

# 1 **Comparison of aerobic granulation in SBR and continuous-flow plants**

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5 **Keywords** aerobic granulation, continuous-flow, SBR, SVI

## 6 **Abstract**

7 Up to now, aerobic granulation of activated sludge is only realized in SBRs, where the discontinuous feed  
8 and sedimentation allow the formation of dense granules with excellent settling properties. However,  
9 aerobic granulation in continuous-flow plants (CFP) is gaining more and more interest in order to exploit  
10 the advantages of these excellent sludge properties to construct compact and efficient WWTP. Within the  
11 scope of this project, a SBR and CFP were operated in parallel to investigate the aerobic granulation of  
12 activated sludge and to compare the biomass in terms of their structure and settling behavior. CFP operation  
13 included two experimental phases with different reactor designs. The use of synthetic wastewater during  
14 phase I led to a biomass with a SVI of 42 ml g<sup>-1</sup>, whereby the SVI declined only to 85 ml g<sup>-1</sup> in the second  
15 phase and the use of municipal sewage. After the start-up period, microscopic images of the biomass from  
16 CFP comprised small compact granules with a high flocculent fraction. Particle size distribution for phase  
17 II confirm, that 72% of the particles had a size over 200 µm. A strong correlation was observed between the  
18 appearance of NO<sub>x</sub>-N in the first reactor and the SVI. The results illustrate, that the anaerobic conditions  
19 during feeding are essential to keep stable granules.

## 20 **1. Introduction**

21 Aerobic granular sludge (AGS) is an innovative technology for the biological wastewater treatment  
22 worldwide. AGS is characterised as compact and dense biomass which settles much faster than flocculent  
23 sludge. Up to now, AGS is only realised in sequencing batch reactors (SBR). These systems offer an easy  
24 handling and the adjustment of individual phases within one single reactor. Hereby, the cycle operation  
25 consists of an anaerobic feeding, aeration and sedimentation phases. In recent years, a lot of research has

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26 been undertaken to understand the requirements for the aerobic granulation, whereby initially SBR systems  
27 were considered. Especially, the anaerobic feed was found to improve the stability of the granules by  
28 maintaining substrate-storing organism with slow growth rates (de Kreuk and van Loosdrecht, 2004). These  
29 organisms are promoted by a batch-wise feeding strategy, which ensures feast and famine conditions and  
30 subsequently oppress the growth of filaments. Beside a well-described plug-flow feed into the settled  
31 biomass at the bottom of the reactor and a subsequently high F/M ratio, some studies relate to mixed  
32 anaerobic conditions during the feed. Rocktäschel et al. (2013) found that this steep substrate gradient  
33 between bulk phase and biomass is not absolutely necessary to form stable granules. Compact and dense  
34 granules with excellent settling properties were hereby achieved with a fast influent pumping and a  
35 subsequently anaerobic mixing phase, although the granules were overall smaller compared to the non-  
36 mixed feeding strategy. The results of this study indicate that aerobic granulation is also possible under  
37 mixed anaerobic conditions (Rocktäschel et al., 2013), which is important for the continuous-flow operation.  
38 Beside the anaerobic feed, an increased hydraulic pressure can be set to washout fine sludge flocs and to  
39 promote aerobic granulation. The discharge of flocculent biomass during the start-up of an AGS lab scale  
40 reactor is often desired in order to enrich a biomass which settles much faster and owns already a dense and  
41 compact structure. Hereby, the minimum settling velocity, defined as  $v_{s,min}$ , influences the extent of sludge  
42 particles that is discharged during the decant phase. The parameter  $v_{s,min}$  is calculated as quotient of the  
43 sedimentation height  $L$  and the settling time  $t$  [Unit:  $m\ h^{-1}$ ] and can be either enhanced by increasing the  
44 settling height inside the reactor or by reducing the settling time. In order to achieve a rapid granulation,  
45  $v_{s,min}$  should be higher than the settling velocity of the flocculent sludge.

46 First full scale plants based on aerobic granular sludge were constructed as Nereda process. Pronk et al.  
47 (2015b) summarize some first operating data from an aerobic granular sludge plant treating domestic  
48 wastewater. The authors report a sludge and volumetric loading rate of  $0.1\ kgCOD\ kgTSS^{-1}\ d^{-1}$  and  
49  $1.5\ kgCOD\ m^{-3}\ d^{-1}$ . Hydraulic retention time was 17 h. The dry and rain weather cycle comprised 390 and  
50 180 min with an anaerobic plug-flow feed of 60 to 90 min. Hereby, the plug-flow feed under non-mixed  
51 conditions creates high substrate concentrations which promotes the formation of large granules due to an  
52 increased diffusion. The desired biomass concentration of  $8\ gTSS\ L^{-1}$  was reached after 9 months (Pronk et  
53 al., 2015b).

54 Beside this suitable handling of SBR systems for an aerobic granulation, in which an anaerobic plug-flow  
55 is easy to realise and  $v_{s,min}$  can be controlled over the sedimentation time, most of the large scale WWTP are  
56 designed as continuous-flow plants (CFP). It is essential to have well-mixed conditions in these systems in  
57 order to avoid sludge deposits on the bottom of the tanks. Moreover, the clarification takes place  
58 continuously in a separate tank, which makes the sludge separation difficult to control. However, the  
59 implementation of AGS in existing CFP would offer the opportunity to retrofit existing plants and to  
60 increase the hydraulic treatment capacity without the need to build additional tank volume. The successful  
61 transfer of AGS in a CFP operation would allow constructing compact WWTP with smaller sedimentation  
62 tanks. Moreover, there are publications reporting a reduced energy demand for the activated sludge tank  
63 (Giesen and Thompson, 2013; Niermans et al., 2009). With regard to the several advantages of the AGS  
64 process, a current research question is, under which conditions is it possible to realise an aerobic granulation  
65 in a continuous-flow operation.

66 Until now, there are only few publications reporting about AGS in continuous-flow systems. For example,  
67 Liu et al. (2012) used a continuous-flow setup with a SBAR (sequencing batch airlift reactor), a settling  
68 tank and a tank with a dynamic membrane, which was used to ensure good effluent quality. The sludge  
69 separation was realised over a sieve with a mesh size of 600  $\mu\text{m}$ . Sieving methods are accepted to separate  
70 granules from flocculent sludge since the second granular sludge workshop (de Kreuk et al., 2007). The  
71 plant was inoculated with granules from a SBR and fed with synthetic wastewater. The retained biomass  
72 had a loose structure with particle sizes in a range of 0.1 to 1.0 mm and settling velocities between 15 and  
73 25  $\text{m h}^{-1}$ . The authors report, that the granules had an overall smaller diameter, because under continuous  
74 feeding conditions, the diffusion of nutrients is limited by the lower substrate gradient. Li et al. (2015)  
75 investigated the aerobic granulation in a reverse-flow baffled reactor (RFBR), where the feed of raw  
76 wastewater (30% municipal and 70% industrial) was periodically switched between the two ends of the  
77 reactor via control valves. During the first half of the cycle (2 h), the flow direction was from right to left  
78 through the reactor and was switched from left to right in the second phase. A main advantage of this  
79 operation is, that the need of sludge pumping is minimised. The seed sludge was from an anaerobic-aerobic  
80 tank of a WWTP with a sludge volume index (SVI) of 66  $\text{ml g}^{-1}$ . The operation resulted in periodic feast  
81 and famine conditions, which allowed the formation of granules after 21 days and finally a SVI of 33  $\text{ml g}^{-1}$ .  
82 However, the granules from the RFBR had a small mean diameter of 130  $\mu\text{m}$  and the raw wastewater  
83 obtained a high amount of inorganic compounds (metal ions and salts), which probably affected the settling

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84 behavior. Another study from Li et al. (2016) relates to the operation of a CFP, where the setup included an  
85 anaerobic reactor with 6 L and an aerobic reactor with 9 L. The sludge separation was realised in a secondary  
86 clarifier constructed as a tube with 0.125 L. HRT was 6 h and compromised an anaerobic time of 2.4 h and  
87 an aerobic time of 3.6 h. The granules were distinguished by particle sizes of 600  $\mu\text{m}$  and a loose structure  
88 with a SVI of about 20  $\text{ml g}^{-1}$ . However, the plant was inoculated with AGS from a SBR and synthetic  
89 wastewater was used as feed, which is known to favor for regular granules. More references of aerobic  
90 granulation in CFPs are summarized below in Table 1.

