , then  $E \in \text{Cn}^{RU\{r\}}(W)$ ;

- (ii) if  $A \in E$  and  $E \in Cn^{R \cup \{r\}}(W)$ , then  $E \in Cn^R(W \cup \{B\})$ , for some formula  $B \in \{B_1, \dots, B_n\}$ .

**Theorem 29** Let  $T = \langle W, D \rangle$  be a disjunctive default theory,  $E$  a set of formulas, and  $d$  a disjunctive default not active in  $E$ . Then,  $E$  is an extension of  $\langle W, D \rangle$  iff  $E$  is an extension of  $\langle W, D \cup \{d\} \rangle$ .

**Theorem 30** Let  $E$  be a set of formulas and  $d$  a disjunctive default.

- (i) If  $E$  is an extension of  $\langle W, D \cup \{d\} \rangle$  and  $d$  is active in  $E$ , then  $E$  is an extension of  $\langle W \cup \{C\}, D \rangle$ , for some  $C \in c^\circ(d)$ .
- (ii) If  $E$  is an extension of the disjunctive default theory  $\langle W \cup \{C\}, D \rangle$ , for some  $C \in c^\circ(d)$ ,  $W \vdash p(d)$ , and  $\neg j(d) \cap E = \emptyset$ , then  $E$  is an extension of  $\langle W, D \cup \{d\} \rangle$ .

From this, soundness and completeness of  $B_D$  can be shown.

**Theorem 31** A disjunctive default sequent  $\Gamma; \Delta \Rightarrow \Sigma; \Theta$  is provable in  $B_D$  iff it is true.

To conclude, let us give us an example of a disjunctive default sequent provable in  $B_D$ .

**Example 12** Let us consider the disjunctive default theory from Example 10 dealing with Poole's broken arms scenario [48], which contains the defaults

$$\frac{: lh\_usable \wedge \neg lh\_broken}{lh\_usable} \quad \text{and} \quad \frac{: rh\_usable \wedge \neg rh\_broken}{rh\_usable},$$

together with the disjunctive default

$$lh\_broken | rh\_broken.$$

This disjunctive default theory has the two extensions

$$\text{Th}_2(\{lh\_broken, rh\_usable\}) \quad \text{and} \quad \text{Th}_2(\{rh\_broken, lh\_usable\}),$$

Let us for brevity use the following abbreviations:

- $B_l$ :  $lh\_broken$ ;  
 $B_r$ :  $rh\_broken$ ;  
 $U_l$ :  $lh\_usable$ ;  
 $U_r$ :  $rh\_usable$ .

Then, the following disjunctive default sequent is true:

$$\emptyset; (: \emptyset/B_l|B_r), (: (U_l \wedge \neg B_l)/U_l), (: (U_r \wedge \neg B_r)/U_r) \Rightarrow B_l, U_r, \neg(U_l \wedge \neg B_l); U_l.$$

A proof in  $B_D$  is given below; it uses the two proofs  $\alpha$  and  $\beta$ :

- Proof  $\alpha$ :

$$\frac{\frac{\frac{U_r, B_l \not\leftrightarrow U_l, B_r}{U_r, B_l, U_r, \neg B_r \not\leftrightarrow U_l} (\neg \not\leftrightarrow)^r}{U_r, B_l, (U_r \wedge \neg B_r) \not\leftrightarrow U_l} (\wedge \not\leftrightarrow)^r}{U_r, B_l \not\leftrightarrow U_l, \neg(U_r \wedge \neg B_r)} (\not\leftrightarrow \neg)^r}{U_r, B_l; \emptyset \Rightarrow \emptyset; U_l, \neg(U_r \wedge \neg B_r)} (l_2)^\circ$$

- Proof  $\beta$ :

$$\frac{\frac{\frac{B_l \rightarrow B_l}{B_l \rightarrow U_r, B_l} (wr)}{U_r, B_l \rightarrow U_r, B_l} (wl)}{U_r, B_l; \emptyset \Rightarrow U_r, B_l; \emptyset} (l_1)^\circ \quad \frac{\frac{\frac{B_l \rightarrow B_l}{U_r, B_l \rightarrow B_l} (wl)}{U_r, B_l, \neg B_l \rightarrow \emptyset} (\neg \rightarrow)}{\frac{U_r, B_l, (U_l \wedge \neg B_l) \rightarrow \emptyset}{U_r, B_l \rightarrow \neg(U_l \wedge \neg B_l)} (\wedge \rightarrow)_2} (\rightarrow \wedge)}{U_r, B_l; \emptyset \Rightarrow \neg(U_l \wedge \neg B_l); \emptyset} (l_1)^\circ} \alpha \quad \frac{\alpha}{U_r, B_l; \emptyset \Rightarrow \neg(U_l \wedge \neg B_l); U_l, \neg(U_r \wedge \neg B_r)} (mu)^\circ}{U_r, B_l; \emptyset \Rightarrow B_l, U_r, \neg(U_l \wedge \neg B_l); U_l, \neg(U_r \wedge \neg B_r)} (mu)^\circ$$

- Proof  $\gamma$ :

$$\frac{\frac{\frac{\emptyset \rightarrow \top}{\emptyset; \emptyset \Rightarrow \top; \emptyset} (l_1)^\circ}{\emptyset; (: \emptyset/B_l|B_r), (: (U_r \wedge \neg B_r)/U_r) \Rightarrow B_l, U_r, \neg(U_l \wedge \neg B_l), \neg(U_r \wedge \neg B_r), U_l} \beta} \frac{\frac{\frac{\emptyset \rightarrow \top}{U_r \rightarrow \top} wl}{U_r; \emptyset \Rightarrow \top; \emptyset} (l_1)^\circ}{\emptyset; (: \emptyset/B_l|B_r), (: (U_l \wedge \neg B_l)/U_l), (: (U_r \wedge \neg B_r)/U_r) \Rightarrow B_l, U_r, \neg(U_l \wedge \neg B_l), \neg(U_l \wedge \neg B_l); U_l} (d_3)^\circ} (d_3)^\circ}{\emptyset; (: \emptyset/B_l|B_r), (: (U_l \wedge \neg B_l)/U_l), (: (U_r \wedge \neg B_r)/U_r) \Rightarrow B_l, U_r, \neg(U_l \wedge \neg B_l); U_l} (d_2)^\circ$$

□



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