91 The few studies to aerobic granulation in CFPs illustrate, that there is still research demand in order to  
92 establish aerobic granulation in continuous-flow systems with the use of municipal wastewater. Especially,  
93 the implementation of the anaerobic feed and the sludge separation are important for the large scale  
94 application. In this study, two experimental setups for SBR and CFP were operated to investigate the aerobic  
95 granulation and settling properties of the activated sludge. The main objective of the SBR was to investigate  
96 the influence of the anaerobic feeding and settling time on the granules structure. AGS cultivated in the SBR  
97 served as reference to compare the sludge properties of the biomass cultivated in the CFP. Hereby, the  
98 known conditions required for the aerobic granulation in SBRs were set to individual compartments of the  
99 CFP. For the experiments municipal wastewater was used to set a representative feed composition. The  
100 focus of this study was to test operational conditions under which AGS could be established in a CFP.  
101 Furthermore, the study was used to earn general operational experiences for the handling of AGS.

102

103 Table 1. Literature overview to continuous flow-operation with aerobic granular sludge

Author	Setup (reactor type, media)	Results	Information
<b>Liu et al. (2012)</b>	SBAR, settling tank, DMBR (dynamic membrane) tank and a sludge selection tank (sieve with 600 $\mu\text{m}$ mesh size) AGS as inoculum, synthetic wastewater	0.1- 1.0 mm, 15- 25 $\text{m h}^{-1}$ No data for SVI	Continuous feeding conditions and limited diffusion lead to overall smaller granules.
<b>Chen et al. (2013)</b>	Two CFP with a completely stirred reactor (18 L), clarifier: 4.5 L, DO: 4.2 mg/L, synthetic wastewater, no anaerobic conditions R1: seed sludge with filaments R2: seed sludge without filaments	Seed sludge from a WWTP SVI between 50 and 90 $\text{ml g}^{-1}$ Size in a range of 0.18 to 1.25 mm	Granules could form with a sufficient number of filaments and high shear force. High H/D ratio of the reactor and short settling times were not essential for the formation of AGS.
<b>Li et al. (2015)</b>	Reverse Flow Baffled Reactor (RFBR), feed switched periodically between the two reactors endings, sewage from WWTP with some metal compounds, seed sludge SVI: 66 $\text{ml g}^{-1}$	AGS with a mean diameter of 130 $\mu\text{m}$ and SVI of 33 $\text{ml g}^{-1}$ , high inorganic compounds (VSS/TSS of 0.55)	Higher EPS content, PN/PS ratio in the EPS was about 10:1, precipitation inside the granules, Gamma proteobacteria and Nitrospira sp. dominate in RFBR.
<b>Li et al. (2016)</b>	Anaerobic zone: 6 L, Aerobic zone: 9 L Settling tank: 0.125 L, HRT of 6 h with 2.4 h anaerobic and 3.6 h aerobic HRT	AGS as inoculum, synthetic wastewater, 900 $\mu\text{m}$ diameter SVI of 20 $\text{ml g}^{-1}$	Granules with large size were more influenced by the inoculation than those with smaller sizes.
<b>Corsino et al. (2016)</b>	Reactor of 7.5 L was divided into five compartments with risers/downcomers, high loaded and low loaded zones to ensure feast and famine conditions, ultrafiltration membrane	Granules with loose structure, improved structure with an intermittent feed.	Feast/famine conditions and the hydraulic selection pressure are essential to washout flocculent sludge.
<b>Zou et al. (2018)</b>	Two-zone sedimentation tank, with 26.8 L, real and low-strength wastewater, micropowder with metal ions, seed sludge from a WWTP	Mean diameter of 105 $\mu\text{m}$ , SVI 26 $\text{ml g}^{-1}$	Micropowder served as nuclei for the microbial attachment.

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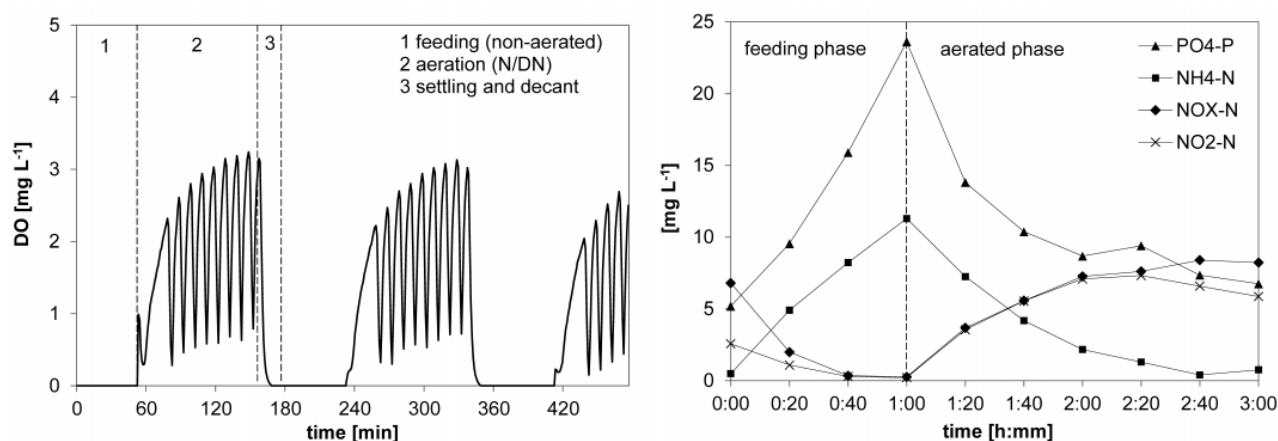
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## 105 2. Material and Methods

### 106 2.1 Experimental setup

#### 107 2.1.1 SBR

108 Aerobic granules were cultivated in a lab scale SBR with a reactor volume of 8 L. H/D ratio of the reactor  
109 was 5.7. The experiments lasted 200 days, whereby the reactor was fed with wastewater from a municipal  
110 WWTP. Cycle time was 3 h including an anoxic-anaerobic plug-flow feed of 60 min. Settling times were  
111 set in a range of 5 to 1 min to vary the selection pressure during the startup. Stirrers were not used throughout  
112 the experiments. Exchange ratios were between 30 and 40% and thus within a common range for SBR plants  
113 (DWA, 2010). HRT was on average 8.9 h. During the experiments, an alternate aeration strategy was applied  
114 with aeration intervals of 5 min. DO was not controlled during the aeration and reached concentrations up  
115 to 5 mg L<sup>-1</sup>. Figure 3 shows a typical DO profile as well as N and P concentrations during the feeding phase  
116 and aeration. Since there was NO<sub>x</sub>-N left over from the earlier cycle, the first minutes during the feeding  
117 included denitrification. However, anaerobic conditions were reached about 20 to 30 min after the start of  
118 the feed.



119  
120 Figure 1. DO profile of the SBR with phases (left), N and P during feeding and the aerated phase (right)

#### 121 2.1.2 CFP

122 The CFP setup included a first reactor (selector), which was operated in order to ensure anaerobic conditions  
123 similar to the anaerobic feed of the SBR. The reactor volume and layout of this anaerobic tank was changed

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124 between the two phases. The relevant operational data of the two experimental phases for the CFP are  
125 summarized in Table 2. Figure 2 shows a schematic chart of the CFP.

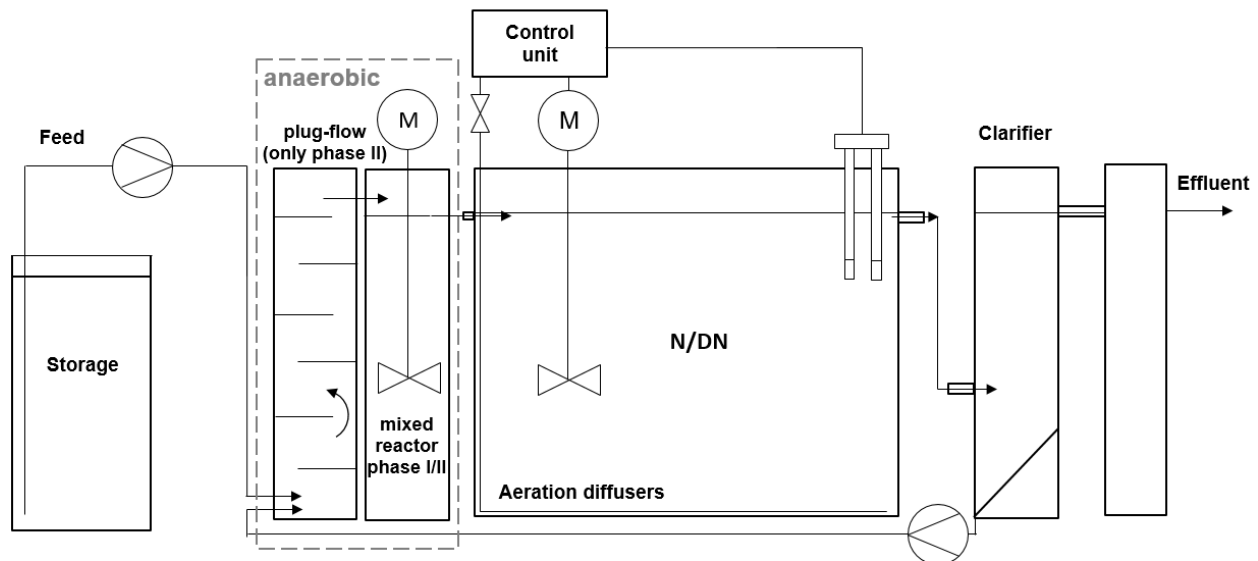
126

127 Table 2. Settings and approaches during the experimental phase I and II

Phase	Medium	Approach	$V_{\text{anaerob}}$	$V_{\text{BB}}$	$q_A$	OLR
			[L]	[L]	[m h <sup>-1</sup> ]	[gCOD (L d) <sup>-1</sup> ]
Phase I	synthetic	complete mixed anaerobic reactor	9.7	39.3	0.23	0.19
Phase II	sewage	plug-flow and mixed anaerobic reactor	2.4/6.4	39.3	0.23	0.36

128 Phase I lasted 65 days, whereby the CFP was inoculated with activated sludge from a municipal WWTP  
129 and the feed was synthetic media containing C<sub>12</sub>H<sub>22</sub>O<sub>11</sub>, C<sub>6</sub>H<sub>8</sub>O<sub>7</sub>, CH<sub>4</sub>N<sub>2</sub>O and K<sub>2</sub>HPO<sub>4</sub>. An additional trace  
130 element solution was dosed in regular periods to avoid limitations. The setup comprised a completely mixed  
131 anaerobic reactor with 9.7 L and a mixed aerobic-anoxic reactor with 39.3 L. Thus, the ratio between  
132 anaerobic and total volume was 20%. The return sludge ratio was 2.2 until day 39 and was reduced on day  
133 46 to 1.3. The mean surface and sludge loading of the clarifier was 0.23 m h<sup>-1</sup> and 51.3 L m<sup>-2</sup> h<sup>-1</sup> respectively.

134 Phase II comprised a period of 120 days. Hereby municipal sewage from a nearby WWTP was feed to the  
135 plant. The anaerobic part was divided in two sections, a tube reactor with 2.4 L and a completely mixed  
136 reactor with 6.4 L. The aerobic-anoxic reactor was continuously stirred. The tube reactor was operated in a  
137 horizontal plug-flow to achieve high substrate concentrations as usually realized in SBRs. Twice a week the  
138 walls inside the tube were cleaned to avoid a biofilm growth. The ratio between anaerobic to the total volume  
139 was 18% and thus similar to the anaerobic volume in phase I. HRT was 3.0 h in the anaerobic part. The  
140 return sludge ratio was set to 1.6. The mean sludge volume loading was 49.1 L m<sup>-2</sup> h<sup>-1</sup> with a mean surface  
141 loading of 0.23 m h<sup>-1</sup>.

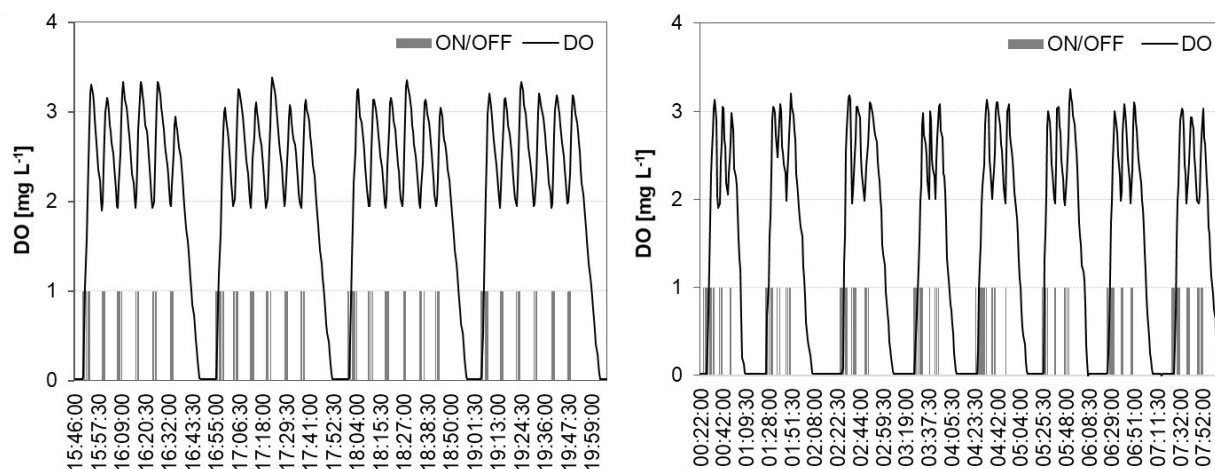


142

143 Figure 2. Schematic chart of the setup of the continuous-flow plant

144 The aerated reactor was equipped with a combined pH/redox sensor (Endress und Hauser, Memosens,  
 145 Model CPS16D) and a DO sensor (Endress und Hauser, Oxymax COS61D). Figure 3 shows typical DO  
 146 profiles for the CFP during phases I and II. The aeration was set between 2 and 3 mg L<sup>-1</sup> for a period of 40  
 147 (30) min. In the subsequent non-aerated phase of 20 (30) min, the DO decreased to zero which allowed  
 148 denitrification. Moreover, a siemens logo control tool was used to set the sequential arrangement of pumps,  
 149 valves and aeration modes. Suspended solids were accumulated in a downstream collecting tank and  
 150 analysed once a week to calculate the biomass washout and sludge age.





151  
 152 Figure 3. Typical DO profiles in the aerated tank for the CFP during phase I and II (lines show DO, columns  
 153 show aeration mode ON/OFF)

## 154 2.2 Sampling

155 Each charge of wastewater used for the CFP and SBR was sampled and analysed for COD (chemical oxygen  
 156 demand), TN (total nitrogen), TP (total phosphorous),  $\text{NH}_4\text{-N}$  and  $\text{PO}_4\text{-P}$ . Effluent samples were taken twice  
 157 a week from both plants and analysed for COD,  $\text{NH}_4\text{-N}$  and  $\text{PO}_4\text{-P}$ . Additional samples were taken from the  
 158 effluent of the anaerobic reactor of the CFP. MLSS (mixed liquor suspended solids) were sampled during  
 159 aerated conditions twice a week. SVI as well as  $\text{SV}_5/\text{SV}_{30}$  and  $\text{SV}_{10}/\text{SV}_{30}$  ratio and pH value were measured  
 160 daily. Table 3 shows the mean analytical data of the wastewater fed to the CFP and SBR. Microscopic  
 161 images were prepared weekly by a Leica microscope to track changes in the sludge structure. Particle size  
 162 distributions were measured by a Malvern Mastersizer 2000 to compare the sizes of the AGS from the SBR  
 163 and CFP with seed sludge from a WWTP. This technology allows to identify the granulation grade and a  
 164 percental amount of particles in a defined size range.

165 Table 3. Number of samples, mean composition of the wastewater for the plants and OLR

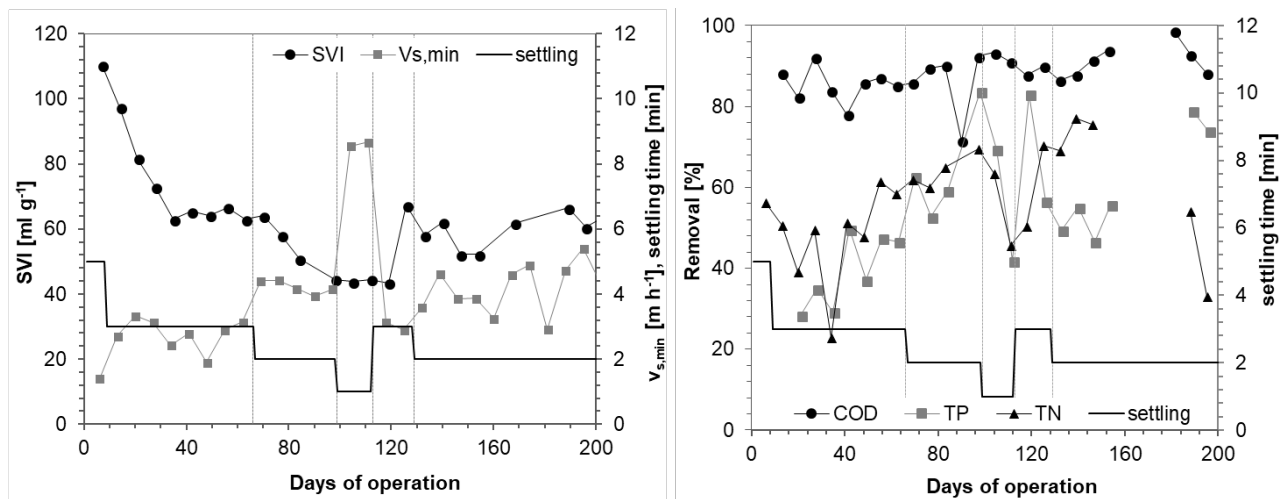
Plant	Nr.	COD	TN	TP	OLR	OLR
	[-]	[ $\text{mg L}^{-1}$ ]	[ $\text{mg L}^{-1}$ ]	[ $\text{mg L}^{-1}$ ]	[ $\text{gCOD L}^{-1} \text{d}^{-1}$ ]	[ $\text{gCOD gTSS}^{-1} \text{d}^{-1}$ ]
CFP (Phase I)	23	457	46.3	18.6	0.5	0.19
CFP (Phase II)	38	560	59.1	11.8	0.7	0.36
SBR	65	388	44.7	9.4	1.0	0.36

166 **3. Results**

167 **3.1 SBR**

168 Aerobic granulation in the SBR was achieved within 28 days, whereby first small granules were detected  
169 via microscopic images. Figure 4 shows the measured SVI and  $v_{s,min}$ . During the startup, the settling time  
170 was decreased to 3 min and thus  $v_{s,min}$  was changed to  $3 \text{ m h}^{-1}$ . With these settings, SVI decreased from 120  
171 to  $60 \text{ ml g}^{-1}$  within 42 days. The further increase of  $v_{s,min}$  to  $4 \text{ m h}^{-1}$  lead to a even lower SVI of  $40 \text{ ml g}^{-1}$ .  
172 The settling time was afterwards reduced to 1 min, which resulted in  $v_{s,min}$  of  $8.5 \text{ m h}^{-1}$  and consequently in  
173 a strong washout of biomass. SVI was not affected by this increased washout and was stable at  $40 \text{ ml g}^{-1}$  but  
174 climbed afterwards to  $64 \text{ ml g}^{-1}$  (day 126). The higher SVI was probably caused by an increased sludge  
175 loading ( $0.5 \text{ g COD gTSS}^{-1} \text{ d}^{-1}$ ) due to the intensive biomass washout. Settling time was set back to 2 and  
176 3 min and  $v_{s,min}$  was again between  $3.0$  and  $4.7 \text{ m h}^{-1}$ . However, with these settings, it was not possible to  
177 return to this low SVI of  $40 \text{ ml g}^{-1}$ . SVI was mainly between  $50$  and  $60 \text{ ml g}^{-1}$  till the end of the experiments.  
178  $SV_{10}/SV_{30}$  ratio of the AGS was  $1.0$  to  $1.1$ ; while the ratio of the inoculated activated sludge was about  $1.5$   
179 to  $1.8$ . Settling velocity of individual granules were determined with  $23 \text{ m h}^{-1}$ , whereas for activated sludge  
180 a range of  $7$  to  $10 \text{ m h}^{-1}$  is reported (Qin et al., 2004).

181



182 Figure 4. SVI, settling time and  $v_{s,min}$  of the SBR (left) and COD, TN and TP removal of the SBR (right)

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183 Additionally, the particle size distributions of the flocculent seed sludge and the AGS from the SBR were  
184 measured and compared. The analysed granules were removed from the SBR on day 186 and had a SVI of  
185  $63 \text{ ml g}^{-1}$  and a  $\text{SV}_{10}/\text{SV}_{30}$  ratio of 1.14. The particle size distribution indicates that approx. 50% of the  
186 particles of the flocculent activated sludge were smaller than  $235 \mu\text{m}$ , while the AGS obtained 50% of the  
187 particles larger than  $548 \mu\text{m}$  and only 10% being smaller than  $143 \mu\text{m}$ . The measurement illustrates the  
188 change of the particle sizes within the granulation from a flocculent to a granular structure. Beside the high  
189 amount of large granules, there was a significant proportion of flocculent biomass present in the AGS. This  
190 observation is in line with earlier reports from Pronk et al. (2015b) and Wagner et al. (2015). There is usually  
191 a flocculent proportion present in a granular sludge suspension especially when real wastewater is used as  
192 feed. Reasons for the flocs are suspended particular matter from the sewage, separated particles from the  
193 granules and biomass growth on the supplied polymeric substrate (Pronk et al., 2015b). Overall, there was  
194 a good removal performance observed with the SBR operation. Figure 4 shows the COD, TN and TP  
195 removal calculated per week. COD and  $\text{NH}_4\text{-N}$  removal were on average 88.3% and 82.6% respectively.  
196 Nitrification rate was between 3.3 and  $3.7 \text{ mgNH}_4\text{-N gTSS}^{-1} \text{ h}^{-1}$ . The maximum respiration rate at the  
197 beginning of the aerated phase was between 24 and  $36 \text{ mgO}_2 \text{ h}^{-1}$ . A continuous improvement of the TN  
198 removal was observed from day 100 on. TN removal depends beside the nitrogen load, from the DO  
199 concentration and the diameter of the granules. Thus, the oxygen diffusion determines the distribution of  
200 aerobic and anoxic zones inside the granules. The larger particle sizes are responsible for the increased  
201 anoxic zones within the granules and explain the increased TN removal.

202 Moreover, the results of this study show a strong correlation between TN and TP removal. TP removal  
203 reached up to 83.6%. The extent of biological phosphate removal depends on several factors, such as sludge  
204 age, temperature, wastewater composition and content of phosphate accumulating organisms (PAO). Bassin  
205 et al. (2012) investigated the TP removal at different temperatures and found a higher removal of 90% with  
206  $20^\circ\text{C}$  compared to an operation with  $30^\circ\text{C}$  (TP removal of 70%). Similar observations were reported by  
207 Winkler et al. (2011), whereby the lower TP removal at higher temperatures was explained with growth  
208 advantages for GAOs (glycogen accumulating organisms). Since PAOs and GAOs compete for the same  
209 substrate and GAOs are not able to remove phosphate, the growth of GAOs is not desired. Since PAOs are  
210 more present in granules of the lower sludge bed, a suitable method to increase the growth of PAOs was  
211 found in a selective removal of granules from the top of the sludge bed (Winkler et al., 2011). This strategy

212 resulted in a complete TP removal. However, in the present study, waste sludge was removed under mixed  
213 conditions during the aerated phase to remove also older and larger granules. Probably, this sludge wasting  
214 strategy caused a lower TP removal compared to results from literature. The average pH value in the reactor  
215 was 7.56.

## 216 **3.2 CFP**

217 The following section describes the experiments of the CFP during the two experimental phases. In both  
218 phases the plant was inoculated with activated sludge from a municipal WWTP. The main focus was to  
219 describe changes in the sludge structure and settling behavior. Figure 5 shows the course of the SVI,  
220  $SV_5/SV_{30}$  and  $SV_{10}/SV_{30}$  ratios of the CFP for phase I and II.

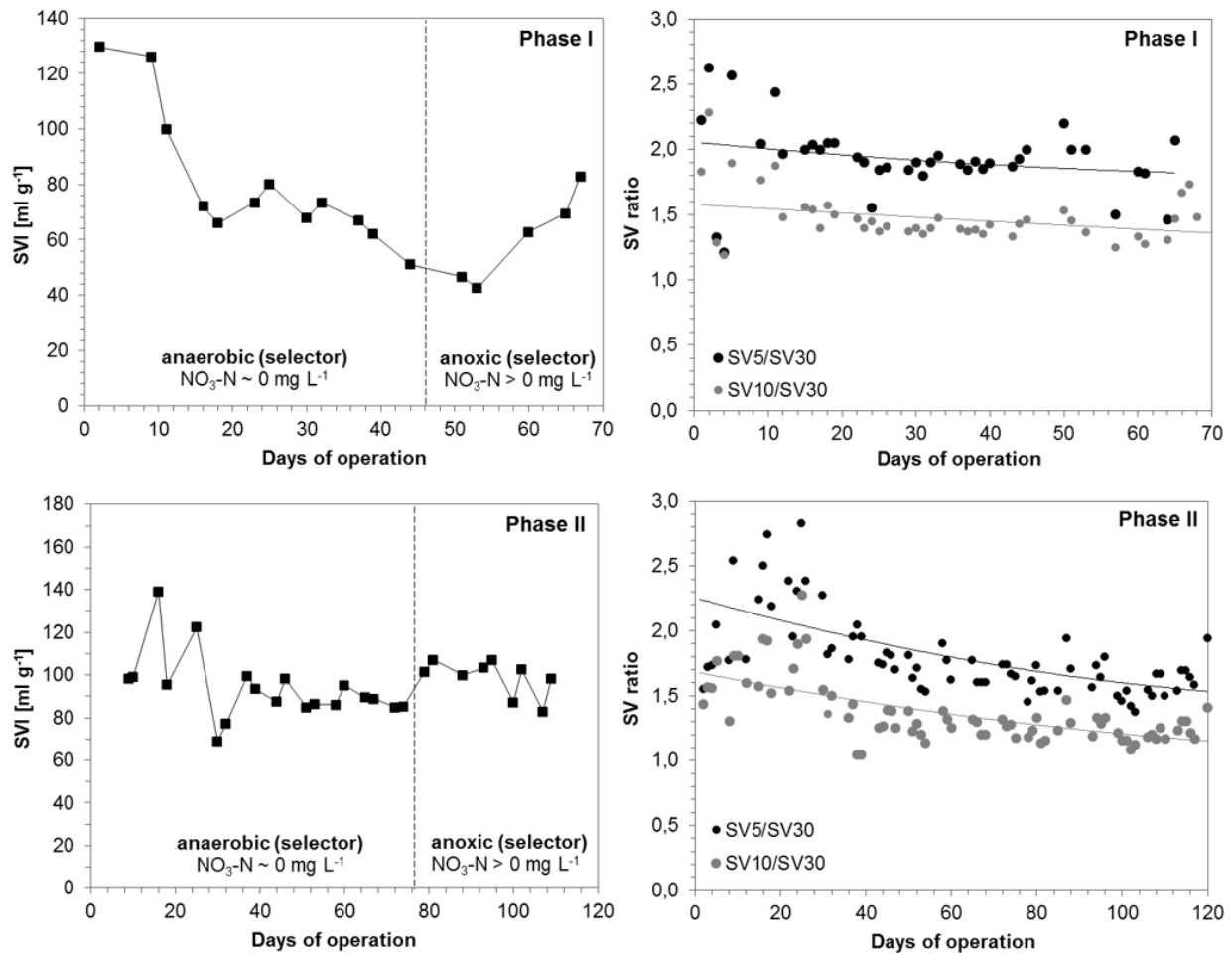
221 The SVI of the seed sludge at the beginning of phase I was about  $130 \text{ ml g}^{-1}$  and decreased to  $66 \text{ ml g}^{-1}$   
222 within 11 days. Over the same period, the  $SV_{10}/SV_{30}$  ratio dropped from 1.8 to 1.5.  $\text{NO}_x\text{-N}$  and DO measured  
223 in the effluent of the first tank (selector) was  $0 \text{ mg L}^{-1}$  till day 44. Suspended solids (SS) in the effluent were  
224 between 32 and  $200 \text{ mg L}^{-1}$  up to the day 18. As a result of the better settling properties, the washout of SS  
225 decreased, thus the effluent concentrations fluctuated between 8 and  $25 \text{ mg L}^{-1}$  until the end of phase I. By  
226 day 32, the SVI remained between 60 and  $80 \text{ ml g}^{-1}$  with a  $SV_{10}/SV_{30}$  ratio of approximately 1.4. A further  
227 decrease of the SVI to  $42 \text{ ml g}^{-1}$  was recorded until day 46 and was probably caused by a massive growth  
228 of Arcella (microscopic images below). In the further operation, the SVI increased again to  $70 \text{ ml g}^{-1}$  caused  
229 by the growth of filaments. Anaerobic conditions were not longer ensured from day 45 on, thus there is  
230 probable reason for the increased SVI. MLSS concentration during phase I ranged between 1.8 and  $4.0 \text{ g L}^{-1}$ .

231 During the startup of phase II, there was an intensive washout of flocculent biomass with SS in the effluent  
232 in a range of 35 to  $227 \text{ mg L}^{-1}$ . While the  $SV_5/SV_{30}$  ratio was approximately 2.5 at the beginning of the  
233 experiments, the ratio declined to 1.5 till day 100. A similar trend was observed for the  $SV_{10}/SV_{30}$  ratio with  
234 a steady decrease from 1.6 to 1.2. MLSS was between 1.2 and  $3.2 \text{ g L}^{-1}$ . At the beginning of the experiments,  
235 the SVI was approx.  $140 \text{ ml g}^{-1}$  and declined to 90 and  $80 \text{ ml g}^{-1}$  between the days 44 and 74. During phase II  
236 (till day 74),  $\text{NO}_x\text{-N}$  and DO was  $0 \text{ mg L}^{-1}$  in the effluent of the first tank and confirm anaerobic conditions  
237 in the first reactor. Between the days 74 and 79, a sudden increase of the SVI from 85 to  $102 \text{ ml g}^{-1}$  was  
238 recorded, while simultaneously  $\text{NO}_x\text{-N}$  concentrations up to  $6.4 \text{ mg L}^{-1}$  appeared in the effluent of the  
239 anaerobic reactor. A similar correlation was seen between days 109 and 114, where  $\text{NO}_x\text{-N}$  concentrations

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240 of 7.6 and 9.0 mg L<sup>-1</sup> led to an increase of the SVI to 100 ml g<sup>-1</sup>. From these findings, it seems that the  
 241 presence of NO<sub>x</sub>-N and subsequently anoxic instead of anaerobic conditions in the first reactor (selector)  
 242 are responsible for higher SVI. A tight control of the nitrogen removal is necessary to ensure anaerobic  
 243 conditions in the selector and to allow a stable granules formation.

244



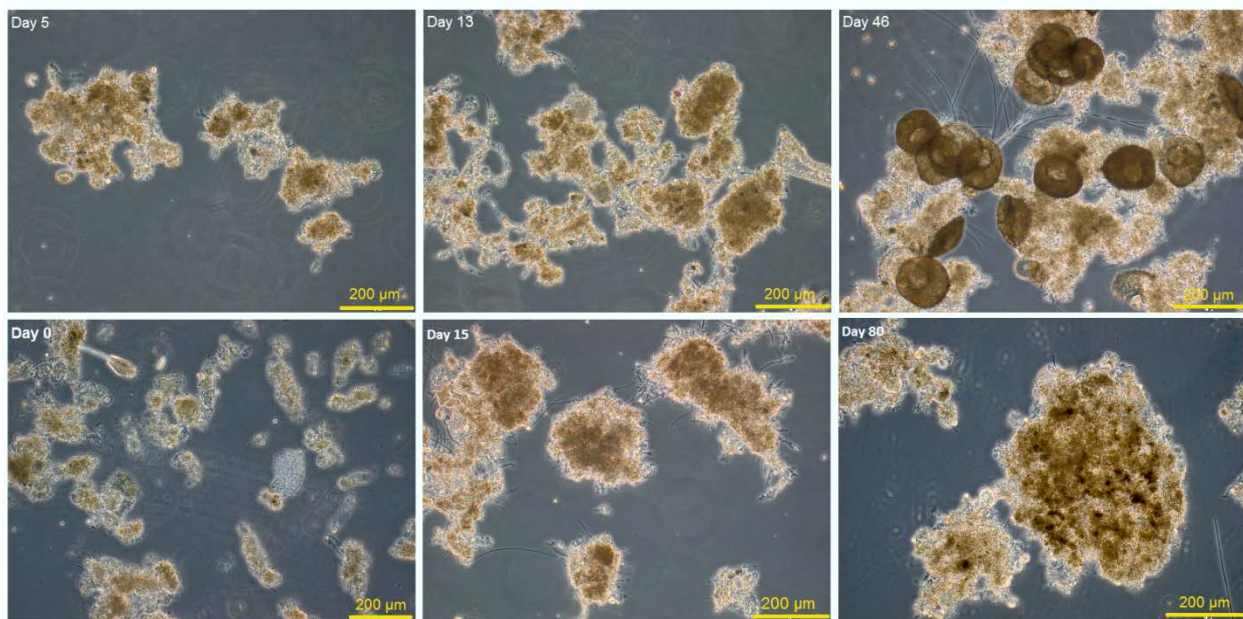
245

246 Figure 5. SVI, SV<sub>5</sub>/SV<sub>30</sub> and SV<sub>10</sub>/SV<sub>30</sub> ratio for the CFP during phase I and II

247 Figure 6 shows microscopic images of the sludge during phase I and II. Hereby, significant larger and more  
 248 compact structures of the biomass were observed during the startup. An increased growth of sessile ciliates,  
 249 especially vorticella-like organism, as it is often postulated for AGS in GSB, did not appear during phase I



250 using synthetic wastewater. Unfortunately, there was a massive growth of arcella from day 40. The  
251 occurrence of arcella is characteristic for good oxygen supply and high SRT. It can be assumed, that the  
252 round shape of these organisms with sizes up to 200  $\mu\text{m}$  probably caused an increased settling velocity.  
253 Thus, a further decrease of the SVI to 42  $\text{ml g}^{-1}$  could be linked to the appearance of these organisms. From  
254 day 55 onwards, an increase in filamentous organisms was observed, leading to a rising SVI. The operation  
255 was stopped at this point. During phase II, an increased occurrence of zoogloea was observed, which is often  
256 postulated for AGS (Adav et al., 2009; Li et al., 2008; Sheng et al., 2010). The presence of fixed ciliates  
257 was less pronounced compared to the granules from the SBR. Nevertheless, isolated colonies of vorticella  
258 and also rotaria were observed on the surface of the granules.

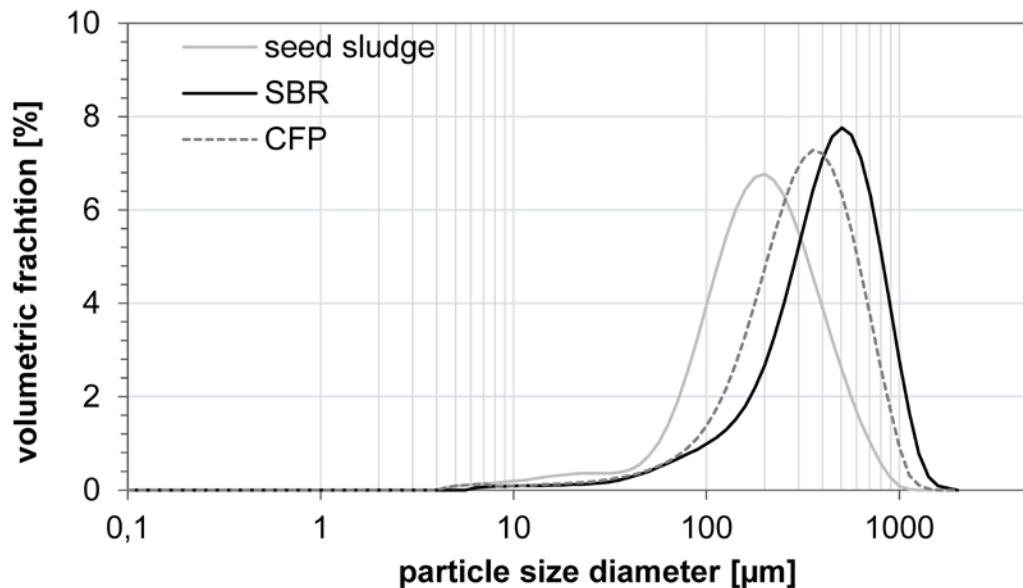


259  
260 Figure 6. Microscopic images of granules (first line: CFP – phase I , second line: CFP – phase II)

261 Microscopic images show that in both CFP phases there were large fractions of flocculent biomass in a  
262 coexistence with granules, which appeared similar to the AGS of the SBR. To describe the ratio between  
263 flocculent and AGS, particle size distributions were prepared for the sludge from the CFP (phase II), from  
264 the SBR as well as from the flocculent seed sludge. Figure 7 shows the distribution for the analysed sludge  
265 samples. Overall, larger structures were found for the AGS from the SBR. Here, about 50% of the particles  
266 had a size of more than 450  $\mu\text{m}$  and only 10% were smaller than 146  $\mu\text{m}$ . For the AGS from the CFP,

267 approx. 50% of the particles had a size above 300  $\mu\text{m}$  with only 10% being smaller than 110  $\mu\text{m}$ . The  
268 measurement illustrates a significant change of the seed sludge to AGS, whereby for the flocculent activated  
269 sludge, approximately 90% of the particles were smaller than 400  $\mu\text{m}$ . According to the definition of AGS,  
270 a size of more than 200  $\mu\text{m}$  is characteristic for aerobic granules. Thus, the measured particle sizes illustrate,  
271 that about 72% of the biomass reached this defined size.

272



273

274 Figure 7. Particle size distribution of sludge probes (left: inoculum, middle: CFP, right: SBR)

275 With the settings of phase I and II it was possible to form small and dense granules. Overall smaller granules  
276 sizes were also reported by Li et al. (2015) and Chen et al. (2013) operating continuous-flow and can be  
277 explained by a reduced nutrient diffusion due to a lower substrate gradient. The results of both experimental  
278 phases point out the importance to ensure anaerobic conditions to achieve excellent settling properties of  
279 the biomass. The SVI of phase I was significantly lower compared to phase II, whereby the use of synthetic  
280 wastewater and thus a soluble carbon source can be assumed as the reason for this. With phase II, it was  
281 only possible to reduce the SVI to 85  $\text{ml g}^{-1}$ , this was far above the results of the SBR operation. Similar  
282 findings were made for the sludge volume ratios. Overall, the  $\text{SV}_5/\text{SV}_{30}$  and  $\text{SV}_{10}/\text{SV}_{30}$  ratios in the CFP

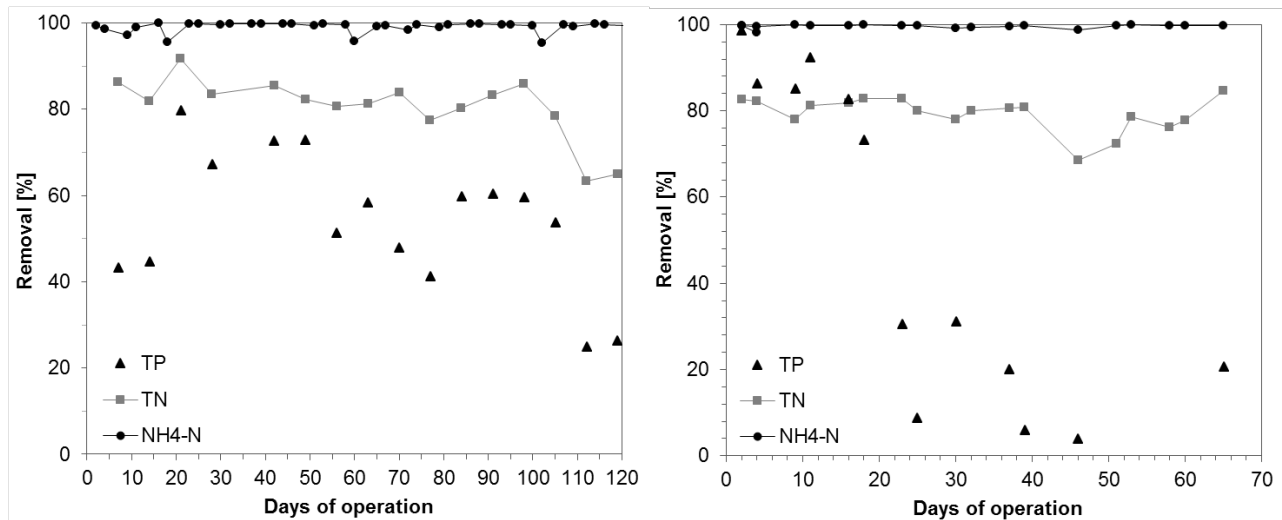
283 were higher compared to the AGS cultivated in the reference SBR, where  $SV_{10}/SV_{30}$  ratios between 1.05  
284 and 1.1 were measured.

### 285 **3.2.2 Removal performance**

286 The anaerobic reactor is essential to provide growth conditions for substrate-storing organisms, which are  
287 necessary to form stable granules. During anaerobic conditions, the supplied COD is taken up by these  
288 organisms and stored as internal products. Some studies report that COD should be extensively stored in  
289 order to avoid an irregular growth during the aerated periods (Pronk et al., 2015a). Moreover, the  
290 breakthrough of substrate into the aerobic reactor can lead to the development of filaments (van den Akker  
291 et al., 2015). During phase I, the average COD effluent concentration of the anaerobic reactors was  
292  $47 \text{ mg L}^{-1}$  and was related to a mean COD reduction of 76%. TP concentrations in the effluent were mostly  
293 increased (up to  $24 \text{ mg L}^{-1}$ ) compared to the influent concentrations, which indicates that there were PAO  
294 present in the sludge and responsible for a phosphate release. However, on individual days it was not  
295 possible to ensure complete anaerobic conditions due to inefficient TN removal in the aerobic-anoxic  
296 reactor, subsequently there was no phosphate release in the anaerobic reactor.

297 Figure 8 shows the  $\text{NH}_4\text{-N}$ , TN and TP removal during the phases I and II. During phase I, COD was almost  
298 completely eliminated with an average removal of 92.1%. Similar results were observed with phase II, while  
299 the COD removal was between 87.1 and 97.8% and reached a mean COD removal of 95.3%. The average  
300  $\text{NH}_4\text{-N}$  removal was 99.6% (phase I) and 99.1% (phase II). With a few exceptions, the TN removal reached  
301 about 80%. TP removal during phase I declined within the startup. This observation can be explained by the  
302 use of synthetic media as feed. Similar effects were reported in earlier studies from Wang et al. (2010),  
303 where the dosage of glucose led to an advanced GAO metabolism with a worsened TP removal.





304

305 Figure 8. NH<sub>4</sub>-N, TN and TP removal during phase I (left) and phase II (right)

306 **4. Discussion**

307 The importance of the anaerobic feeding phase for aerobic granulation was already explained in some earlier  
 308 studies by de Kreuk and van Loosdrecht (2004) as well as by de Kreuk and van Loosdrecht (2006). This  
 309 known requirement for the aerobic granulation was picked up for the present study and applied for the SBR  
 310 and CFP. Due to the presence of NO<sub>x</sub>-N in the beginning of the feed (SBR), the feeding started under anoxic  
 311 conditions and was followed by an anaerobic feed as soon as NO<sub>x</sub>-N was denitrified in the sludge bed.  
 312 However, the operation with an anoxic-anaerobic feeding and the use of municipal wastewater allowed the  
 313 formation of dense and compact granules with excellent settling properties. SVI of the AGS in the SBR was  
 314 in a range of 50 to 60 ml g<sup>-1</sup> and thus in line with studies, which also relate to the treatment of municipal  
 315 sewage (de Kreuk et al., 2010; Wagner et al., 2015). The plug-flow feeding strategy is suitable to ensure  
 316 anaerobic conditions, even if low NO<sub>x</sub>-N concentrations are present in liquid phase above the sludge bed.  
 317 However, the occurrence of high NO<sub>x</sub>-N concentrations can contract the anaerobic feeding and should be  
 318 considered carefully. In this case, a declining phosphate removal performance can be accepted. One further  
 319 advantage of the plug-flow feed is that there result high substrate gradients, which promote the formation  
 320 of larger granules. The substrate gradient is hereby one driving parameter for the substrate diffusion inside  
 321 the granules and influences the granules size.

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322 For the startup of the CFP, activated sludge from a municipal WWTP was inoculated to the plant. The first  
323 phase of the CFP is not considered in detail due to the massive growth arcella and a potential impact on the  
324 SVI. Furthermore, phase I lasted only 65 d and was not long enough under stable operation. Beside  
325 anaerobic conditions for aerobic granulation, the substrate gradient should be considered for the design and  
326 setup of continuous-flow reactors too. In this study, the tube reactor of the anaerobic part of the CFP (phase  
327 II) was one possibility to build up increased substrate gradients compared to mixed conditions. Low  
328 substrate gradients on the surface of the biomass can limit the diffusion into the granules and thus the overall  
329 granules size. In the course of the first weeks, the sludge structure and settling performance of the inoculated  
330 seed sludges (CFP II) changed clearly to larger particles with a compact structure (particle size distribution,  
331 microscopic images). According to the granular sludge definition, the measured particle size distribution  
332 indicates the shift of the flocculent seed sludge towards larger granules, while only 28% of the particles had  
333 a size less than 200  $\mu\text{m}$ . SVI was successfully reduced to 85  $\text{ml g}^{-1}$  during phase II (CFP). However, the  
334 settling properties were not as good as like the AGS from the SBR.  $\text{SV}_5/\text{SV}_{30}$  and  $\text{SV}_{10}/\text{SV}_{30}$  ratio were  
335 reduced only to about 1.5 and 1.2 and were clear higher than in the SBR. Since the settling velocity relates  
336 to the size of a settling particle (Stokes Law), the overall smaller granules can be named as one reason for  
337 the different settling properties. Moreover, a negative effect on the SVI was observed when no anaerobic  
338 conditions were realized in the first reactor (selector), which confirms the fact that anaerobic conditions are  
339 necessary to form stable granules with excellent settling performance.

340 Furthermore, the higher SVI and SV ratios were probably a result of an overall larger fraction of flocs,  
341 which was additionally caused by a lower selection pressure in the clarifier. The sludge volume feed  $q_{\text{sv}}$  is  
342 a parameter to characterize the hydraulic load to the clarifier. For the experiments,  $q_{\text{sv}}$  was only about  
343  $51.3 \text{ L m}^{-2} \text{ h}^{-1}$  and the surface loading  $q_{\text{A}}$  was calculated with  $0.23 \text{ m h}^{-1}$ . It can be assumed, that the lower  
344  $q_{\text{A}}$  leads to a higher retention of flocculent biomass. From the results it is clear, that there is a further  
345 research demand, how the sludge separation can be optimised and adjusted to the granulation process. A  
346 possible approach would be the use of hydrocyclones, however this could not be tested in the presented  
347 study. Another reason for smaller granules and higher SVI can be the shear forces caused by the use of  
348 stirrers in the anaerobic as well as in the aerobic reactor. For example, Rocktäschel et al. (2013) reported  
349 smaller granules in a SBR, in which the feed was followed by an anaerobic mixing compared to a reactor  
350 with plug-flow feed without stirrers. Nor Anuar et al. (2007) examined in a study the effect of mechanical

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351 mixers on the settleability of AGS and found an insignificant effect of the shear stress, however there was  
352 a slightly decreased settling velocity with an increased stirrer speed. The results demonstrate, that the  
353 turbulence and shear stress caused by mixing systems can have an influence on the settling properties of  
354 AGS, also when the effect of these advices on the sludge structure is not clearly described at the moment.  
355 Similarly, a further negative effect can be seen in the return sludge pump (peristaltic pump), which was used  
356 to recycle the biomass from the secondary clarifier to the first reactor. Since there is no need for stirrers or  
357 pumps in full scale SBR like in Nereda plants, the effects on the structure is up to now less investigated.  
358 However, in the CFP it is essential to have mixed conditions in the tanks, so that the use of technical devices  
359 such as pumps and stirrers for AGS should be given careful consideration. Further research work is needed  
360 to optimize the continuous-flow systems in order to achieve similar settling properties compared to the  
361 granules from GSBP.

## 362 **5. Conclusion**

363 With the experimental setup and operation of the CFP, it was possible to achieve changes of flocculent  
364 activated sludge structure towards a compact and dense biomass. With the use of municipal sewage and an  
365 anaerobic plug-flow, the SVI of a flocculent activated sludge declined up to  $85 \text{ ml g}^{-1}$ , while for 72% of the  
366 particles a size of  $200 \mu\text{m}$  was realised. The use of a synthetic wastewater and completely mixed conditions  
367 lead to a SVI of  $42 \text{ ml g}^{-1}$ , which was probably caused by the soluble carbon source and a growth of Arcella.  
368 A direct link was seen between  $\text{NO}_x\text{-N}$  in the effluent of the first reactor and an increase of the SVI. The  
369 results indicate the importance of anaerobic conditions for good settling properties. Particular attention  
370 should be paid to the implementation of a continuous sludge separation and the use of technical devices  
371 such as pumps and stirrers. Moreover, high substrate gradients should be considered in order to enrich large  
372 granules. There is a further research demand for the application of AGS in CFP.

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